



**County of Santa Cruz**

# **Local Hazard Mitigation Plan**

**2015 -2020**

**September 2015**

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## HOW TO USE THIS PLAN

FEMA has defined very specific requirements for Local Hazard Mitigation Plans and this plan follows those guidelines. The organization of the Plan follows FEMA's structural requirements and includes the four following organizational levels:  
Parts Chapters Sections Subsections

This LHMP is organized into five primary parts and 15 chapters that follow the phases of the plan's development (and the FEMA Local Mitigation Planning Handbook, March 2013) as follows:

- Part 1 Prerequisites: introduction, acknowledgements, adoption, and summary
- Part 2 The Planning Process
- Part 3 Risk Assessment
- Part 4 Mitigation Strategies
- Part 5 Plan Implementation and Maintenance

This LHMP has been reviewed and revised in 2015 to reflect, current information, changes in development, progress in local mitigation efforts, and changes in priorities. Plan updates are addressed within each Part and footnoted in the text.

The **Risk Assessment (Part 3)** is organized into specific hazards by chapter (Chapters 4-13.) Within each of these chapters all elements required by the FEMA Handbook are addressed and the sections and subsections of each of these chapters follow a standard section numbering pattern. Each of the specific hazard **Risk Assessment** chapters contains the following subsections:

- 3.0 Risk Assessment**
  - 3.1 Hazard Identification
  - 3.2 Hazard Profile including subsections on location, extent, previous occurrences, and probability of future events.
  - 3.3 Assessing Vulnerability
  - 3.4 Identifying Structures
  - 3.5 Estimating Potential Losses
  - 3.6 Analyzing Development Trends

**Mitigation Strategy** is addressed briefly under each hazard chapter and covered comprehensively in Part 4. Goals and actions specific to a particular hazard are included within the hazard chapter and are labeled by hazard (e.g. Earthquake Goal 1). Goals, objectives and actions, which apply to one or more potential hazards, are listed in Part 4.

Goals, objectives and action items identified as part of the mitigation strategy were formulated in collaboration with the departments responsible for implementation of the actions. These goals and supporting actions are not new but have been taken from various plans adopted by the Board of Supervisors including the General Plan Safety Element, the 2005 Urban Water Management Plan, the Integrated Water Plan, the Emergency Management Plan, the Climate Action Strategy, and several fire safety plans.

Handbook sections that do not apply to the County of Santa Cruz such as multi-jurisdiction plan requirements are not included.

Each part of the LHMP includes required elements specified under Section 201.6 of Title 44 of the *Code of Federal Regulations* (44 CFR). Since one of the objectives established for the LHMP is to achieve compliance for the County of Santa Cruz under the DMA, the requirements specified for program compliance are often cited at the beginning of a subsection to illustrate how that subsection attempts to comply with the requirement.

At the end of this LHMP are **Appendix A** through **Appendix L**. These appendices include vital information or explanations to support the main content of this plan. Technical terms, acronyms, and abbreviations are used throughout this document. To aid the reader, technical terms used are defined in the glossary. The list of acronyms and abbreviations defines all shortened forms used in hazard mitigation planning and/or this LHMP.

## **PART 1 — INTRODUCTION AND ADOPTION**

People and property in Santa Cruz are at risk from a variety of hazards, which have the potential to cause widespread loss of life, damage to property, infrastructure, and the environment. Some hazards are natural, such as earthquakes, while others are natural hazards exacerbated by the use of land, such as building along a cliff and development within floodplains. A natural hazard can result in damages and hardships for an entire community for many years following the event. Flooding, drought, earthquakes and cliff retreat have all occurred in the County within the last fifty years. Flooding on the San Lorenzo River had caused the most severe damage in the County until 1989 when the Loma Prieta earthquake occurred. There is a very strong possibility of an earthquake equal to or larger than the Loma Prieta quake occurring in the Santa Cruz area. (Table 4-2) within the next 100 years.

The County of Santa Cruz is somewhat unique in that no water is imported to the County and the water service is provided by the Soquel Creek Water District, Pajaro Valley Water District, San Lorenzo Water District, and the City of Santa Cruz, other smaller water districts, all of which are independent water agencies, and over 130 small private water systems. Many rural properties supply their own water via wells.

### **Hazard Mitigation**

The purpose of hazard mitigation is to implement and sustain actions that reduce vulnerability and risk from hazards, or reduce the severity of the effects of hazards on people and property. Mitigation actions include both short-term and long-term activities which reduce the impacts of hazards, reduce exposure to hazards, or reduce effects of hazards through various means including preparedness, response and recovery measures. Effective mitigation actions also reduce the adverse impacts and cost of future disasters.

The County of Santa Cruz developed this Local Hazard Mitigation Plan (LHMP) to create a safer community. The County of Santa Cruz LHMP represents the County's commitment to reduce risks from natural and other hazards, and serves as a guide for decision-makers as they commit resources to reducing the effects of potential hazards. The County of Santa Cruz LHMP serves as a basis for the State Office of Emergency Services (OES) to provide technical assistance and to prioritize project funding. (Code of Federal Regulations (CFR) §201.6.)

For disasters declared after November 1, 2004, the County of Santa Cruz must have an approved LHMP pursuant to CFR §201.6 in order to receive FEMA Pre-Disaster Mitigation (PDM) project grants or to receive post-disaster Hazard Mitigation Grant Program (HMGP) project funding. The LHMP is written to meet the statutory requirements of the Disaster Mitigation Act (DMA) 2000, enacted October 30, 2000 and Title 44 of the Code of Federal Regulations CFR Part 201–Mitigation Planning, Interim Final Rule, published February 26, 2002.

## ACKNOWLEDGEMENTS

The following are contributors to the 2015 Local Hazard Mitigation Plan update:

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## Summary

The physical environment of Santa Cruz County is one of the most beautiful and diverse in California. The topography is varied, containing the redwood forests in the Santa Cruz Mountains in the north and northeast, the mid-County coastal terraces where a large portion of the County's population resides, and the alluvial plains of South County, which is predominantly in agricultural use. The central California coast location and the County's topographical features contribute to the ideal Mediterranean climate of Santa Cruz County.

**FIGURE 1. COUNTY LIMITS OF SANTA CRUZ WITH GENERAL PLAN BOUNDARIES**



Natural hazards that have affected Santa Cruz in the past and those that may affect it in the future can be identified with a high degree of probability. Flooding, earthquakes and cliff retreat have all occurred in the County within the past thirty-five years. The County is prone to reoccurring droughts and will periodically witness flood conditions. Until 1989, flooding on the

San Lorenzo River had caused the most severe damage in the City. However, the Loma Prieta earthquake changed that history.

On October 17, 1989, the Loma Prieta earthquake, the largest earthquake to hit an urban area in California since the 1906 San Francisco earthquake, struck the County of Santa Cruz. The earthquake destroyed 674 dwellings, 32 mobile homes and 310 businesses within the county and the State Office of Emergency Services estimated monetary damages to residential buildings at \$176 million and \$98 million to commercial structures.<sup>1</sup>

While we cannot predict or protect ourselves against every possible hazard that may strike the community, we can anticipate many impacts and take steps to avoid or reduce the harm they will cause. This Local Hazard Mitigation Plan (LHMP) is part of an ongoing process to evaluate the risks that different types of hazards pose to Santa Cruz and will engage the County and the community in dialogue to identify the most important steps to pursue in order to reduce these risks.

The County of Santa Cruz and community members have been working together during the past several years to identify and address the risks posed by earthquakes, floods, fires and other potential hazards. Many measures such as vegetation management, a comprehensive water management plan, and seismic retrofits have significantly reduced the community's vulnerability to these hazards. Over time, this constant focus on disaster preparation will make the County a much safer and more sustainable community.

It is the intention of this plan to meet the requirements of the Federal Disaster Mitigation Act of 2000. Section 322 of the Act specifically addresses mitigation planning at the state and local levels. Following approval of this LHMP by FEMA, the County of Santa Cruz will be eligible to apply for mitigation grants before disasters strike.

This LHMP has been reviewed and revised in 2015 to reflect, current information, progress in local mitigation efforts, and changes in priorities. Plan updates are addressed within each Part. The update reports on progress made implementing the mitigation strategy outlined in the original plan.

### **Planning process**

In 2015 the Planning Department led the effort to coordinate an update of the Plan. The purpose of the update is to review the Plan, revise the Plan if necessary, and resubmit the Plan for approval in order to remain eligible for benefits awarded under the Disaster Mitigation Act (DMA). The update was led by Planning Department staff involved in the update of the General Plan Safety Element. Best available information was used to update the hazard risk assessment. The action plan has been reviewed and revised, where appropriate, to account for any actions completed, dropped, or changed and to account for changes in the risk assessment or new county policies identified under other planning mechanisms, as appropriate (such as the Climate Action Strategy). The plan update process has involved appropriate agencies, and the public has been given an opportunity to comment. Staff will request the County Board of Supervisors adopt the updated plan following approval by Cal EMA.

### **Mitigation Plan Objectives and Actions**

Santa Cruz strives to be a disaster-resistant county that can avoid, mitigate, survive, recover from, and thrive after a disaster while maintaining its unique character and way of life. County government should be able to provide critical services in the immediate aftermath of a devastating event of any kind. The people, buildings and infrastructure of Santa Cruz should be

resilient to disasters. The County's overall objective is to have basic government services and commercial functions resume quickly after a damaging earthquake or other significant event.

This Plan has four primary goals for reducing disaster risk in Santa Cruz:

1. Avoid or reduce the potential for loss of life, injury and economic damage to Santa Cruz residents from earthquakes, wildfires, floods, drought, tsunami, coastal erosion, landslide and dam failure.
2. Increase the ability of the County government to serve the community during and after hazard events.
3. Protect Santa Cruz's unique character, scenic beauty and values from being compromised by hazard events.
4. Encourage mitigation activities to increase the disaster resilience of institutions, private companies and systems essential to a functioning Santa Cruz.

### **Capability Assessment**

In the plan update, the planning team has verified that capabilities are documented sufficiently and capability changes from the previous plan are described. The Plan identifies actions that are within the capability of the County and its partners to implement, and describes how the mitigation action items have been implemented since 2010.

In this 2015 update the Plan was further informed by the County's Climate Action Strategy developed in 2013. The Planning Department developed the Climate Action Strategy, and has update the LHMP accordingly to ensure consistency between Plans.

### **Risk Assessment**

The Assessment Roll normally varies from year to year and over the long term. A review of assessment value and property tax data during this update period indicated there has not been a significant change in the overall data, or value at risk, since the previous Plan was adopted. The following table indicates the relevant Assessment Roll and property tax data for this update:

Fiscal Year	Assessed Valuation (in thousands)	Property Tax (in thousands)
2009	\$ 32,531,717	\$ 72,032
2014	\$ 35,996,363	\$ 71,929

This update focuses on how risk has changed since the previous plan was completed, particularly changes related to land use development and new hazard information. Overall, there has not been significant new development in hazard-prone areas since the previous plan was adopted. No area of the County has been affected by a declared disaster since the previous plan was adopted. The County's Climate Action Strategy, adopted in 2013, and containing new hazard information, is incorporated into this update plan to address the risk of climate change and sea level rise.

### **Mitigation Strategy**

This step refines the mitigation strategy, particularly in light of experiences gained from the implementation of the previous plan. This update reflects current conditions and progress in

mitigation efforts; the update assesses previous goals and actions, evaluates progress in implementing the action plan, and makes adjustments to actions, where appropriate, to address current realities. There have been no declared disasters in the County since the previous plan was adopted.

The integration of the plan into existing planning mechanisms and the implementation of mitigation actions demonstrate progress in risk reduction. An explanation of how the previous mitigation plan has been implemented over the last 5 years is included in each hazard chapter and summarized in Appendix L. The updates describe how the current mitigation strategy, including the goals and hazard mitigation actions, will be implemented over the next 5 years.

Broad based efforts by a variety of County departments and agencies has resulted in completion of 93% of Very High Priority Actions, as well as 85% of High Priority Actions and 86% of Important Actions recommended in the 2010 LHMP.

Based on the new hazard of climate change identified in the risk assessment, additional mitigation strategies have been added to the Plan regarding adaptation to climate change.

### **Plan Maintenance Procedures**

Plan updates provide the opportunity to consider how well the procedures established in the previously approved plan worked and revise them as needed. The procedures outlined in the original plan have worked well during this update. No new or modified procedures are recommended.



**CHAPTER 1—ADOPTION BY COUNTY BOARD OF SUPERVISORS RESOLUTION**

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## CHAPTER 2-COMMUNITY PROFILE

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### Community Profile

The County of Santa Cruz is situated on the northern shore of Monterey Bay (see Figure 2). Our county is home to the Monterey Bay National Marine Sanctuary and University of California at Santa Cruz (UCSC) Long Marine Lab. The bay, beaches, and coastline are appreciated by the community as a valuable natural resource as well as a key economic resource. This location along the coast also presents several potential hazards to the community such as coastal erosion, flooding, and tsunami.



Figure 2

The County's mild weather, proximity to several northern California metropolitan centers, and scenic and recreation resources make it a popular day and extended-stay recreation area. As a result, the population is subject to large seasonal variations due to an influx of visitors during summer and other peak recreational periods. Planning for potential hazards in Santa Cruz must address the safety of its visitor population as well as residents, large student population, and workers within the community.

The County occupies a picturesque location along the coast of the Pacific Ocean, between the Monterey Bay and the Santa Cruz Mountains. It is a land of steep coastal bluffs, deep mountain canyons, redwood, oak and madrone forests, open meadows, and beaches. This picturesque location also contributes to the potential hazards. Parts of Santa Cruz County, such as the City of Santa Cruz downtown area, are located within a flood plain. There are only four bridges across the river connecting the two sides of the city. Past experience has shown that losing even one of these bridges in a disaster presents significant problems in addition to traffic impacts.

The County's Mediterranean climate is characterized by warm, dry summers and mild, rainy winters. Warm temperatures and low precipitation are the norm from approximately April through October. Cooler temperatures and heavy rains dominate November through March. Though winters are typically mild, colder winds from inland regions with more continental climates can result in short-term cold snaps. During the year the average temperature is approximately 56 degrees. The average high temperature is 69 degrees Fahrenheit and the average low temperature is 44 degrees Fahrenheit.<sup>2</sup>

Because of this temperate climate, extreme heat is rarely a threat to the community. Both summer and winter temperatures are moderated by the marine influence and summer fog is a common occurrence. Winds are generally northwesterly and seldom reach severe intensities. The Santa Cruz Mountains form a natural barrier to winds from the north and from the hot interior valleys. Rainfall varies throughout the county, from approximately 80 inches per year in

Bonny Doon, to approximately 22 inches per year in the Watsonville area. County wide over the past 25 years, it has ranged from 15 inches in 1989 to 59.8 inches in 1983 with an average 32 inches of rainfall annually.

**TABLE 2-1. TEMPERATURE AVERAGES FOR SANTA CRUZ**

<b>Average High/Low Temperature</b>	<b>Average Rainfall</b>
January 60°/38° F (15° /3° C)	January 6.16 inches (156 mm)
August 76°/51° F (24°/10°C)	August 0.07 inch (1.77 mm)

**TABLE 2-2. COUNTY OF SANTA CRUZ POPULATION AND HOUSEHOLD GROWTH –**  
 Source: U.S. Census Bureau, 2009 – 2013 5-Year American Community Survey

<b>Population</b>	<b>Number</b>	<b>Percent</b>
Total Population	264,808	100%
<b>Sex and Age</b>		
Male	131,850	49.8%
Female	132,958	50.2%
Median Age (years)	36.9	
Under 18	55,214	20.9%
65 years and older	31,004	11.7%
Disabled	23,176	8.8%
<b>Total Households</b>	<b>93,504</b>	<b>100%</b>
Persons per household	2.72	
Median household income	\$66,519	
Percent of population below poverty level		14.6%
<b>Housing Characteristics</b>		
Total Housing Units	104,613	100%
Occupied Housing Units	93,504	89.4%
Vacant Housing Units	11,109	10.6%
Owner Occupied Housing Units	55,007	58.8%
Renter Occupied Housing Units	38,497	41.2%
Housing Units in multi-unit structures	21,316	20.4%

As noted in the table above, approximately 8.8% of county residents are disabled. Also, 11.7% of the county's population is age 65 or above. It is important to consider these special populations in creating a hazard mitigation plan, as they may need extra assistance during emergencies. The County's Emergency Management Plan (EMP) does address special population needs and this hazard plan was written with reference to the EMP.

### **The University of California at Santa Cruz (UCSC)**

The County of Santa Cruz is home to the University of California at Santa Cruz (UCSC). The main University campus consists of over 2,000 acres on the northwest side of the City of Santa Cruz off High and Bay Streets. Approximately 53 percent of the campus, including most of the developed area, is located within the City of Santa Cruz limits, and the remainder of the campus lies in the unincorporated area of Santa Cruz County.

In addition to the main University campus, the University also has a Marine Lab Facility at the north side of the County situated along the coast.

Much of the University infrastructure and services are at least somewhat dependent on the City and County of Santa Cruz. UC Santa Cruz receives water and sewer treatment services from the City of Santa Cruz. In normal and wet years, the water supply system is capable of meeting the needs of the current population, but even without population increases, the system is highly vulnerable to shortages in drought years. The City and the University are also linked through mutual aid agreements in areas such as fire services.

The University has a current enrollment of approximately 17,200 undergraduate and graduate students supported by approximately 4,400 faculty and staff.

The University adopted its own Hazard Mitigation Plan in 2005 and prepared a Hazard Mitigation Progress Report in 2011. It also has an Emergency Response Plan that can be found online at: <http://emergency.ucsc.edu/emergency-management/plans/ucsc-emergency-response-plan.pdf>. This plan, prepared in 2007, provides details about hazard response, vulnerabilities and mitigation measures for the University community.

### **Cabrillo Community College**

The county is also home to one of the highest rated community colleges in the state. Cabrillo Community College sits on 160 acres overlooking Monterey Bay. The main campus is located in Aptos, on the north side of Hwy 1. There are two satellite campuses, one in the City of Watsonville and the other in Scotts Valley. The college also owns seven acres in Bonny Doon for use of anthropology and archeology students.

The College has a current enrollment of approximately 13,000 students supported by 968 faculty and staff. The developed area (existing and approved) of the campus consists of 60 buildings with over 746,000 gross square feet.

There is no student housing on campus but there is a newly built student center, which includes a Health Center. The College contracts with the County Sheriff's Department to provide all law enforcement services. It is also dependent on the County of Santa Cruz for fire protection and other services such as water and sewer.

The College has developed an Emergency Operations Plan updated March 2013. This plan clearly delineates areas of responsibility for staff and partner agencies and specifically

addresses earthquake, fire, flood, storms, landslide and other hazards that might occur on campus. This policy also defines when a state of emergency should be declared on campus and the steps necessary to address said emergency.

### **California Polytechnic University**

Swanton Pacific Ranch is a working ranch owned by Cal Poly. It has three distinct operations; FSC certified selective forestry, natural grass-fed beef and certified organic crops. Located on 3200 acres, the exceptional diversity of this property, and the greater Scotts Creek watershed, provide remarkable conditions for agriculture production, which support several unique educational programs and research opportunities based on site. The ranch was recently threatened by the Lockheed Fire in August 2009, but no damage occurred.

### **Household Income and Education**

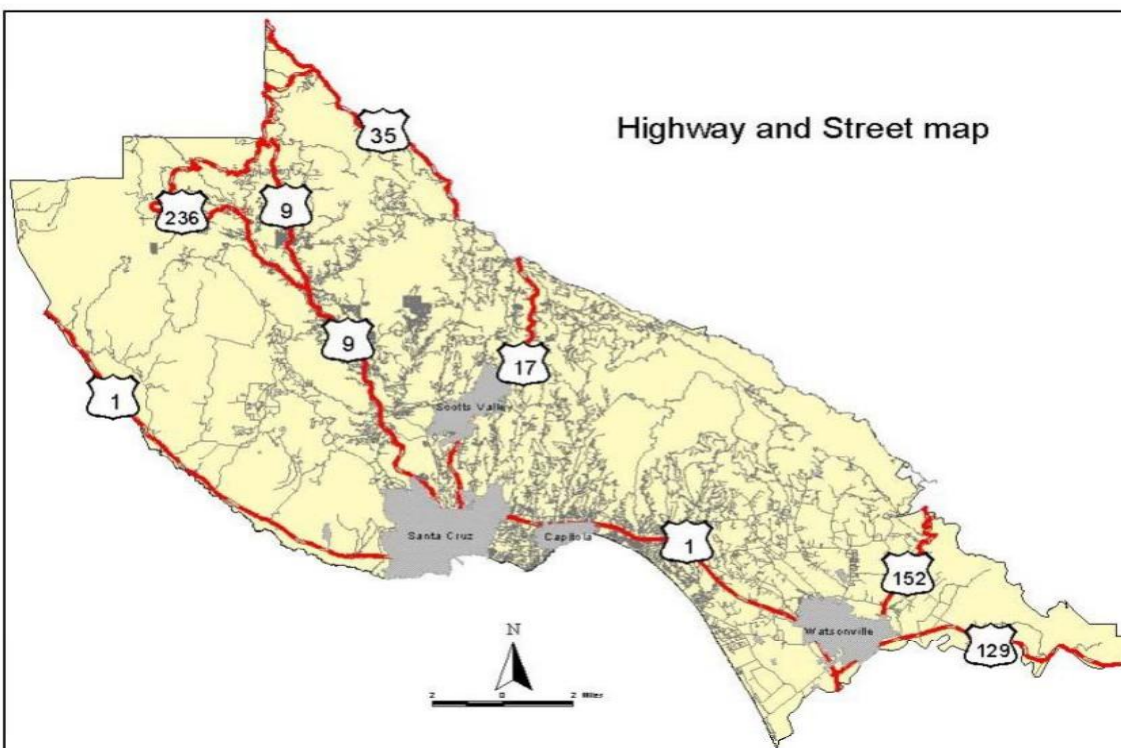
The median household income for the County of Santa Cruz in 2013 was an estimated \$66,519, compared to \$61,094 for the State of California. Residents of the County of Santa Cruz are highly educated, with more than 37 percent of residents over age 25 having achieved a bachelor's degree or higher by 2013.

### **Residents' Place of Work**

In addressing potential hazards, it is significant that over 28,000 county residents commute to neighboring counties for work<sup>3</sup>. This represents approximately 23% of the total number of workers in the county. Over 17,000 commute to work in Santa Clara County, which is connected to Santa Cruz County by Hwy. 17, a winding, four-lane mountain pass prone to traffic accidents and small slides, especially during the rainy season. Nearly 6,000 county residents commute to Monterey County via Hwy.1, portions of which are two lanes and prone to accidents, which cause major traffic jams.

There are far fewer commuters into the county. Nearly 16,700 workers commute to Santa Cruz, the vast majority of which (8,551) come from Monterey County via Hwy 1 . As previously mentioned, this can be problematic due to accidents, which tie up this main artery to the coast.

**FIGURE 3. KEY TRANSPORTATION ROUTES TO AND WITHIN SANTA CRUZ COUNTY**



Five major state highways connect Santa Cruz County with adjacent counties. Highway 1 leads along the coast from San Francisco south to the cities of Santa Cruz, Capitola and Watsonville and then on to Monterey. Highway 9 traverses the County from the City of Santa Cruz through the unincorporated towns of Felton, Ben Lomond, Brookdale and Boulder Creek, which are all located in the San Lorenzo Valley. Highway 17 also crosses the Santa Cruz Mountains into Santa Clara County passing through the City of Scotts Valley. Highways 129 and 152 join the City of Watsonville with neighboring Santa Clara County. The Santa Cruz Metropolitan Transit District (Metro) provides bus service throughout Santa Cruz County. Metro also operates bus service between the County of Santa Cruz and Santa Clara County. One small airport accommodating private planes is located in Watsonville and a second small airport is maintained in Bonny Doon for the use of CalFire Dept.

Route 9 is the only viable access to the San Lorenzo Valley, serving the unincorporated communities. Bonny Doon is accessible via either Highway 9 or via Highway 1 and then inland near Davenport. Davenport and Watsonville, and indeed, most other mid county locations are all accessible from Highway 1.

The county maintains a distinction between urban and rural areas through the use of a stable Urban/Rural Boundary, consistent with the California Coastal Act of 1976 and measure J, a local growth management referendum of 1978. The Urban/Rural Boundary is represented by an Urban Services Line (USL) and a Rural Services Line (RSL).

Urban concentrations of development are located within the four incorporated cities of Scotts Valley, Santa Cruz, Capitola and Watsonville and in the unincorporated areas of Live Oak,

Soquel, Aptos, and Freedom as defined by the USL (See **Appendix K**). It is basic county policy to direct a large share of the County's growth into the areas within the USL to facilitate the provision of services for future growth, preservation of the environment and hazard mitigation.

### **Economic Trends**

The key industry sectors in the Santa Cruz County are centered in agricultural and mining. . Other key economic sectors include education and health care, retail trade, leisure and hospitality, and government.<sup>4</sup> As previously noted, there are two major educational institutions in our county: Cabrillo Community College located in mid-county and the University of California at Santa Cruz (UCSC) located in the north county area.

Between 2001 and 2011 Santa Cruz County has seen an overall decline in employment by nearly 11,000 jobs, an overall decline of approximately 11%. The greatest job loss occurred in manufacturing (loss of 3,822 jobs), followed by construction (loss of 1,919 jobs), and information (loss of 1,675 jobs) Professional & Technical Services and Leisure & Hospitality also experienced substantial job losses during this period. Job losses in Santa Cruz County were somewhat offset by gains in Health Care & Education (2,667 jobs), Other Services (613 jobs), Agriculture & Mining (538 jobs), and Wholesale Trade (56 jobs). Along with the increase in jobs in the agricultural sector, the production value of local crops increased from \$230 million dollars in 1998 to almost \$565.7 million in 2011.<sup>5</sup> This is an important consideration in hazard mitigation planning, as much of the unincorporated area of the county is agricultural land, some of which lies in the flood plain. Proper flood mitigation could save millions of dollars in lost crops.

Intergovernmental revenue, which includes transfers from the federal and state government, was the County's primary revenue source. In FY 2008/09, intergovernmental revenue accounted for 46 percent of Santa Cruz County's General Fund, which was similar to the 48 percent in a typical California County. Property taxes, sales and use taxes, transient occupancy taxes, and transfer taxes comprised 25 percent of the County's General Fund in FY 2008/09, similar to 24 percent for an average California county.<sup>6</sup>

Property taxes, sales taxes, transient occupancy taxes (TOT), and revenue from construction permits were lower in FY 2011/12 compared to FY 2007/08. Property taxes in FY 2011/12 were 1.1 percent lower, sales taxes were 5.9 percent lower, TOT was 0.4 percent lower, and revenue from construction permits was 53.3 percent lower in FY 2011/12 than in FY 2007/08. However, shortfalls in these categories have been alleviated by increases in intergovernmental aid (including grants), license and franchise fees; fines, and assessments, and other taxes. Although General Fund revenue has rebounded in recent years, these above categories are still in the process of recovering from the recession.<sup>7</sup>

### **Community Vision**

The County of Santa Cruz General Plan includes a section on Public Safety, which addresses many of the potential hazards addressed in this plan. The overall goals guiding the Public Safety Element of the General Plan are as follows:

- To protect human life, private property and the environment.
- To minimize public expenses by preventing inappropriate use and development or location of public facilities and infrastructures in those areas, which by virtue of natural dynamic processes or proximity to other activities, present a potential threat to the public health, safety and general welfare.

The Public Safety Element of the General Plan also identifies major hazards that may occur within our county, policies that address each hazard and mitigation factors. It provides information on all pertinent county policies relating to hazard mitigation, as well. The General Plan has informed this LHMP. Working with the Planning Department collaboratively on this LHMP supports a broader vision of what factors need to be considered in order to protect the health and welfare of our residents.

In 2011 the Planning Department obtained funding from the Department of Housing and Community Development (HCD) Community Development Block Grant (CDBG) Disaster Recovery Initiative grant program to implement recommendations of the 2010 LHMP related to flooding, coastal bluffs and beaches, erosion, and fire. This project to amend portions of the General Plan/Local Coastal Program (GP/LCP) and the County Code that address public safety was initiated to promote goals, policies and regulations that would increase the resilience of the community relative to the expected impacts of climate change in Santa Cruz County, provide for adaptation strategies, and implement several Priority Actions in the County's 2010 Local Hazard Mitigation Plan (LHMP).

In 2013 the County adopted a Climate Action Strategy (CAS) to address the two pillars of community response to climate change: reduction of greenhouse gas emissions, and adaptation to the environmental changes that are expected to occur. Many of the proposed General Plan policies and code amendments will implement the adaptation portion of the CAS, minimize impacts from climate change, and increase resilience in unincorporated area. This project is currently in the public hearing process.

### **General Plan Guiding Principles**

The overall goals and guiding principles for the Land Use Element of the General Plan, which needed to be considered in our Local Hazard Mitigation Plan, are as follows:

- **Population and Residential Growth Goals:** To provide an organized and functional balance of urban, rural, and agricultural land use that maintains environmental quality, enhances economic vitality, protects the public health, safety and welfare, and preserves the quality of life in the unincorporated areas of the county.
- **Rural Residential Siting and Density:** To achieve patterns of rural residential development that are compatible with the physical limitations of the land, the natural and cultural resources of the County, the availability of public services, and protection of the natural environment.
- **Village, Town, Community and Specific Plans:** To continue using village, town, community and specific plans to provide a planning framework to guide future public and private improvements in town centers and other concentrated urban and rural areas, to provide a higher level of planning detail and involvement.

### **Community Facilities**

The County of Santa Cruz owns or leases a large number of facilities and critical infrastructures. These buildings, bridges, culverts etc. are used for various purposes including government administration, emergency services, public works, and recreation. After the 1989 Loma Prieta earthquake, many of these structures were examined for seismic safety.

A list of these facilities appears in Appendix D and maps of the location of these structures

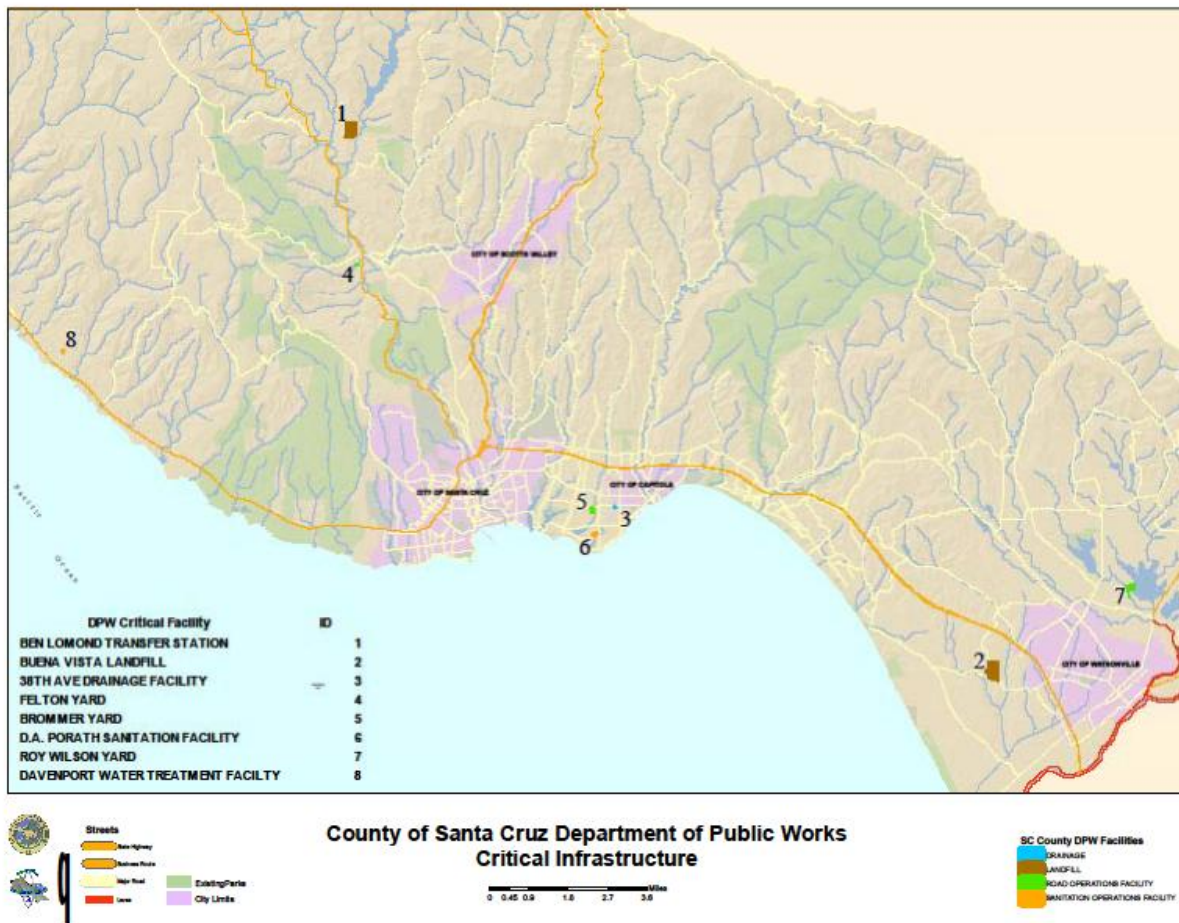


follows on the next pages (figure 4 & 5). There has been no change to this list of facilities since the previous plan was completed.

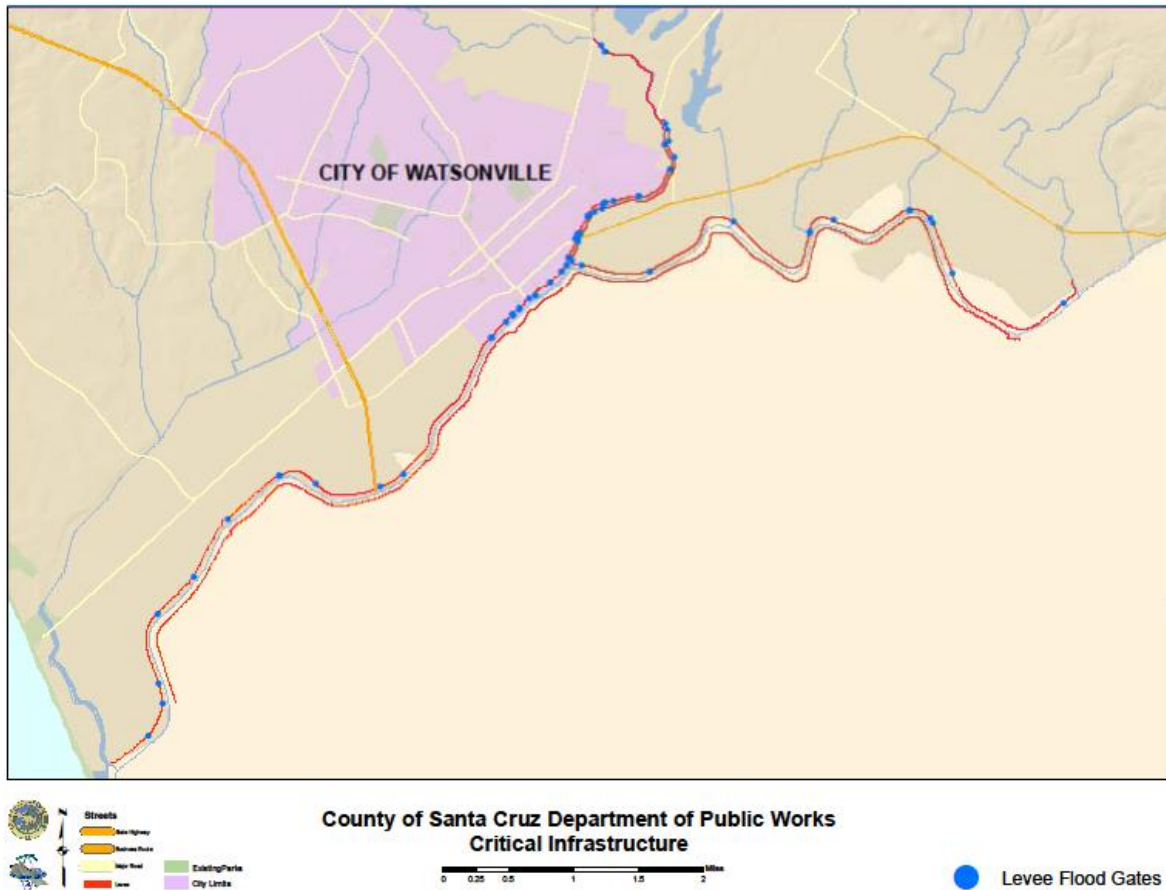
County of Santa Cruz Owned Infrastructure consists of the following elements:

- All sanitation pump stations and treatment plants
- All county maintained bridges and major culverts
- County rain and stream gauges
- Pajaro and Salsipuedes levee flood gates
- Public Works Yards
- Davenport Water Treatment Facility
- 38<sup>th</sup> Ave. Drainage Facility

**FIGURE 4. COUNTY OWNED CRITICAL INFRASTRUCTURE LOCATIONS**



**FIGURE 5. LOCATION OF LEVEE FLOOD GATES**



### **Critical Facilities Not Owned by the County**

Hospitals and schools are some of the critical facilities not owned by the County but designated as Disaster Medical Facilities or shelters in the Public Health Emergency Preparedness Plan. For a complete list, please see Appendices E, F and G. There has been no change to this list of facilities since the previous plan was completed.

There are three hospitals within the county limits: Dominican Santa Cruz Hospital, Watsonville Community Hospital, and Sutter Maternity and Surgery Center. Of the three, only Dominican and Watsonville have emergency rooms. All three hospitals are designated for use during Public Health emergencies.

There are also several skilled nursing facilities, rehabilitation centers, medical clinics, and long-term care facilities within the county.

The Santa Cruz County Office of Education (COE) oversees all schools within the county, some of which have been used in the past as emergency operation centers and emergency shelters. The COE has an Emergency Plan, which is incorporated within this LHMP.

**FIGURE 6. CRITICAL FACILITIES NOT OWNED BY THE COUNTY OF SANTA CRUZ**



### Historical Structures

The Planning Department keeps an inventory of historic sites and properties within the county. A historic evaluation is provided for each site which provides the basis for classifying the properties. The evaluation and rating of these properties in this inventory is based upon guidelines published by the National Park Service for placement on the National Register of Historic Sites. A review of this list revealed that most of the properties are privately owned. Notable exceptions include Wilder Ranch State Park, which is under the authority of the state government, and Felton Covered Bridge, which is located in a County Park.

## **PART 2 — THE PLANNING PROCESS**

- The Purpose of the Plan
- The Planning Process
- Documentation of the Planning Process
- Local Capabilities Assessment and Integration
- Community Participation

## CHAPTER 3 — THE PLANNING PROCESS

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### The Purpose of the Plan

The Federal Disaster Mitigation Act (DMA) of 2000 (Public Law 106-390), commonly known as the 2000 Stafford Act Amendments, was approved by Congress on October 10, 2000. To implement the DMA 2000 planning requirements, FEMA prepared an Interim Final Rule, published in the Federal Register on February 26, 2002, which established planning and funding criteria for states and local communities. This act required state and local governments to develop hazard mitigation plans as a condition for federal grant assistance. For the Pre-Disaster Mitigation (PDM) program, local jurisdictions must have an approved mitigation plan to receive a project grant. Prior to 2000, federal legislation provided funding for disaster relief, recovery, and some hazard mitigation planning. The DMA improves upon the planning process by emphasizing the importance of community planning for disasters before they occur. Using this initiative as a foundation for proactive planning, the County of Santa Cruz developed this hazard mitigation plan in an effort to reduce future loss of life and property resulting from disasters. Through careful planning and collaboration among public agencies, stakeholders, and citizens, it is possible to avoid or minimize losses that can occur from disasters. Hazard mitigation is any action taken to permanently eliminate or reduce long-term risks to human life and property from natural hazards. Along with preparedness, response, and recovery, mitigation is an essential element in emergency management. Disasters can have significant impacts on communities. They can destroy or damage life, property, and infrastructure, local economies, and the environment.

This LHMP is intended to assist the County of Santa Cruz in reducing its risk from all hazards by identifying resources, information, and strategies for risk reduction. The plan will also help guide and coordinate mitigation activities throughout the County. Building on a tradition of progressive planning and past mitigation successes, the County of Santa Cruz planning team set out to develop a plan that would meet the objectives summarized below.

- The plan would meet or exceed program requirements specified under the DMA
- The plan would meet the needs of the County of Santa Cruz
- The plan would coordinate existing plans and programs so that high priority initiatives and projects to mitigate possible disaster impacts would be funded and implemented. The plan would also create a linkage between the LHMP and established plans such as the County's General Plan and Emergency Management Plan so that they will work together in achieving successful disaster mitigation. It should be noted that DMA compliance is not the sole purpose of this LHMP. Santa Cruz County has experienced a number of significant disasters, which has fostered a practice of proactive planning and program implementation. This practice is further enhanced by the development of this LHMP. Multiple objectives drive this planning effort, one of which is DMA compliance. Elements and strategies included in this plan were selected not only because they meet a program requirement but also because they meet the needs of the community.

## PLANNING PROCESS

This section describes the process in which the plan was developed. This includes the federal requirement followed by the County's actions applied to this process.

### DOCUMENTATION OF THE PLANNING PROCESS

**2.1 Documentation of the Planning Process Requirement §201.6(b):** In order to develop a more comprehensive approach to reducing the effects of natural disasters, the planning process **shall** include:

- (1) An opportunity for the public to comment on the plan during the drafting stage and prior to plan approval;
- (2) An opportunity for neighboring communities, local and regional agencies involved in hazard mitigation activities, and agencies that have the authority to regulate development, as well as businesses, academia and other private and non-profit interests to be involved in the planning process; and
- (3) Review and incorporation, if appropriate, of existing plans, studies, reports, and technical information.

**Requirement §201.6(c)(1):** The plan **shall** document the planning process used to develop the plan, including how it was prepared, who was involved in the process, and how the public was involved.

The County of Santa Cruz has developed a local hazard mitigation plan. The County Office of Emergency Services took on the initial responsibility for development of the plan. The initial phase of the planning process established a project team made up of representatives from various County government departments responsible for different aspects of the hazard mitigation plan including Planning and Building, Public Works, Fire, and Geographic Information Systems (GIS). From this group, project team leaders were identified. Team leaders included Paul Horvat, Emergency Operations Manager, Mark Deming, Planning Dept. Assistant Director and Laurie Lang, LHMP Coordinator. The project team was formed as a task group to develop the plan. Meeting dates were set based on progress and focus. The project team invited interested parties such as UCSC, Cabrillo College, the cities of Capitola, Watsonville, Scotts Valley and Santa Cruz, the local American Red Cross as well as scientific and technical specialists at the local, state, and national level to review the draft at various stages. The project team is listed under acknowledgements in the 2010 LHMP.

The original plan was developed between May 2008 and December 2009. The project team leaders met once per week and the project team met approximately once per month from September 2009, through December 2009, and then as needed in 2010 until the draft plan was circulated for a 30-day public review on January 15, 2010. The project team leaders identified characteristics and potential consequences of natural hazards that are a potential threat to the County of Santa Cruz. With the understanding of the risks posed by the identified hazards, the team determined priorities and assessed various methods to avoid or minimize any undesired effects. Responsible departments were consulted at several points in the development of the goals, objectives and actions. As a result, the mitigation strategy, including goals, objectives and actions, was determined, followed by an implementation and monitoring plan. This monitoring plan included tracking of hazard mitigation projects, changes in day-to-day County operations, and continued hazard mitigation development.

Public input during the development of the original mitigation plan assisted in shaping plan goals and mitigations, and integrating the LHMP with the Safety Element of the General Plan Update. The 2010 Local Hazard Mitigation Plan was a topic of discussion at three public meetings of the Emergency Management Council. When the draft was completed, a 30 day public comment period was initiated. A public notice was placed in the local paper to invite the public to review and comment on the draft plan. Copies of the plan were made available at the Aptos, Live Oak and Felton Branches of the Santa Cruz Public Library and in the General Services Department at the County Administrative Building. A draft of the plan was posted on the County’s website with an interactive response option that provided an opportunity for interested members of the public to comment on the draft LHMP on the web. Those comments were incorporated into the final document.

The draft LHMP was also sent to members of a technical committee, which consisted of national, state and local scientists and experts for review prior to creation of the Public Draft. Comments received were incorporated into the final draft LHMP.

<b>LHMP Meetings</b>	<b>Date</b>	<b>Type</b>
Project Team Leaders	July 1, 2009	In house
Subject Matter Expert	July 21, 2009	In house - Flood
Subject Matter Expert	July 28, 2009	In house – City of Santa Cruz
Consultant	July 29, 2009	In house – Civil Engineering
Project Team Leaders	August 3, 2009	In house
Consultant	September 2, 2009	In house – Civil Engineering
EMC Presentation and adoption of goals and objectives	September 3, 2009	Public
Consultant	October 8, 2009	In house
Project Team Leaders & GIS	October 13, 2009	In house
Project Team	October 15, 2009	In house
Project Team (CRS Team)	October 29, 2009	In house
Emergency Management Council – section review	November 5, 2009	Public
Project Team Crosswalk Review	December 9, 2009	In house
Project Team	December 16, 2009	In house
EMC Presentation of draft plan	January 7, 2010	Public
Project Team Leaders	January 11, 2010	In house

In 2015 the Planning Department led the effort to coordinate an update of the Plan. The purpose of the update is to review the Plan, revise the Plan if necessary, and resubmit the Plan for approval in order to remain eligible for benefits awarded under the Disaster Mitigation Act (DMA). The update was led by Planning Department staff involved in the update of the General Plan Safety Element. Best available information was used to update the hazard risk assessment. The action plan has been reviewed and amended, to account for changes in the risk assessment and new county policies identified under other planning mechanisms, as appropriate (such as the General Plan and Climate Action Strategy). The plan update process has involved appropriate agencies, and the public has been given an opportunity to comment. The updated plan will be presented to the County Board of Supervisors for adoption.

The LHMP was approved in 2010, and must be updated within a 5-year cycle, and the Planning Department is the agency designated to lead the plan update process. The Project Leader, David Carlson, Resource Planner, initiated the update process by assembling a Project Team. Information on the update process and roles of team members was provided to Project Team members by email communication June 1, 2015. For this Plan Update, the Project Team worked under the direction of the Project Leader to evaluate and update the plan. The Project Team was responsible for evaluating the hazards, risks, and mitigation measures identified in the previous plan within their respective areas of expertise, and providing feedback to the Project Leader for incorporation in the updated Plan. The Project Leader facilitated this process through direct email and telephone communication with staff to ensure full participation by staff members to provide input on every aspect of the plan's content. Using guidance from FEMA, report forms for each Mitigation Action were created, and sorted by responsible Department. The forms were provided to staff in each responsible department along with guidance and instructions for the purpose of completing an overall evaluation of the plan as applicable to each staff's and each department's area of expertise. This part of the process occurred during the month of June 2015. Following this phase of the plan update process the Project Leader incorporated the work of the Project Team into the plan update, including reporting our progress on the Mitigation Actions, and revising the plan as appropriate based on an overall evaluation of the plan.

The update of the Local Hazard Mitigation Plan has been informed by complementary planning processes involving the update of the General Plan Safety Element and creation of a County Climate Action Strategy. As further described below and summarized in the table at the end of this section, a number of complimentary planning efforts and public outreach and participation contributed to the process of updating the LHMP.

In 2011 the Planning Department obtained funding from the Department of Housing and Community Development (HCD) Community Development Block Grant (CDBG) Disaster Recovery Initiative grant program to implement recommendations of the 2010 LHMP related to flooding, coastal bluffs and beaches, erosion, and fire. This project to amend portions of the General Plan/Local Coastal Program (GP/LCP) and the County Code that address public safety was initiated to promote goals, policies and regulations that would increase the resilience of the community relative to the expected impacts of climate change in Santa Cruz County, provide for adaptation strategies, and implement several Priority Actions in the County's 2010 Local Hazard Mitigation Plan (LHMP). The project to update the Safety Element is led by David Carlson, Resource Planner, and has involved input from representatives of other agencies, local stakeholder groups and the general public.

The Project Leader met with the Fire Prevention Officers (FPO) Association of Santa Cruz



County at the FPO's regular meetings in September and October 2014. This part of the project updated fire hazard policies in the General Plan Safety Element for consistency with State law.

The Project Leader and select members of the Project Team in November 2014 met with private sector engineers, geologists, and land use planning consultants experienced with coastal issues in Santa Cruz County to discuss the proposed updates to policies in the Safety Element related to development on coastal bluffs and beaches, and floodplains. In addition to ongoing correspondence, the Project Leader met with staff from the California Coastal Commission in April and September 2015 to discuss coastal bluff and beach development policies.

The Project Leader in December 2014 completed an Initial Study in accordance with the requirement of the California Environmental Quality Act for the Safety Element update project of the General Plan. In February 2015 the Planning Commission held a public hearing on the project. Another meeting of community members and stakeholders was held in April 2015.

Although additional work and public hearings remain before the project to update the Safety Element is complete, the process of public and agency outreach has played an important role in update of the LHMP. The project has facilitated a comprehensive review of policies related to fire hazards, flood hazards, coastal erosion hazards, and hazards related to climate change. This LHMP update identifies the hazard of climate change and includes a series of mitigation actions to address the hazard, as further described below.

The update of the Local Hazard Mitigation Plan involved a long process conducted in stages beginning with creation of a Climate Action Strategy (CAS). The CAS includes a plan for adaptation to climate change impacts, which, according to the best available science, are very likely to occur. The Climate Change Adaptation Strategy became the major component of the update to the LHMP, comprising the additional Chapter 13 of the LHMP Update. Therefore, the public outreach effort to develop the Climate Change Adaption Strategy has a specific application to this LHMP Update.

In 2013 the County adopted a Climate Action Strategy (CAS) to address the two pillars of community response to climate change: reduction of greenhouse gas emissions, and adaptation to the environmental changes that are expected to occur. Efforts to understand, mitigate and adapt to climate change cross many disciplines and departmental areas of responsibility. Recommended strategies will guide a multitude of practical endeavors in land use, resource management, County operations, transportation, building, economic development and emergency management. For this reason the preparation of the CAS included many County Departments and has benefitted from review by a wide variety of staff people, technical experts, stakeholder groups, and community members, as indicated on the acknowledgements page of the CAS, reproduced below.

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Pacific Gas & Electric  
Green Communities Program

The CAS was the subject of a community meeting in June 2012 and several focus group meetings in 2012 with members of the public, non-profit and volunteer groups working in the climate action arena, and with representatives of agriculture including the Santa Cruz County Farm Bureau and University of California Cooperative Extension. There was outreach to the business community in the context of renewable energy and energy efficiency financing, which included local financial institutions, solar installers, the Chamber of Commerce, and commercial property owners. A web page was created, which included a brief explanation of the CAS, a link to the document, and an online tool for providing feedback called "Open Town Hall." Substantial modifications were made to the CAS as a result of public input, including the addition of the agricultural inventory, a forestry section, and a detailed emissions inventory.

On January 23, 2013, a presentation of the CAS was provided to the Commission on the Environment at public hearing. As recommended by the Commission on the Environment, on February 26, 2013 the CAS was adopted by the Board of Supervisors at a public hearing.

Public outreach for the LHMP update sought to build on these earlier efforts by emphasizing outreach to the public and local agency representatives that normally are interested in and participate in hazard mitigation and response activities. This was accomplished through the process of the County Disaster Council, specifically their meeting held on September 3, 2015. The meeting agenda was mailed to all members of the Disaster Council, a list of interested parties in the at-large community, and publicly noticed according to standard public meeting notification procedures. A sign in sheet from the September 3, 2015 meeting indicates attendance at this meeting by a total of 23 people representing members of the Disaster Council and other members of the public associated in some way with disaster response and hazard mitigation. At this meeting the Project Leader described the LHMP Update project, including the Climate Change Adaptation Strategy, inviting them to participate in the development of the LHMP Update and giving them the opportunity to be involved in the planning process. Access to the LHMP Update document was provided for this purpose by posting the document on the Planning Department website. In addition, a general announcement regarding the LHMP Update project placed on the main page of the Planning Department website for access by any

interested member of the public. This posting included notice the plan was available at local public libraries throughout the County. No input or feedback was received as a result of these efforts.

This outreach effort was similar to the efforts in preparation of the original 2010 HMP. However, considering the outreach efforts associated with the update of the Safety Element and the development of the CAS, the list of contributors for the update, and the broad range of outreach efforts associated with the update and related planning processed, is more extensive and represents a broader effort to include interested member of the public as well as agency personnel.

<b>Planning Process</b>	<b>Date</b>	<b>Meeting/Work Type</b>
Disaster Recovery Initiative Grant	June 2011	Funding to update Safety Element
Climate Action Strategy	June 2012	Community meeting
Climate Action Strategy	2012	Focus group meetings with public, non-profit and volunteer groups, Farm Bureau, UC Cooperative Extension, and business community
Climate Action Strategy	January 23, 2013	Commission on the Environment public hearing
Climate Action Strategy	February 26, 2013	Board of Supervisors public hearing
Safety Element update	September/October 2014	FPO monthly meetings
Safety Element update	November 2014	Meeting with private sector engineers, geologists, and land use planning consultants
Safety Element update	December 2014	Environment Review public comment period
Safety Element update	February 2015	Planning Commission public hearing
Safety Element update	April 2015	Stakeholder meeting
Safety Element update	April 2015	Meeting with California Coastal Commission staff
LHMP update	June 1, 2015	Project Leader assembles Project Team
LHMP update	June 2015	Project Team evaluation and update of LHMP
LHMP update	June through September 2015	Project Leader update of LHMP
Safety Element update	September 2015	Meeting with California Coastal Commission staff
Disaster Management Council meeting on LHMP update	September 3, 2015	Public meeting of local and regional agencies
Public review period for LHMP update	September 2015	Public notice on website LHMP posted on website and local libraries
LHMP update	October 2015 through February 2016	Plan revisions by Project Leader in response to State OES comments

## Local Capabilities Assessment and Integration

**2.2 Local Capabilities Assessment (State of OES Requested Information) Requirement §201.4(c)(3)(ii):** Of the Federal Register Interim Final Rule Title 44 of the Code of Federal Regulations 44 CFR Parts 201 and 206 states, The **State** mitigation strategy **shall** include a general description and analysis of the effectiveness of local mitigation policies, programs and capabilities.

This assessment of the mitigation goals, programs and capabilities included a review of the following items:

- 1) Human and technical resources
- 2) Financial resources and funding sources
- 3) Local ordinances, zoning and building codes
- 4) On-going plans or projects

The LHMP was informed by The General Plan Safety Element, the Emergency Management Plan, the Urban Water Management Plan, the Santa Cruz City Water Department Water Conservation Plan, County ordinances, zoning and building codes and the Capital Improvement Program (CIP) Consistency between these plans, programs and policies was reviewed by using these approved plans and policies as a foundation for the LHMP and by consulting with the departments responsible for the various plans and programs. In reviewing the effectiveness of local programs, **Appendix H** lists **Successful Programs** that have been implemented by the County. While these programs and the updated Emergency Management Plan have increased the County's hazard mitigation capabilities, funding availability is the limiting factor in the implementation of additional identified hazard mitigation programs.

The Project Team leaders met several times with county staff and members of the Planning Department to insure that the LHMP was consistent with the General Plan Safety Element. The project leaders met with county staff in the Environmental Health Dept. to incorporate hazard mitigation efforts identified by the various Water Departments within the county. Project leaders met with Fire Department staff to insure that the LHMP was consistent with the current and planned programs and fire safety plans. The project leaders also met with the County GIS coordinator to insure that maps were consistent with those in the General Plan and were accurate as of the draft publication date.

The County of Santa Cruz Emergency Services Manager, Paul Horvat, was part of the Project Team leadership and oversaw the review and incorporation of plans and studies for consistency with the LHMP. This included the county's Emergency Management Plan with the following appendices:

- Flood Management Plan
- Earthquake Hazard Plan
- Dam Inundation Hazard Plan
- Wildland Fire Hazard Plan
- Tsunami Hazard Plan

In this plan update, the planning team has verified that capabilities are documented sufficiently and capability changes from the previous plan are described. The Plan identifies actions that are within the capability of the County and its partners to implement, and describes how the

mitigation action items have been implemented since 2010.

In this 2015 update the Plan was enhanced by identifying the hazard of climate change and sea level rise and incorporating mitigation actions from the County's Climate Action Strategy developed in 2013.

## **PART 3 — HAZARD IDENTIFICATION AND RISK ASSESSMENT**

### **Significant Risks**

Earthquakes and Liquefaction  
Wildfires  
Floods and Associated Coastal Storms  
Drought  
Tsunami  
Coastal Erosion  
Landslides

### **Less Significant Risks**

Dam Failure  
Expansive Soils

### **Future Risk**

Climate change

### **Multi-Hazard Summary**

## IDENTIFICATION AND PROFILING OF HAZARDS

### Risk Assessment of Hazards in Santa Cruz

**3.0 Risk Assessment: §201.6(c)(2):** The plan **shall** include a risk assessment that provides the factual basis for activities proposed in the strategy to reduce losses from identified hazards. Local risk assessments must provide sufficient information to enable the jurisdiction to identify and prioritize appropriate mitigation actions to reduce losses from identified hazards.

It is important for a community's risk assessment, mitigation and preparedness efforts to be founded on accurate information about the types and scale of damage hazards pose to the community. This section of the Plan contains a description of those hazards identified as potential significant threats to Santa Cruz – earthquakes, wildfires, floods, drought, tsunami, coastal erosion, and landslide as well as the lesser threats of dam failure and expansive soils – and the exposure and vulnerability of the County to these hazards. These risks have been identified based on historical information of hazard events including researching past disaster declarations in the County, input from geologic, climatic, and wildfire specialists and organizations as well as public comments and newspaper articles. Probable damage and the consequences to the county's quality of life are described.

The County of Santa Cruz has expanded and updated its GIS database, mapping critical facilities and hazard risk areas. Data from this mapping was used to determine hazards that present the greatest risk to the County.

Each hazard type was mapped as a GIS layer. In some cases, the hazard layers were developed and provided by outside agencies. Estimated loss is based on assessment improvement values associated with the Assessment Roll dated 10/13/2009. The assessment improvement values were joined to the county's parcel layer. The unincorporated parcels were queried out (which excluded the city parcels in the analysis). For each hazard type, the unincorporated parcels that fell within the hazard type were selected and the assessment improvement values were totaled. Valuation of parcels is based on improvement values as they appear on the Assessment Roll. They do not reflect potential sale or replacement value. ESRI's ArcGIS software was used to develop the hazard layers and conduct the analysis.

The Assessment Roll normally varies from year to year and over the long term. A review of assessment value and property tax data during this update period indicated there has not been a significant change in the overall data, or value at risk, since the previous Plan was adopted. The following table indicates the relevant Assessment Roll and property tax data for this update:

Fiscal Year	Assessed Valuation (in thousands)	Property Tax (in thousands)
2009	\$ 32,531,717	\$ 72,032
2014	\$ 35,996,363	\$ 71,929

This update focuses on how risk has changed since the previous plan was completed, particularly changes related to land use development and new hazard information. Overall,



there has not been significant new development in hazard-prone areas since the previous plan was adopted. No area of the County has been affected by a declared disaster since the previous plan was adopted. The County's Climate Action Strategy, adopted in 2013, and containing new hazard information, is incorporated into this update plan to address the risk of climate change and sea level rise.

**TABLE A-1. A REVIEW OF ALL HAZARDS WITHIN THE COUNTY OF SANTA CRUZ**

<b>Hazard</b>	<b>Risk</b>	<b>Why/Why Not</b>
Avalanche	No	The county is not in an avalanche area
Climate Change	Major	<b>Best available science indicates probability is high, potential for loss of life is low - potential for economic and infrastructure loss is high</b>
<b>Coastal Erosion</b>	<b>Major</b>	<b>Past history indicates probability is high, potential for loss of life is low - potential for economic and infrastructure loss is high</b>
<b>Coastal Storm</b>	<b>Included</b>	<b>Included in Flood Plan</b>
<b>Dam Failure</b>	<b>Lesser</b>	<b>Past history indicates that probability is low but potential loss of life is high</b>
<b>Drought</b>	<b>Major</b>	<b>Past history indicates probability is high</b>
<b>Earthquake</b>	<b>Major</b>	<b>Past history indicates probability is high</b>
<b>Expansive soils</b>	<b>Major</b>	<b>Past history indicates probability is high</b>
Extreme Heat	No	Past history indicates probability is low
<b>Flood</b>	<b>Major</b>	<b>Past history indicates probability is high</b>
Hailstorm	No	Past history indicates probability is low
Hurricane	No	Past history indicates probability is low
Land subsidence	No	Past history indicates probability is low
<b>Landslide</b>	<b>Major</b>	<b>Past history indicates probability is high</b>
<b>Liquefaction</b>	<b>Included</b>	<b>Included with earthquake</b>
Winter Snow Storm	No	Past history indicates probability is low
Tornado	No	Past history indicates probability is low
<b>Tsunami</b>	<b>Major</b>	Past history (200 Years) indicates probability is low but potential for loss of life and property could be high
Volcano	No	Does not affect county
<b>Wildfire</b>	<b>Major</b>	<b>Past history indicates probability is high</b>

**3.1 Identifying Hazards - §201.6(c)(2)(i):** The risk assessment **shall** include a description of the type of all natural hazards that can affect the jurisdiction.

**TABLE A-2. HAZARD SCREENING FOR COUNTY**

<b>Risk</b>	<b>Affected Areas</b>
<b>Very Significant Risk</b>	
Earthquake (including liquefaction)	Entire County
Wildfire	Wildland/urban interface areas
Flood (including coastal storms)	San Lorenzo River floodplain Pajaro River floodplain Soquel Creek in Soquel Village
Drought	Entire County
Tsunami	Coastal Areas
Coastal Erosion	Coastal Areas
Landslide	Various areas (see map)
<b>Lesser risk</b>	<b>Affected Areas</b>
Dam Failure	San Lorenzo Valley
Expansive Soils	Various areas (see map)
Climate Change	Coastal Areas

The County of Santa Cruz is exposed to a number of natural hazards that vary in their potential intensity and impact. This mitigation plan addresses seven high-risk natural hazards, selected because of the likelihood of occurrence or the potential consequences, as well as three additional hazards that present either less risk of occurrence or extent of damage. The natural hazards: floods, earthquake, and tsunami are of great concern because they can occur independently, or in combinations that can trigger secondary hazards such as dam failure. Another high-risk hazard, drought, can exacerbate the potential for wildfires.

The natural hazards included in this plan were identified through a community-based process including input from scientific experts in various fields and in conjunction with the update of the General Plan including the Safety Element and the preparation of the Climate Action Strategy. The Local Hazard Mitigation Plan (LHMP) was the result of a number of public meetings, project team meetings, scientific expert and community input as well as suggestions submitted by community members of the county. Key contributors included members of the Project Team, the Emergency Management Council, Gary Griggs of the University of California at Santa Cruz, and county staff members who worked on programs and research that were incorporated in the General Plan and Safety element. The preparation of the Climate Action Strategy was the result

of a similar public process. Other natural hazards that are extremely rare or non-existent in the county are not included in this plan but are listed in Appendix A.

The worst potential disaster that the County of Santa Cruz might face involves multiple hazards occurring at the same time. A major earthquake could trigger tsunamis, wildfires or floods, which would be exacerbated by damage to dams, stream culverts and storm drains. The County of Santa Cruz plans for and responds to emergency events in accordance with the Santa Cruz County Operation Area Memorandum of Understanding (MOU). The Emergency Management Plan describes the role and operation of the County departments and personnel during a major emergency. In addition to researching each hazard individually, this Plan explores how the hazards interact, and how mitigation activities for each hazard impact the overall disaster risk in Santa Cruz.

## CHAPTER 4 - EARTHQUAKES AND LIQUEFACTION

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### 4.3.0 Risk Assessment

#### 4.3.1 Identifying Earthquake Hazards

**3.1 Identifying Hazards—Requirement §201.6(c)(2)(i):** The risk assessment shall include a description of the type location and extent of all natural hazards that can affect the jurisdiction.

An earthquake is a sudden release of energy in the earth's crust. Caused by movement along fault lines, earthquakes vary in size and severity. The focus of an earthquake is found at the first point of movement along the fault line, and the epicenter is the corresponding point above the focus at the earth's surface. The size of an earthquake has been measured in various ways, the most familiar being the now obsolete Richter magnitude scale, which determines the amount of ground displacement or shaking that occurs near the epicenter. The Richter magnitude scale has now been replaced by the Moment Magnitude scale for medium and large sized earthquakes. While this scale attempts to characterize the amount of energy released by an earthquake, another scale - the Modified Mercalli Intensity Scale - measures ground shaking intensity in terms of perception and damage and takes into account localized earthquake effects (see Table 4-1).

**TABLE 4-1. MODIFIED MERCALLI INTENSITY SCALE**

Intensity	Severity	Level of Damage
1-4	Instrumental to Moderate	No damage.
5	Rather Strong	Damage negligible. Small, unstable objects displaced or
6	Strong	Damage slight. Windows, dishes, glassware broken. Furniture moved or overturned. Weak plaster and masonry
7	Very Strong	Damage slight-moderate in well-built structures; considerable in poorly built structures. Furniture and weak chimneys broken. Masonry damaged. Loose bricks, tiles,
8	Destructive	Structure damage considerable, particularly to poorly built structures. Chimneys, monuments, towers, elevated tanks may fail. Frame houses moved. Trees damaged. Cracks in
9	Ruinous	Structural damage severe; some will collapse. General damage to foundations. Serious damage to reservoirs. Underground pipes broken. Conspicuous cracks in ground;

10	Disastrous	Most masonry and frame structures/foundations destroyed. Some well-built wooden structures and bridges destroyed. Serious damage to dams, dikes, embankments. Sand and
11	Very Disastrous	Few or no masonry structures remain standing. Bridges destroyed. Broad fissures in ground. Underground pipelines completely out of service. Rails bent. Widespread earth
12	Catastrophic	Damage nearly total. Large rock masses displaced. Lines of

Damage from earthquakes varies with the local geologic conditions, the quality of construction, the energy released by the earthquake, the distance from the earthquake’s focus, and the type of faulting that generates the earthquake. Ground motion is the primary cause of damage and injury during earthquakes and can result in surface rupture, liquefaction, landslides, lateral spreading, differential settlement, tsunamis, building failure and broken utility lines, leading to fire and other collateral damage. Typically, areas underlain by thick, water-saturated, unconsolidated material will experience greater shaking motion than areas underlain by firm bedrock, but in some cases relief may intensify shaking along ridge tops.

Fires and structural failure are the most hazardous results of ground shaking. Most earthquake-induced fires start because of ruptured power lines and gas or electrically powered stoves and equipment, while structural failure is generally the result of age and type of building construction.

**Liquefaction** is the transformation of loose, water-saturated granular materials (such as sand or silt) from a solid to a liquid state. Liquefaction commonly, but not always, leads to ground failure. Liquefaction potential varies significantly and site-specific analysis is needed to accurately determine liquefaction potential in earthquake prone areas.

Fault rupture and earthquake related Ground Cracking could occur in several locations within the County of Santa Cruz (see Figure 7 below). Several fault zones cross Santa Cruz County, and movement along these faults can cause fault-related surface deformation (e.g., surface fault rupture) where the fault reaches the surface of the ground. Both the County of Santa Cruz and the State of California have identified zones where the San Andreas and other active faults have and can cause fault-related surface deformation. Within these zones it is likely that movement along these faults will damage structures, roads, utilities, and other fixed facilities. The mapping of these zones has not changed for this plan update.

In addition to these zones, other ground cracking was observed during the Loma Prieta earthquake and the San Francisco earthquake of 1906. Many of these ground cracks can be attributed to movement or consolidation of large and moderate sized landslides while other ground cracks were most likely related to ridge spreading. Although much of the ground cracking was found near the fault zones and in the Summit area of the county, other ground cracking was found on ridge tops throughout the County of Santa Cruz. During the past five years Santa Cruz County has not experienced similar ground cracking as a result of an earthquake.

### 4.3.2 Hazard Profile – Earthquakes and Liquefaction

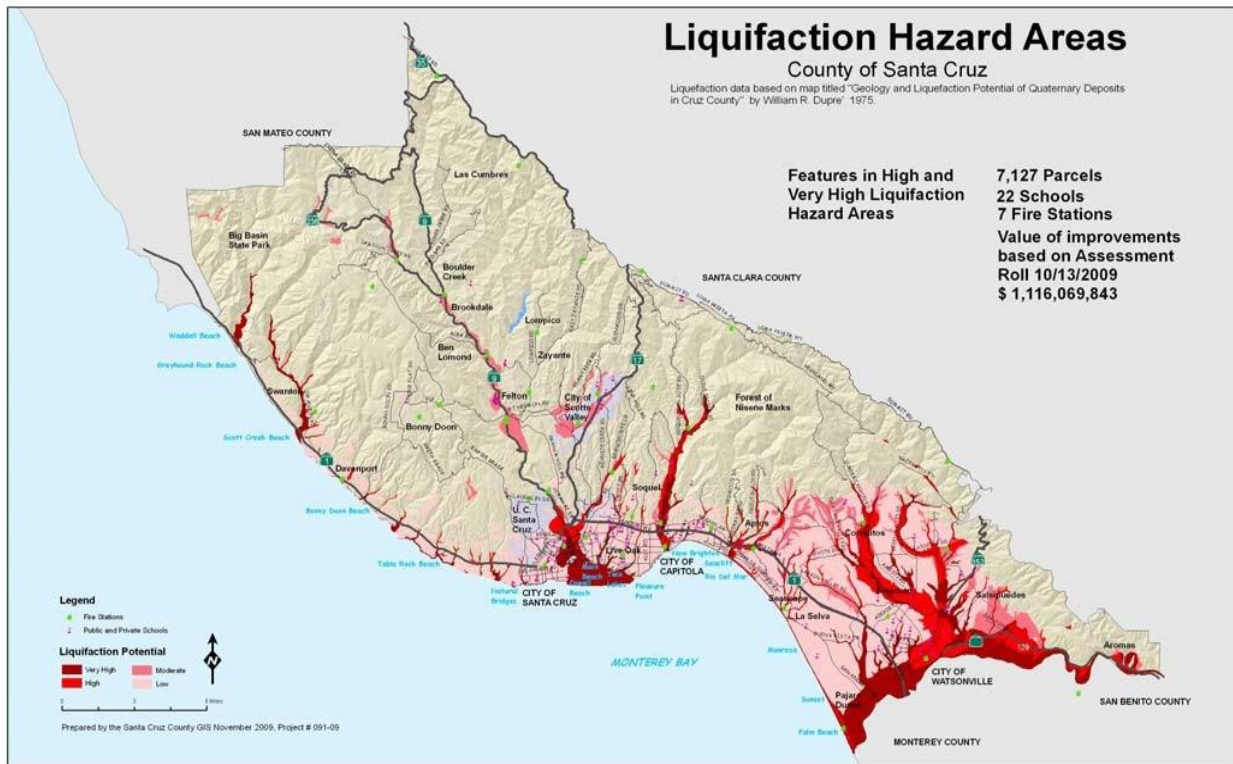
**3.2 Profiling Hazards – Requirement §201.6(c)(2)(i):** The risk assessment shall include a description of the location and extent of all natural hazards that can affect the jurisdiction. The plan shall include information on previous occurrences of hazard events and on the probability of future hazard events.

#### A. Location

**FIGURE 7. FAULT ZONES WITHIN COUNTY OF SANTA CRUZ**



**FIGURE 8. LIQUEFACTION AREAS WITHIN SANTA CRUZ COUNTY**



Past experience has shown that the entire county is vulnerable to earthquake. Within Santa Cruz County there are several active and potentially active faults. These include the San Andreas, San Gregorio, Zayante, the Monterey Bay Fault Zone, as well as numerous fault complexes and branches of these major faults. No new active or potentially active faults have been identified in the County for this plan update.

**B. Extent: Magnitude or Severity**

Several of the faults located in Santa Cruz County are considered to be active (showing signs of recent geologic movement, within the last 10,000 years), or potentially active (showing evidence of Pleistocene or younger movement). Faults where movement has not occurred during the Pleistocene are inactive and are not considered to pose a risk to any but the most critical structures.

The most significant threat to the county is the San Andreas Fault zone, which passes through the Santa Cruz Mountains along the northern portion of the county. Based on records from the 1906 San Francisco earthquake, it is estimated that the maximum credible earthquake likely to occur along the San Andreas Fault would equal 8.3 M, which represents more than 30 times the energy released by the 1989 Loma Prieta Earthquake. Santa Cruz County was one of the hardest hit counties during that earthquake.

### C. Previous Occurrences

The following is a list of previous events, dates, severity, level of damage, duration, sources of information used, and maps (where available) to show areas affected. While Santa Cruz has sustained numerous earthquakes throughout its history, the two most destructive ones were the 1906 San Francisco earthquake and the 1989 Loma Prieta earthquake.

**Moderate Sized Earthquakes before 1906:** Four moderate sized earthquakes (estimated Richter magnitude 6 to 6.5) were recorded in Santa Cruz before the April 18, 1906 earthquake: a Richter Magnitude 6 earthquake on February 26, 1864 centered somewhere in the southern Santa Cruz Mountains, a 6.5 Richter Scale earthquake on October 8, 1865 centered in the Santa Cruz Mountains, a 6 Richter magnitude earthquake on March 26, 1884 centered in the Santa Cruz Mountains, and a 6.25 Richter magnitude earthquake in the Pajaro Gap on April 24, 1890. All of these together indicate that a pattern of earthquakes nearly the same size of the Loma Prieta earthquake have occurred in the recent past. Each of these earthquakes caused some damage, and would cause damage to homes today (N.B. all magnitudes cited are estimates based on descriptions of the damage which occurred).

April 18, 1906: (Richter Magnitude: 8.3) There were no recorded deaths in Santa Cruz but the old courthouse partially collapsed and about 1/3 of the chimneys within the city of Santa Cruz were destroyed or damaged. Landsliding was observed throughout the Santa Cruz Mountains, and fault rupture was nearly continuous along the San Andreas fault zone, and nearby fault zones in the county of Santa Cruz. Infrastructure, including bridges, was destroyed, and broken mains and pipes shut off the water supply.

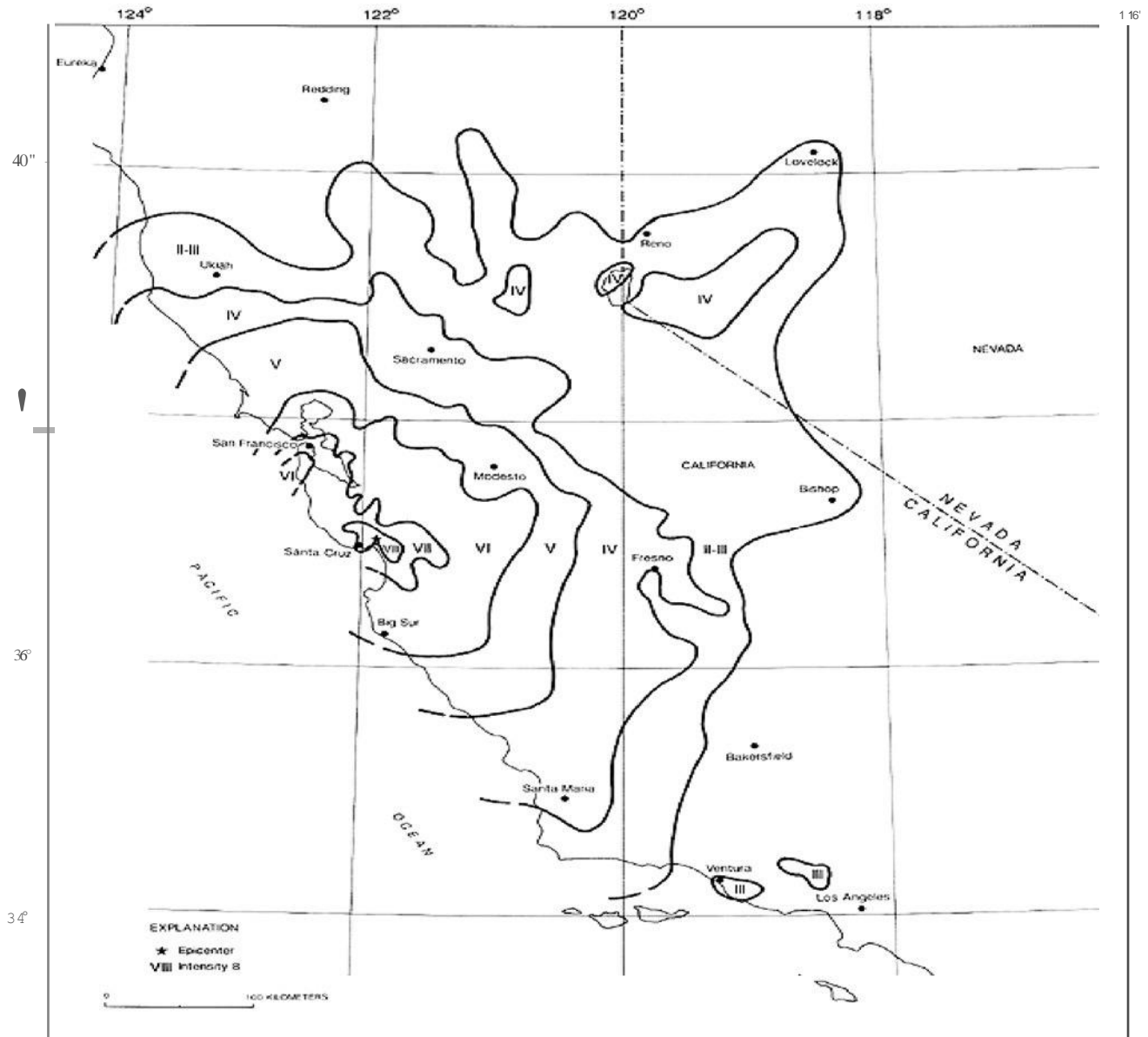
October 1926: (Richter Magnitude: 6.1) Two large earthquakes occurred during this year. Three of the aftershocks cracked plaster in Santa Cruz, almost bringing down the chimneys of numerous buildings. It broke plate glass windows along Pacific Avenue. The city water main broke at Laguna Creek and articles fell from shelves at stores.

October 17, 1989 (Richter Magnitude: 7.1) At 5:04 p.m., a magnitude 7.1 earthquake rocked the Monterey Bay and San Francisco Bay regions. The initial quake lasted only 22 seconds, although in the following two weeks, more than 4000 aftershocks were recorded, with 20 of these greater than magnitude 5 on the Richter Scale. The epicenter of the Loma Prieta earthquake was about 10 miles east-northeast of the city of Santa Cruz in the Aptos planning area on the San Andreas Fault. The Loma Prieta earthquake was the largest to strike California since 1906, causing 62 deaths and 3757 injuries. More than 12,000 people were left homeless and transportation, utilities and communications were disrupted. There was more than \$6 billion in property damage.



FIGURE 9. ISOSEISMAL

ILLUSTRATING INTENSITY AND MAGNITUDE OF THE 1989 LOMA PRIETA EARTHQUAKE IN SANTA CRUZ



## D. Probability of Future Events

There are at least six major faults and fault systems within or near the County of Santa Cruz, placing it in an area of high seismic risk. Because earthquakes can cause severe damage over a long distance, the Santa Cruz area remains at risk from continued seismic activity along the many faults in the greater San Francisco Bay region. The reduction of seismic stresses that occurred in the Loma Prieta earthquake did nothing to relieve, and possibly increased, stresses within other faults, including other sections of the San Andreas Fault.

To clarify the extent of future earthquake risk, a partnership of the United States Geologic Service, The California Geologic Survey, and the Southern California Earthquake Center was formed in September 2004 to provide a uniform forecast. Known as the Working Group on California Earthquake Probabilities<sup>9</sup>, this group evaluated and systemized currently available historic and paleoseismic information to produce a probabilistic seismic hazards analysis to indicate the type of future earthquakes. One product of this analysis is a method of estimating the probability of ground shaking. The 30-year probability of an  $M \geq 6.7$  earthquake on the northern segment of the San Andreas Fault is 21% and on the San Gregorio Fault is 6%. Other faults within the region can also cause damage in the county, including the Hayward-Rogers Creek Fault that has a 31% probability of having an  $M \geq 6.7$  earthquake in the next thirty years<sup>10</sup>.

**TABLE 4-2. TEN MOST LIKELY DAMAGING EARTHQUAKE SCENARIOS IN CALIFORNIA**

Ten most likely damaging Earthquake scenarios	30-year probability	Magnitude
Rodgers Creek	15.2%	7.0
Northern Calaveras	12.4%	6.8
Southern Hayward (possible repeat of 1868 earthquake)	11.3%	6.7
Northern + Southern Hayward	8.5%	6.9
Mt. Diablo	7.5%	6.7
Green Valley-Concord	6.0%	6.7
San Andreas: Entire N. CA segment (possible repeat of 1906 earthquake)	4.7%	7.9
San Andreas: Peninsula segment (possible repeat of 1838 earthquake)	4.4%	7.2
Northern San Gregorio segment	3.9%	7.2
San Andreas: Peninsula + Santa Cruz segment	3.5%	7.4

Because the ten most likely future earthquakes in the Bay area occur on faults throughout the region, the impact and potential losses reported here reveal significant risk for the entire Bay area region including the County of Santa Cruz.

#### **4.3.3 Assessing Vulnerability: Overview**

**3.3 Assessing Vulnerability: Overview - Requirement §201.6(c)(2)(ii):** The risk assessment shall include a description of the jurisdiction’s vulnerability to the hazards described in paragraph (c)(2)(i) of this section. This description shall include an overall summary of each hazard and its impact on the community.

##### **A. Overall Summary of Vulnerability to Earthquake**

The vulnerability of a community to earthquake hazard is based on a variety of factors including proximity to active and inactive faults, the age of structures, the density of the population and development, the value of property and infrastructure, the construction materials used in residential and non-residential buildings, and the location of critical facilities in a community. Recent history indicates that Santa Cruz has a very high vulnerability to earthquakes due to proximity to faults, density of population and development within the floodplains of the many creeks and rivers, which are subject to liquefaction.

One or more moderate to large sized earthquake will likely shake the entire County of Santa Cruz during the life span of most residents. Older homes will be most affected by their age, structural design, and materials. Modern homes will normally fare better in earthquakes but 1989 showed that this isn’t necessarily the case. Some older homes fared better than newer ones due to location and design.

A great earthquake on the San Andreas Fault will:

- Damage roads, bridges, and critical structures, and could severely damage most homes in the County.
- Liquefaction will occur along alluvial areas such as Pajaro Valley, parts of Capitola and Santa Cruz, and along streams such as Corralitos Creek, the San Lorenzo River, and other streams throughout the County of Santa Cruz.
- Fault Rupture will occur near the major faults as zoned by the County and State, and
- Ground Cracking will occur through the hillslopes and near the Fault Zones.
- As indicated in the sections on landsliding and coastal erosion, earthquakes can reactivate landslides and cause coastal bluff retreat, and also contribute to the initiation of other landslides and bluff failures.

#### **4.3.4 Assessing Vulnerability: Identifying Structures**

##### **A. Types & Numbers of Existing Buildings, Facilities & Infrastructure**

Past experience has shown that the entire county is vulnerable to earthquake. The entire downtown commercial area in the city is in a liquefaction hazard area. The remainder of the town is at risk for severe ground shaking as indicated by the maps below showing the probability of earthquake impacts to the Santa Cruz area within the next 50 years. These estimates were formulated using ESRI’s ArcGIS software. The earthquake hazard was mapped

as a GIS layer. Estimated loss is based on assessment improvement values associated with the Assessment Roll dated 10/13/2009. The assessment improvement values were joined to the County's parcel layer. The unincorporated parcels were queried out (which excluded the city parcels in the analysis). The unincorporated parcels that fell within the earthquake hazard areas were selected and the improvement values were totaled. They are limited to ground motion-induced losses to buildings only. In other words, the losses to other elements of the built environment, such as transportation, lifeline and communication facilities are not reported. Furthermore, the losses reported are only the direct economic losses due to building damage, which consist of capital stock loss and income loss.

This survey reviews 34 potential earthquake scenarios. Two of the ten most likely earthquake scenarios most damaging to Santa Cruz are shown on the following maps.

Scenario N-9 shows a possible repeat of the 1906 San Francisco Earthquake and the intensity and potential damage to the County of Santa Cruz. The map indicates that the intensity would be up to IX or X, which represents violent or extreme perceived shaking and very heavy potential damage. The next map shows the peak ground acceleration for this earthquake and the following two maps show the estimated building damage and economic loss as a result of the Scenario-9 earthquake.

Scenario N-7 shows the projected impacts of an earthquake along the Santa Cruz Mountains + Peninsula + North Coast and the potential damage to the County of Santa Cruz. The map indicates that the intensity would be VIII or IX, which represents severe to violent perceived shaking and moderate to heavy damage. The next map shows peak ground acceleration for this earthquake scenario and the following two maps show the estimated building damage and economic loss as a result of the Scenario-7 earthquake.

Figure 10. Scenario N-9 Repeat of 1906 Earthquake

**SCENARIO: N-9**

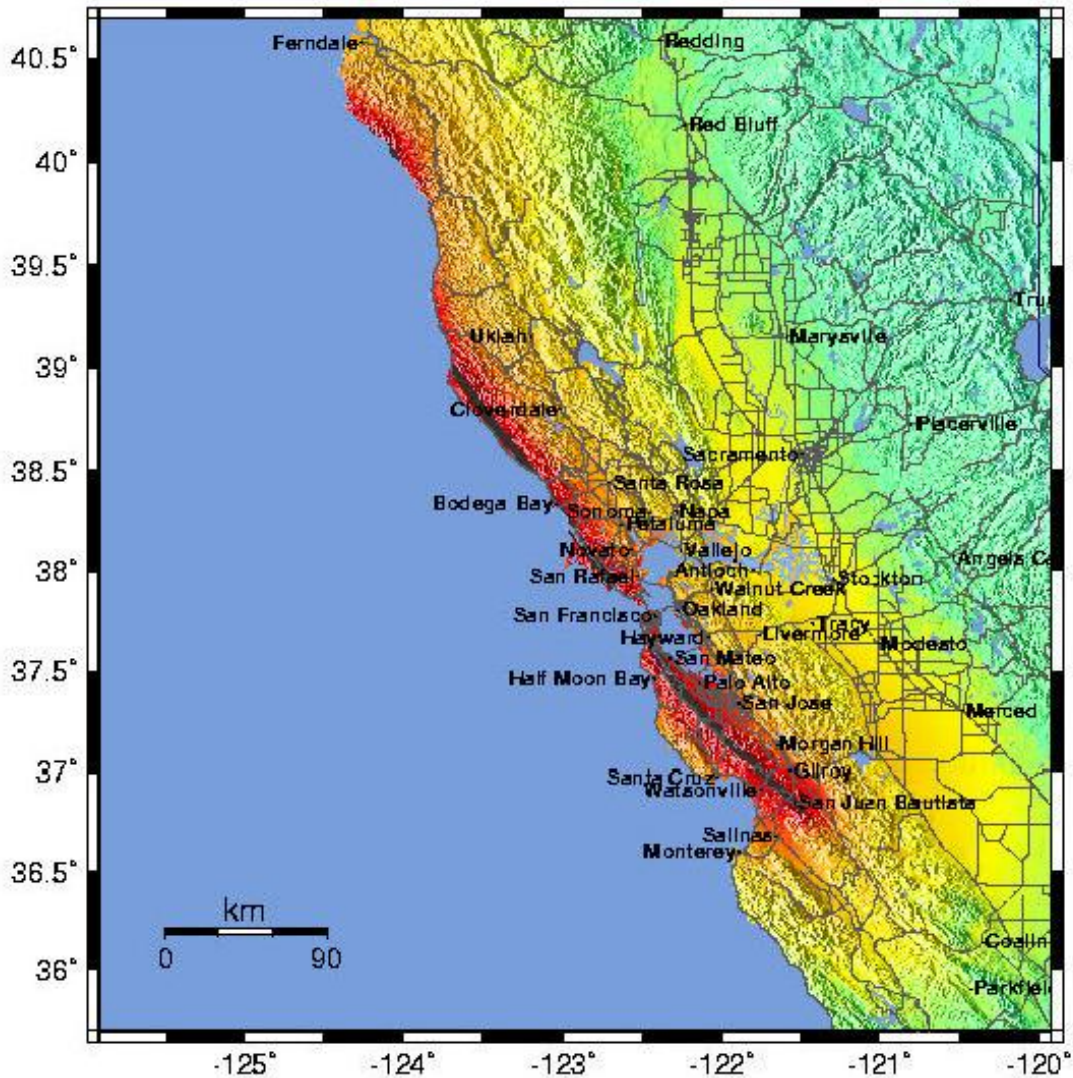
**All 4 segments: possible repeat of 1906 earthquake**

**(SAS+SAP+SAN+SAO)**

-- Earthquake Planning Scenario --

Rapid Instrumental Intensity Map for SAF\_SAS+SAP+SAN+SAO Scenario

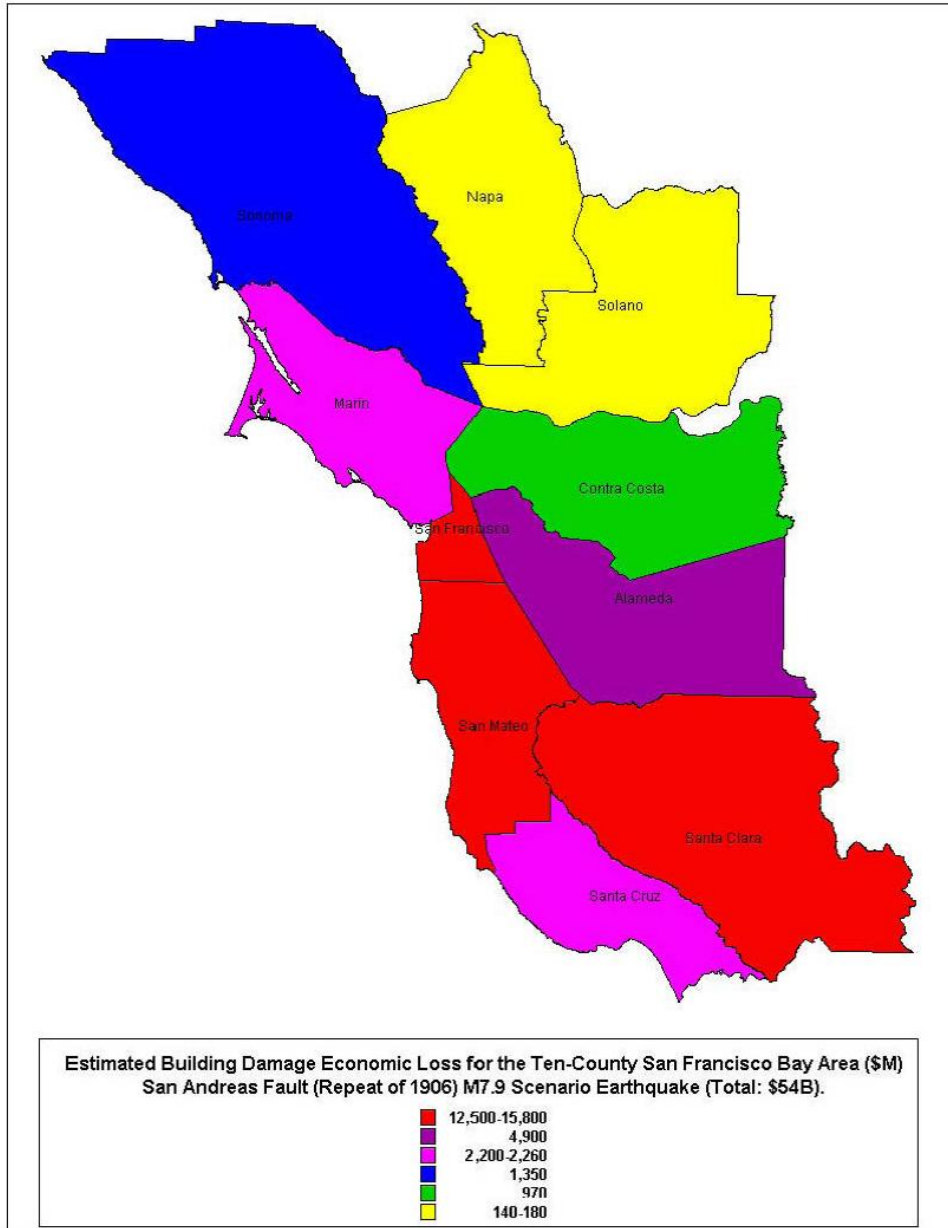
Scenario Date: Thu Mar 6, 2003 04:00:00 AM PST M 7.9 N36.18 W122.92 Depth: 0.0km



PLANNING SCENARIO ONLY -- PROCESSED: Fri Apr 18, 2003 10:18:20 AM PDT

PERCEIVED SHAKING	Not felt	Weak	Light	Moderate	Strong	Very strong	Severe	Violent	Extreme
POTENTIAL DAMAGE	none	none	none	Very light	Light	Moderate	Moderate/Heavy	Heavy	Very Heavy
PEAK ACC (%g)	<.17	.17-1.4	1.4-3.9	3.9-9.2	9.2-18	18-34	34-65	65-124	>124
PEAK VEL (cm/s)	<0.1	0.1-1.1	1.1-3.4	3.4-8.1	8.1-16	16-31	31-60	60-116	>116
INSTRUMENTAL INTENSITY	I	II-III	IV	V	VI	VII	VIII	IX	X+

FIGURE 11. SCENARIO N-9 REPEAT OF 1906 EARTHQUAKE-BUILDING ECONOMIC LOSS BY COUNTY



**FIGURE 12. SCENARIO N-9 REPEAT OF 1906 EARTHQUAKE LOSS BY CENSUS TRACT**

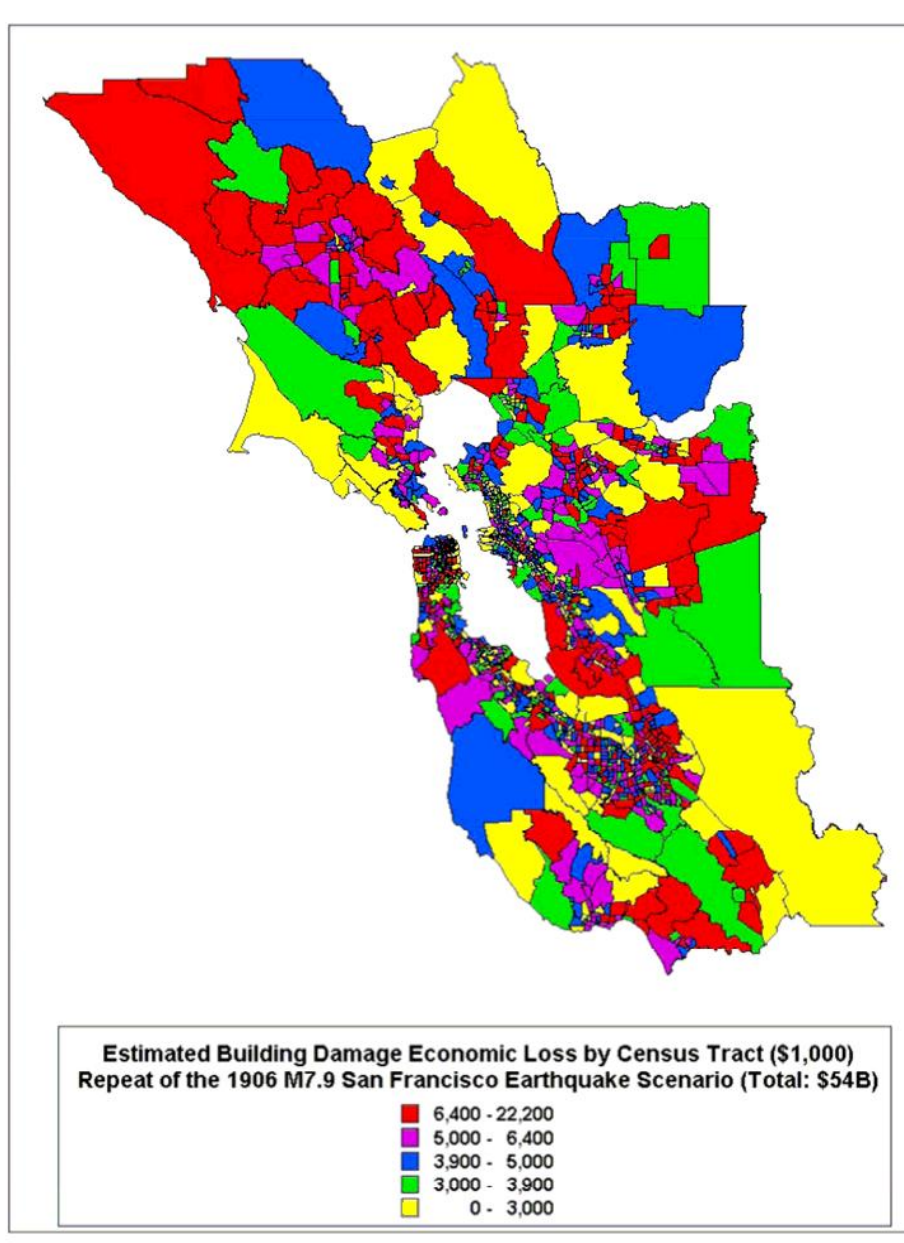
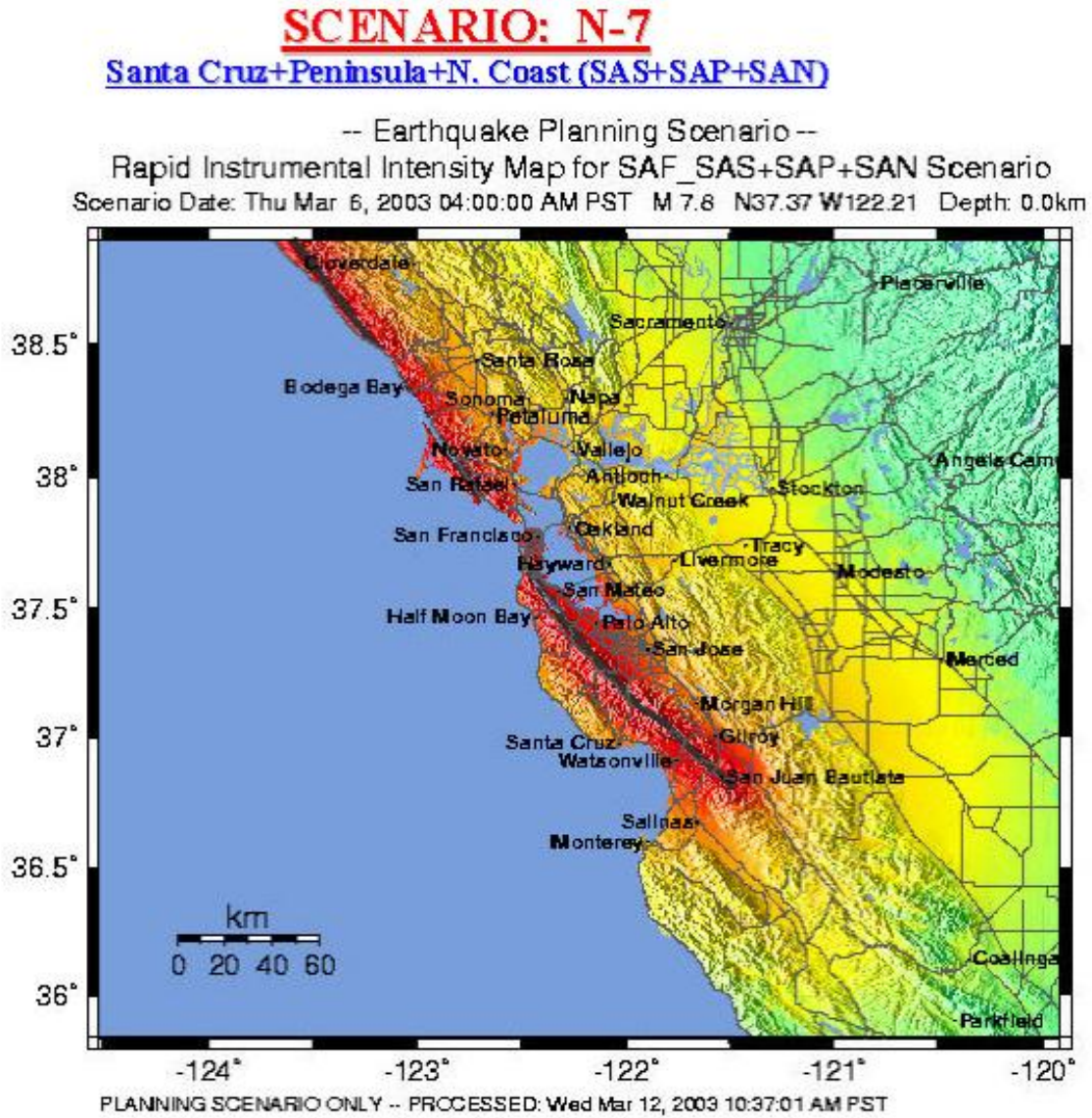




Figure 13. Scenario N-7 Santa Cruz Mountains



PERCEIVED SHAKING	Not felt	Weak	Light	Moderate	Strong	Very strong	Severe	Violent	Extreme
POTENTIAL DAMAGE	none	none	none	Very light	Light	Moderate	Moderate/Heavy	Heavy	Very Heavy
PEAK ACC (%g)	< 0.17	0.17-1.4	1.4-3.9	3.9-9.2	9.2-18	18-34	34-65	65-124	>124
PEAK VEL.(cm/s)	<0.1	0.1-1.1	1.1-3.4	3.4-8.1	8.1-16	16-31	31-60	60-116	>116
INSTRUMENTAL INTENSITY	I	II-III	IV	V	VI	VII	VIII	IX	X+



**FIGURE 14. SCENARIO N-7 SANTA CRUZ MOUNTAINS BUILDING ECONOMIC LOSS BY COUNTY**

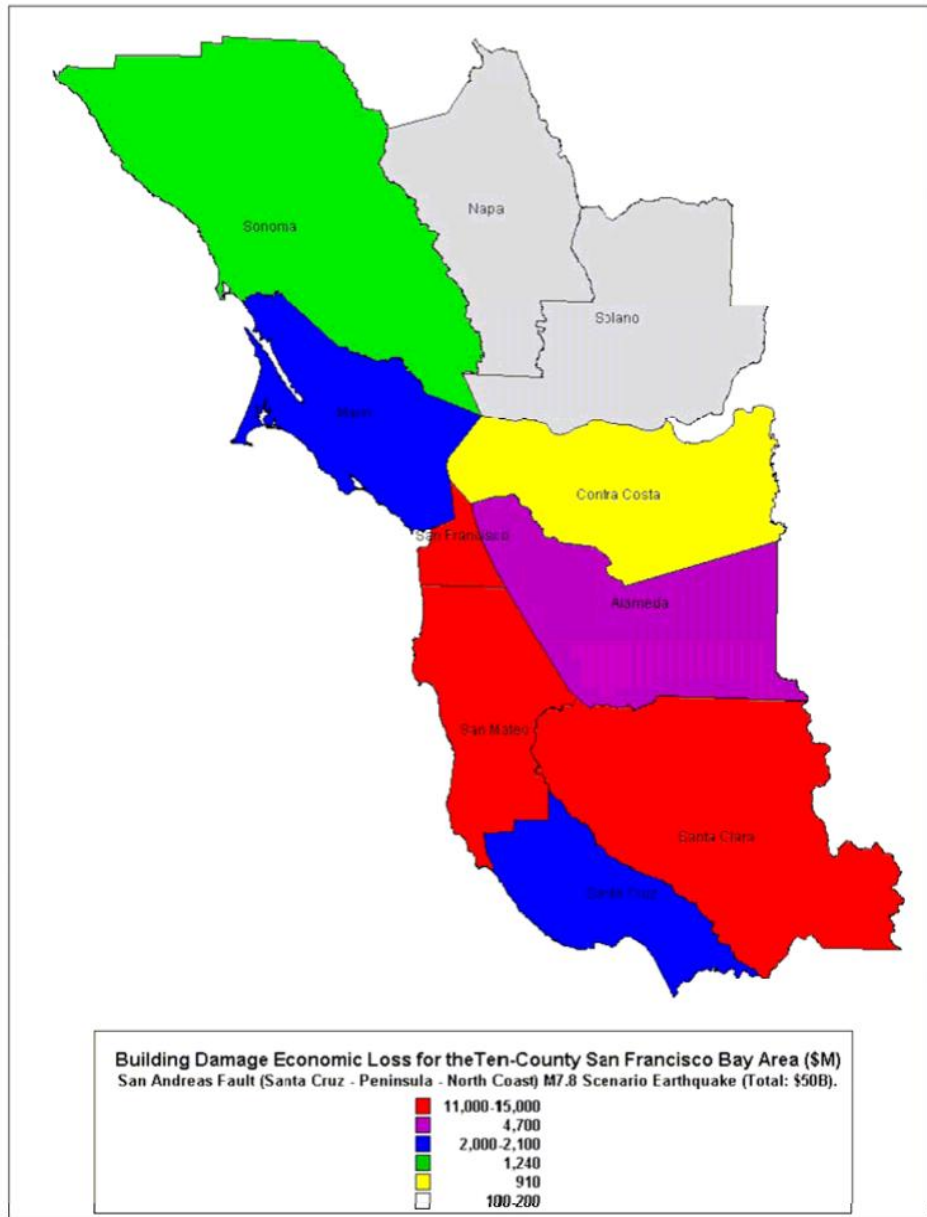
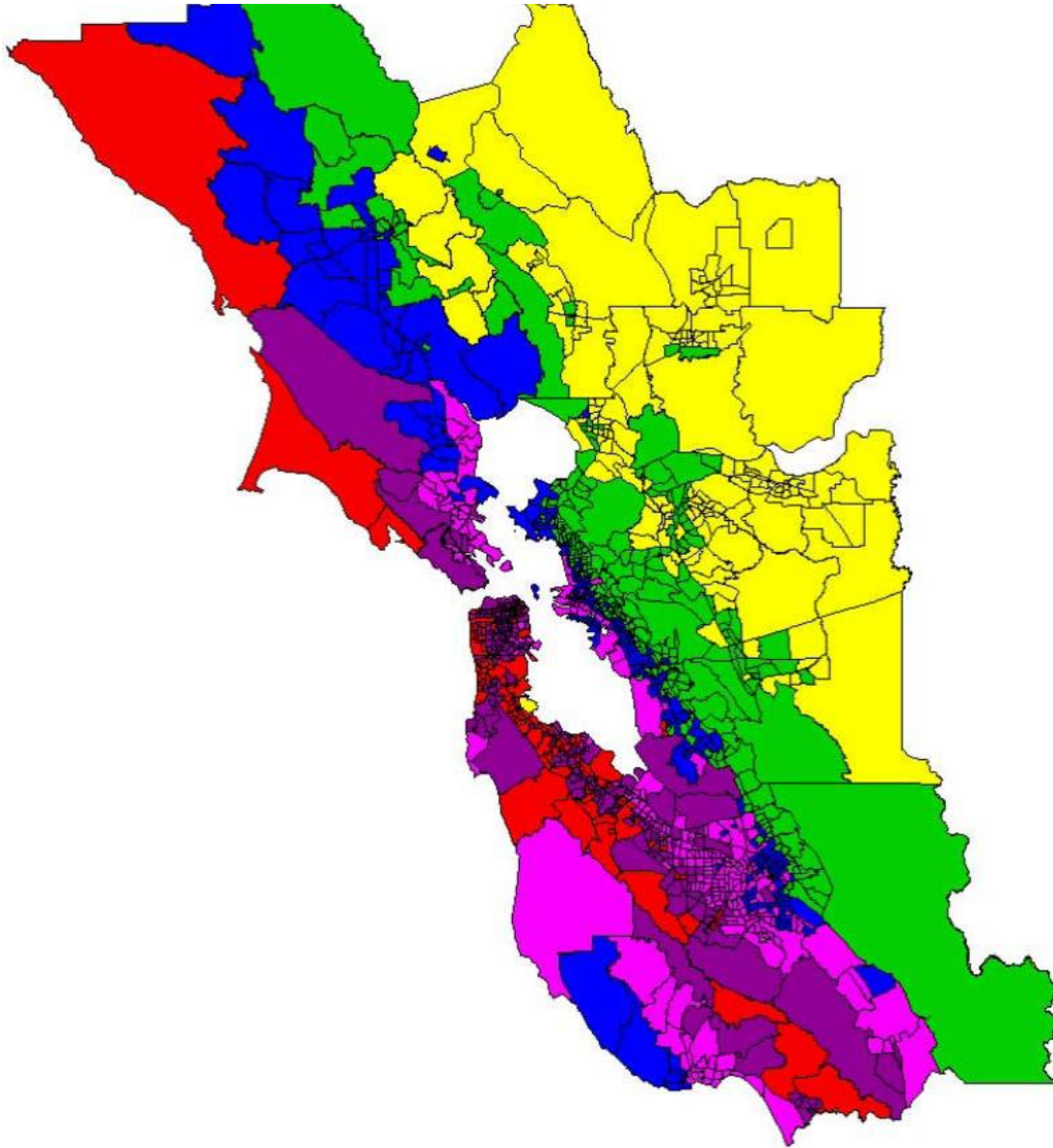


FIGURE 15. SCENARIO N-7 SANTA CRUZ MOUNTAINS BUILDING ECONOMIC LOSS BY CENSUS TRACT



**Estimated Building Damage Economic Loss As Percentage of Building Replacement Value, by Census Tract**  
 San Andreas (Santa Cruz + Peninsula + North Coast) N7.8 Scenario Earthquake (In Parentheses Are the Numbers of Census Tracts).

Red	30-63	(111)
Purple	20-30	(234)
Magenta	10-20	(280)
Blue	5-10	(261)
Green	2-5	(270)
Yellow	0-2	(306)

### 4.3.5 Assessing Vulnerability: Estimating Potential Losses

**3.5 Assessing Vulnerability: Estimating Potential Losses: Requirement §201.6©(2)(ii)(B):**  
 The plan **should** describe vulnerability in terms of an estimate of the potential dollar losses to vulnerable structures identified in paragraph (c)(2)(i)(A) of this section and a description of the methodology used to prepare the estimate.

#### A. Potential Dollar Losses to Vulnerable Structures

**TABLE 4-3. EARTHQUAKE POTENTIAL LOSS INVENTORY**

Fault Zones Potential Loss Inventory Santa Cruz County Unincorporated Areas			
Land Use	Number of Parcels	Number of Structures	Loss in Value \$
<u>Type</u>	<u>Total</u>	<u>Total</u>	<u>Total</u>
Agricultural	1,601	2,938	\$226,819,374
Commercial	1,398	2,359	\$475,412,013
Government	1,676	1,317	\$249,122
Industrial	215	473	\$104,713,461
Institutional	174	397	\$185,873,022
Miscellaneous	12,478	2,381	\$16,039,420
Residential	43,024	52,604	\$7,721,059,332
Utilities	515	185	\$1,357,002
<b>Total</b>	<b>61,081</b>	<b>62,664</b>	<b>\$8,731,522,746</b>
<b>Total Unincorporated</b>			
<b>Population</b>	<b>133,891</b>		



Improvement value based on Assessment Roll 10/13/2000  
 Total number of parcels, structures and values within the unincorporated areas of the County  
 Loss is based on assessment improvement values  
 Government parcels, public schools and most utilities are not assessed

**Methodology Used to Prepare Estimate of Loss**

**Parcel Valuation**

Valuation of parcels is based on improvement values as they appear on the Assessment Roll. They do not reflect potential sale or replacement value.

**Population**

The population count is based on the 2000 Census.

## B. Methodology Used to Prepare Estimate

### Parcel Valuation

Valuation of parcels within a hazard is based on improvement values only as collected by appraisers with the County of Santa Cruz assessor's office. They don't reflect sale value or replacement value. If a parcel intersected a hazard, the entire improvement value of that parcel was used.

### Population

Census population blocks were reduced to center points. If a hazard intersected a center point, that population was counted.

Estimates from the most recent California Geological Survey (CGS) presented by Rowshandel, M. Reichle, C. Wills, T. Cao, M. Petersen, D. Branum, and J. Davis in a paper titled Estimation of Future Earthquake Losses in California are limited to ground motion-induced losses to buildings only. In other words, the losses to other elements of the built environment, such as transportation, lifeline and communication facilities are not reported. Furthermore, the losses reported are only the direct economic losses due to building damage, which consist of capital stock loss and income loss. Indirect economic losses, representing the losses due to various forms of post-earthquake socioeconomic disruptions (such as employment and income, insurance and financial aids, construction, production and import-export of goods and services) are not included in the estimates reported. This is because of the higher level of uncertainty associated with the indirect losses, as compared to the direct losses. Therefore, it is expected that once the indirect building economic losses, the economic losses to non-building facilities, and the contributions of all earthquake hazards are taken into account, the estimated economic losses would be several times the numbers presented.<sup>11</sup>

The analyses of the estimated losses are calculated in three forms: losses in dollars for individual counties, losses in dollars for individual census tracts, and Loss Ratios (LR) - the loss as a percentage of the building replacement value. Detailed results for all scenario earthquakes and for the statewide annual losses are available on the CGS website.<sup>12</sup>

Among the 34 scenario earthquakes of the San Francisco Bay Area (SFBA), a repeat of the 1906 earthquake results in the largest economic loss for the ten SFBA counties. It would rupture four segments of the San Andreas fault and would cause approximately \$54 billion economic loss due to building damage. A number of other earthquakes on the San Andreas fault, rupturing different combinations of these four segments are also feasible. Should one occur, it would result in an estimated loss ranging from a few billion dollars to \$50 billion. Other potentially damaging earthquakes in the SFBA are: a magnitude 6.9 event rupturing the entire Hayward fault causing \$23 billion in losses; and a magnitude 7.3 earthquake rupturing the entire Hayward fault and the Rodgers Creek fault causing \$34 billion in losses.

Estimates were calculated using the latest version of the HAZUS software package, Service Release 2 (SR2) for the estimation of the damage and economic loss. The earthquake hazard data, obtained from the scenario shake-maps or the Probabilistic Seismic Hazards Assessment (PSHA) maps, and the liquefaction data (for the case of annualized loss) were then analyzed

and supplied into the HAZUS package. HAZUS-SR2 default data was used for the information on the built environment and the demographics. This information in HAZUS-SR2 is, for the most part, derived from 1990 national census data. Using this process the most severe potential earthquake near Santa Cruz estimates a loss of over 2.2 billion dollars for the County.

#### 4.3.6 Assessing Vulnerability: Analyzing Development Trends

##### **3.6 Assessing Vulnerability: Analyzing Development Trends Requirement**

**§201.6(c)(2)(ii)(C):** The plan **should** describe vulnerability in terms of providing a general description of land uses and development trends within the community so that mitigation options can be considered in future land use decisions.

#### **Description of Land Uses & Development Trends**

The County of Santa Cruz has a number of compact urban communities as well as extensive areas of agricultural land and forested hillsides. A number of rural villages and towns are located throughout the County. As dictated by the 1978 Growth Management Ordinance<sup>13</sup>, most new development has occurred within or adjacent to the urban services line (i.e., the boundary point for such infrastructure as water and sewage service). As with most communities, increased housing costs has resulted in the need to provide higher density housing. In Santa Cruz County, all development of this type occurs where urban services are available. Other development is mostly infill or reuse development, and development of existing rural residential properties.

Growth management policies prevent development from occurring where hazards are present and, in most cases, require substantial setbacks from these hazards. Seismic safety standards are a requirement for all building permits. As infrastructure is repaired or replaced updated seismic safety standards are incorporated.

No changes in these development regulation or patterns occurred that would affect the County's overall vulnerability since the previous plan was adopted in 2010. While the County does not track the number of residential and commercial structures that have been built in earthquake and liquefaction hazards zones since the last LHMP was adopted in 2010, it is a subset of the overall number of new structures built in the unincorporated portion of the County. As noted above, most new development of residential structures and virtually all new development of commercial structures occurs within the urban services line and outside of earthquake and liquefaction hazards zones.

The County has adopted growth management policies and ordinances that limit growth. According to annual Growth Management Reports, there have been no more than 490 new residential structures built in the County since 2010, broken down by year as follows:

Residential Building Permit Issued				
Year	Market Rate	Affordable	Second Units	Total
2011	35	89	17	141
2012	54	64	20	138
2013	43	4	28	75
2014	60	2	20	82
2015 (Through November 1, 2015)	26	1	27	54
Total	218	160	112	490

As stated above, growth management policies prevent new development from occurring where hazards are present. Development on existing lots of record is required to avoid hazards and incorporate appropriate setbacks and other requirements to mitigate potential impacts from earthquake and liquefaction hazards. The Environmental Planning Section of the Planning Department, staffed by Resource Planners, specialize in reviewing each application for new residential and commercial structures to ensure that new development does not occur in earthquake and liquefaction hazards and that development on existing lots of record avoid, minimize and mitigate potential impacts from identified earthquake and liquefaction hazards. These policies and procedures implement the mitigation strategy described below.

#### 4.4.0 Mitigation Strategy

**4.0 Mitigation Strategy – Requirement §201.6(c)(3):** The plan shall include a mitigation strategy that provides the jurisdiction’s blueprint for reducing the potential losses identified in the risk assessment, based on existing authorities, policies, programs and resources, and its ability to expand on and improve these existing tools.

The primary mitigation strategy to avoid or reduce damage from earthquake is continuation of design review and code enforcement to meet current seismic standards, including adequate geologic engineering and geotechnical monitoring protocols to insure structural integrity. Current policies that assist in meeting these standards include:

- Continued Enforcement of the Geologic Hazards Section of the County of Santa Cruz Code: Chapter 16.10 of the County Code requires the assessment of geologic hazards by the County Geologist and/or private engineering geologists for all new development projects. The geologic hazards identified through this assessment process are then mitigated by avoidance or through measures designed by civil engineers using the California Building Code.
- Continued rigorous enforcement of the California Building Standards with regards to seismicity including requiring engineering and liquefaction studies for all affected development.
- Continuing to encourage development adjacent to urban areas: By encouraging development in areas with urban services, the exposure of the population to areas where earthquakes may damage roadways and other utilities is reduced.

- Encourage the State’s re-mapping of the County of Santa Cruz through the Seismic Hazards Zonation Program. Consider sharing the cost of the preparation of these new maps.

An assessment of this mitigation strategy as part of this 5-year plan update indicates the strategy is effective for reducing potential losses identified in the risk assessment. The earthquake risk has not changed since the previous plan was adopted. No adjustments are needed to address a change in circumstances. There have been no earthquake related disasters during the five-year update period.

#### 4.4.1 Mitigation Goals

**4.1 Local Hazard Mitigation Goals – Requirement §201.6(c)(3)(i):** The hazard mitigation strategy shall include a description of mitigation goals to reduce or avoid long-term vulnerabilities to the identified hazards.

##### Earthquake Goals:

**Earthquake 1** - Avoid or reduce the potential for life loss, injury, property or economic damage to Santa Cruz from earthquakes.

**Earthquake 2**-Encourage retrofitting and other mitigation activities that increase disaster resilience to earthquake.

**Earthquake 3** - Encourage further investigation and evaluation of faults in and near the County of Santa Cruz, and incorporate new information into the County of Santa Cruz site and building design requirements.

#### 4.4.2 Identification and Analysis of Mitigation Actions

**4.2 Identification and Analysis of Mitigation Actions – Requirement §201.6(c)(3)(ii):** The mitigation strategy **shall** include a section that identifies and analyzes a comprehensive range of specific mitigation actions and projects being considered to reduce the effects of each hazard, with particular emphasis on new and existing buildings and infrastructure.

##### Earthquake Mitigation Actions:

Earthquake is one of the most significant threats to the County of Santa Cruz. The following actions are critical to the future safety of residents of the County of Santa Cruz:

- Coordinate preparedness efforts with other agencies. (A-1)
- Upgrade roadways, sewer, water and other infrastructure to withstand seismic shaking. (B-1)
- Promote seismic safety upgrade of all emergency use and critical structures. (C-1)
- Review all new and replacement critical structures to require that they be designed to standards of the California Building and County Geologic Hazards codes. (C-2)

- Train appropriate plan check staff on seismic requirements for structures. (C-3)
- Encourage zoning in geologically constrained areas that reflect the nature and extent of the hazard. (C-4)

## 2015 Progress Report

The integration of the plan into existing planning mechanisms and the implementation of mitigation actions demonstrate progress in risk reduction. An explanation of how the mitigation plan for seismic hazards has been implemented over the last 5 years is included in Appendix L and described below for each Mitigation Action related to earthquake and liquefaction hazard reduction.

- Earthquake preparedness and disaster response is coordinated by the Emergency Management Council (EMC) with other agencies and cities within the County. The EMC continues to meet on bi monthly basis. (A-1)
- Infrastructure such as roads, bridges, and drainage structures are continually prioritized for upgrade to withstand seismic shaking as allowed by funding resources available to the Department of Public Works. (B-1)
- County staff applies the current seismic design standards to all projects with a view to upgrade emergency uses and critical structures. As critical structures are renovated modern Building Code standards are applied to each renovation by both the design and review staff. (C-1)
- The Planning Department continues to review development applications for emergency use and critical structures, and all other structures, for compliance with the California building code and the Geologic Hazards Ordinance regarding seismic hazards. (C-2)
- Plan review staff and the building inspectors undergo continuous education courses through CALBO that include training in current seismic construction standards. (C-3)
- The County's zoning has not been revised during the reporting period. The County's permit review process already requires addressing geologic constraints. (C-4)

The worksheets in Appendix L also describe how the current mitigation strategy, including the goals and hazard mitigation actions, will be implemented over the next 5 years. There are no recommended changes to the mitigation actions for earthquake hazards, or the priorities of the mitigation actions. The actions will continue to be implemented on an ongoing basis through existing regulatory mechanisms and funding availability.



## CHAPTER 5 - WILDFIRES

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### 5.3.0 Wildfire Risk Assessment

#### 5.3.1 Identifying Wildfire Hazards

**3.1 Identifying Hazards – Requirement §201.6(c)(2)(i):** The risk assessment **shall** include a description of the type ... of all natural hazards that can affect the jurisdiction.

A wildland fire may be defined as any unwanted fire involving outdoor vegetation. This may be perceived as only occurring in forests, rangelands or agricultural fields, but it might also occur in vacant lots, highway medians, parks, golf courses and rural residential areas. The term Wildland Urban Interface (WUI) describes many of these areas. The nature of wildland fire has changed with incidents in the WUI. The potential for both life and property losses in the WUI is exponentially higher than non-populated wildlands. In addition, human influence has greatly increased the number and variety of potential sources of ignition.

Wildland fires are influenced by three factors: fuel, weather and topography. Wildfire spread depends on the type of fuel involved (grass, brush and trees). Weather influences wildland fire behavior with factors such as wind, relative humidity, temperature, fuel moisture and possibly lightning. Several of these factors can modify the rate the fire will burn. Topography is the biggest influence on fire severity.

In wildland fire, the priorities of the fire service are:

- § Life
- § Property
- § Natural Resources

Lower priorities are only protected when higher priorities have been confirmed safe.

#### 5.3.2 Profiling Wildfire Hazard Events

##### A. Location

Wildland fire protection in California is the responsibility of the State, local government, or the federal government depending on location. The State Responsibility Area (SRA) is the area of the state where financial responsibility for the prevention and suppression of wildfires is primarily the responsibility of the state. In general, SRA includes forest-covered lands, whether of commercial value or not, or brush or grass-covered lands. SRA does not include lands within city boundaries or in federal ownership. Fire protection in SRA is typically provided by CAL FIRE. However, in Santa Cruz County, autonomous fire protection districts provide fire protection in large parts of the SRA. Local responsibility areas (LRA) include incorporated cities and other urbanized areas, and cultivated agriculture lands. Local responsibility area fire protection is typically provided by city fire departments, fire protection districts, and by CAL FIRE under contract to local government.

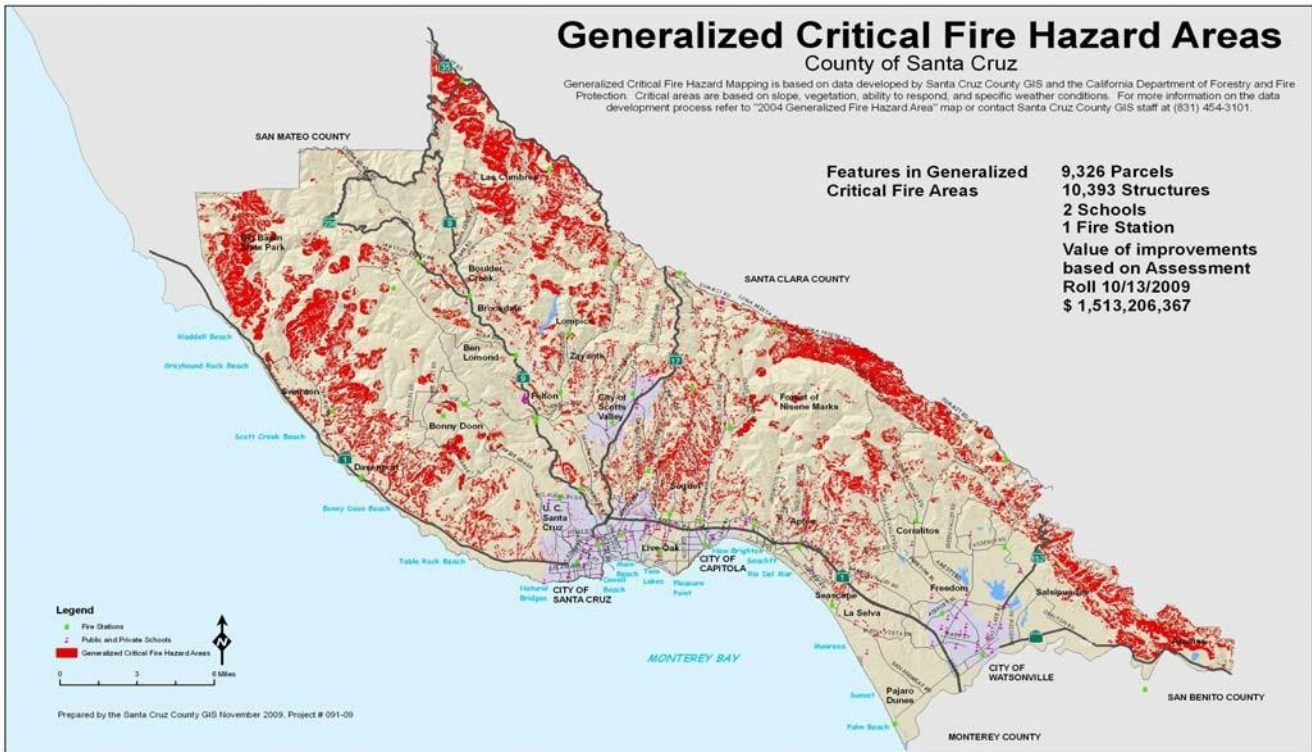
CAL FIRE is the County Fire Department for the unincorporated areas of Santa Cruz County that are not included in an autonomous fire protection district. In addition, the County contracts with CAL FIRE to provide fire protection for Pajaro Dunes, and to provide administrative and staffing needs for the Pajaro Valley Fire Protection District.

Because the majority of wildland fires occur in the SRA, there is potential for many different agencies in the county to be affected. In many cases, fires occur in Mutual Threat Zones (MTZ's) or in areas near adjoining jurisdictions and also in the LRAs. It is through mutual relationships with local government agencies where initial attack resources become larger and more effective. Santa Cruz County Fire, the University of California at Santa Cruz, other Fire Districts and the State of California (CALFIRE) have an extensive mutual aid and emergency coordination system. This system allows departments and districts to share personnel and equipment as needed to address and control emergencies. The following Santa Cruz County local government agencies are typically available and involved in suppressing wildland fires:

- Aptos/La Selva Fire Protection District
- Scotts Valley Fire Protection District
- Boulder Creek Fire Protection District
- Central Fire Protection District of Santa Cruz County
- Felton Fire Protection District
- Santa Cruz City Fire Department
- Watsonville Fire Department
- Zayante Fire Protection District
- Ben Lomond Fire Protection District
- Branciforte Fire Protection District
- Pajaro Valley Fire Protection District

Large areas of the County have been mapped as Critical Wildfire Hazard Areas due to accumulations of wildfire prone vegetation, steep and dry slopes and the presence of structures vulnerable to wildland fires. These areas are generally situated in the steeper higher elevations of the county. Most of these areas are along the border of Santa Clara County or in the Coastal ridges between Highway 9 and Highway 1. While the map of Critical Fire Hazard Areas remains relevant for areas of increased wildfire risk, it should be noted that wildland fires may occur anywhere within the SRA or LRA.

**FIGURE 16. CRITICAL FIRE HAZARD AREAS WITHIN COUNTY OF SANTA CRUZ**



**B. Extent: Magnitude or Severity**

The potential magnitude or severity of future fires could be predicted from experience gained from the recent fires of 2008/2009. In those fires, spotting exceeding 1 mile, torching of conifers, flame lengths exceeding 100', area ignition and sheeting were all observed. In 2008, over 75 structures were destroyed on 3 fires alone. Similar fuels (Manzanita/Knobcone, Eucalyptus, chaparral, and mixed conifer forestland), topography and weather conditions are expected to be encountered in future fires creating a repeat of extreme fire behavior exhibited in recent large local fires.

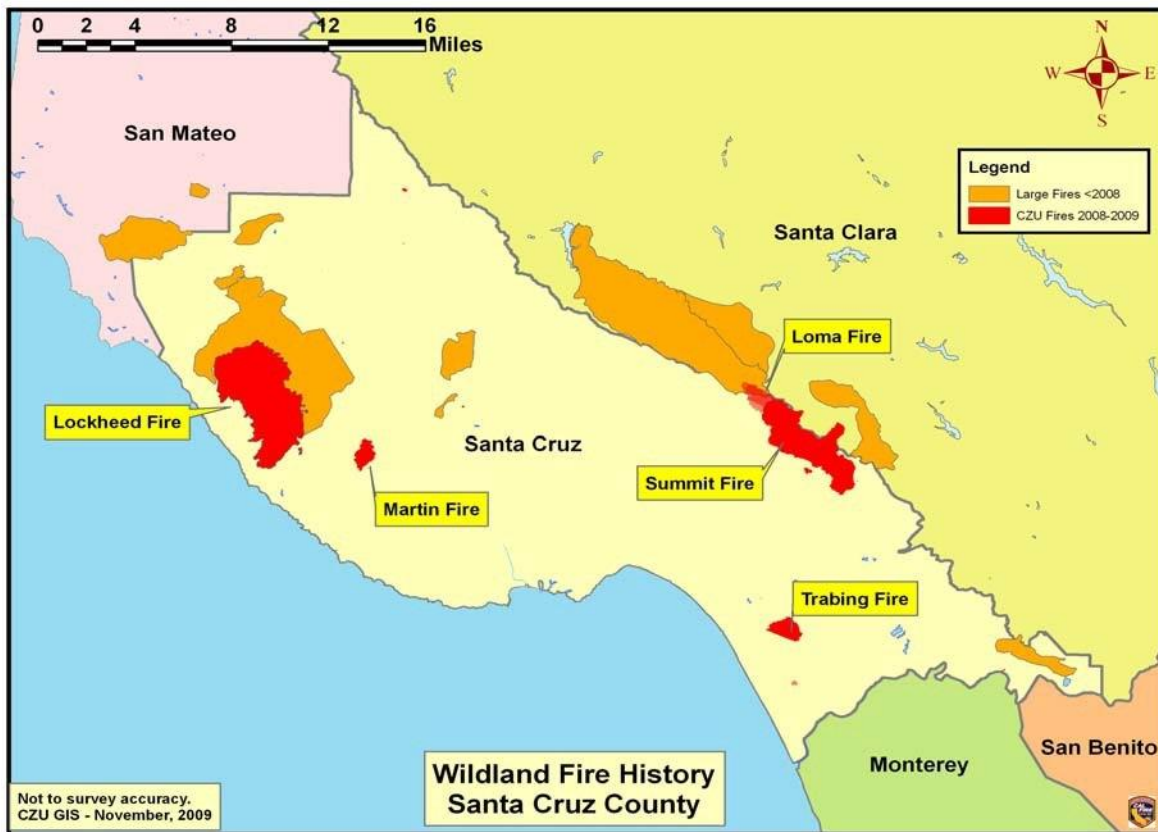
While normal weather conditions in the Santa Cruz Mountains can be categorized as cold and damp with extensive marine influence (fog), several times each year conditions are created where fuel moisture levels have been measured below 5% with temperatures above 90°, and north winds greater than 45 mph.

## C. PREVIOUS OCCURRENCES

**TABLE 5-1. PREVIOUS WILDFIRES WITHIN SANTA CRUZ COUNTY**

<b>Fire Name</b>	<b>Year</b>	<b>Acres Burned</b>
Pine Mountain	1948	15,893
Newell Creek	1954	166
Newell Creek #2	1959	1,326
Austrian Gulch	1961	9,067
Lincoln Hill	1962	3,234
Big Basin #7	1980	378
Big Basin	1982	300
Rocha #2	1984	1,239
Lexington	1985	13,122
Croy Fire	2002	3,006
Summit Fire	2008	4,270
Martin Fire	2008	520
Trabing Fire	2008	630
Lockheed Fire	2009	7,819
Loma Fire	2009	485

FIGURE 17. RECENT WILDFIRES IN COUNTY OF SANTA CRUZ



During the past 2 fire seasons over 13,000 acres have burned in 5 major fires in Santa Cruz County. Each of these fires has burned structures and all have endangered life. Suppression costs alone for these fires have exceeded \$60 million. The county endures over 200 wildland fires each year on the average but the past 2 years has brought this issue to the public's attention.

#### D. Probability of Future Events

Given the continuation of 4 years of drought, it is likely that dry fuel conditions will remain. Areas identified as likely to have a wildland fire are spread out across the county. Most of these areas are associated with the higher dryer elevations with fuels consisting of Manzanita, chamise and knobcone pine. Even with the large acreages burned over the past two years, it was estimated that less than 10% of the higher hazard areas have been recently burned resulting in reduced fuel loads. The increasing trend of developing rural residences in these hazardous areas combined with continued recreational and transient uses of these remote locations have exacerbated the situation.

A fire threat will always exist in the WUI. There will always be flammable vegetation, structures and human activities creating a situation where it is not “if” but “when” the next large fire occurs in the county.

**5.3.3 Assessing Wildfire Vulnerability: Overview**

**3.3 Assessing Vulnerability: Overview Requirement §201.6(c)(2)(ii):** The risk assessment **shall** include a description of the jurisdiction’s vulnerability to the hazards described in paragraph (c)(2)(i) of this section. This description shall include an overall summary of each hazard and its impact on the community.

**A. Overall Summary of Vulnerability to Wildfires**

Santa Cruz County is ranked 9<sup>th</sup> among 413 western state counties for percentage of homes along the WUI and 14<sup>th</sup> in California for fire risk.<sup>14</sup> During the preparation of the countywide Community Wildfire Protection Plan (CWPP), numerous assets at risk were identified. These include thousands of residences, several schools including a State University, several youth camps, and numerous commercial facilities. There are 5 local public water systems with extensive infrastructure situated within high hazard areas. Three state highways and 3 major power transmission Rights of Way cross through vulnerable areas. Due to topography and limited access, both the protection plus potential reconstruction of these assets will be hampered.

The impact of wildfire on a community is far-reaching . The most significant impacts would be loss of life, environmental damage and loss of property. Air quality is also a major issue, which can force the closure of schools and businesses as well as limit human activity. Damage to infrastructure such as culverts, roads and bridges can be difficult to locate and repair in a timely manner. During the rainy season, burned-over areas are subject to mud slides and debris torrents which can be exacerbated by infrastructure damage. Sedimentation due to winter rains can destroy fish habitats, which can have a catastrophic effect on the eco-system.

**5.3.4 Assessing Vulnerability: Identifying Structures**

**3.4 Assessing Vulnerability: Identifying Structures – Requirement §201.6(c)(2)(ii)(A):** The plan **should** describe vulnerability in terms of the types and numbers of existing and future buildings, infrastructure, and critical facilities located in the identified hazard area.

**A. Types and Numbers of Existing Buildings, Facilities and Infrastructure**

By definition WUI areas are adjacent to residential and open space areas. Only a few public buildings are immediately threatened by wildland fires. As part of this evaluation, Critical Fire Hazard Areas were assessed. Contained within these critical areas are over 10,000 structures including two schools and one fire station.

**5.3.5 Assessing Vulnerability: Estimating Potential Losses**


**3.5 Assessing Vulnerability: Estimating Potential Losses – Requirement**

**§201.6(c)(2)(ii)(B):** The plan **should** describe vulnerability in terms of an estimate of the potential dollar losses to vulnerable structures identified in paragraph (c)(2)(i)(A) of this section and a description of the methodology used to prepare the estimate.

## Potential Dollar Losses to Vulnerable Structures

The Potential Loss Inventory for Santa Cruz County Unincorporated areas is attached. This summary indicates that over \$1.5 billion of improvements are vulnerable to Wildfires in the Critical areas. See Table 5-2.

**TABLE 5-2. WILDFIRE POTENTIAL LOSS INVENTORY**

<b>Fire Potential Loss Inventory</b> <b>Santa Cruz County Unincorporated Areas</b> 						
Land Use Type	Number of Parcels		Number of Structures		Loss in Value \$	
	Total	Hazard	Total	Hazard	Total	Hazard
Agricultural	1,601	666	2,938	1,212	\$226,819,374	\$94,888,961
Commercial	1,398	65	2,359	315	\$475,412,013	\$45,676,490
Government	1,676	386	1,317	276	\$249,122	\$0
Industrial	215	24	473	150	\$104,713,461	\$13,227,067
Institutional	174	21	397	79	\$185,873,022	\$13,946,600
Miscellaneous	12,478	2,793	2,381	503	\$16,039,420	\$5,508,828
Residential	43,024	5,283	52,604	7,817	\$7,721,059,332	\$1,339,936,776
Utilities	515	88	195	41	\$1,357,002	\$21,645
<b>Total</b>	<b>61,081</b>	<b>9,326</b>	<b>62,664</b>	<b>10,393</b>	<b>\$8,731,522,746</b>	<b>\$1,513,206,367</b>
	<b>Total Unincorporated</b>		<b>Hazard Area</b>			
<b>Population</b>	133,891		9,156			

Improvement value based on Assessment Roll 10/13/2009  
 Total number of parcels, structures and values within the unincorporated areas of the County  
 Hazard Number of parcels, structures and value of improvements within the defined unincorporated hazard areas  
 Loss is based on assessment improvement values  
 Government parcels, public schools and most utilities are not assessed

**Methodology Used to Prepare Estimate of Loss**

**Parcel Valuation**

Valuation of parcels within a hazard area is based on improvement values as they appear on the Assessment Roll. They do not reflect potential sale or replacement value  
 If any part of a parcel intersected a hazard, the entire improvement value of the parcel was used.

**Population**

Census blocks were reduced to center points. If the center points intersected a hazard, that population was counted. The population count is based on the 2000 Census.

### 5.3.6 Assessing Vulnerability: Analyzing Development Trends

As demand for housing increases, residential construction has spread out into all of the different vegetative cover and topographic types throughout the county. Access to small rural residential clusters is governed by topography and ownership trends. Many clusters have only one access, which is usually limited to long stretches of narrow winding mountain roads. When these roads are blocked all access is blocked to these clusters.

Santa Cruz County covers a large area made up of numerous remote areas with small rural residences. This makes patrolling and protecting the county from wildfire difficult. The county might be relatively small, but poor access and remoteness of many of the small rural residential clusters result in long response times for suppression equipment.

Illegal camping, unpermitted home construction and a relatively large homeless population combined with a large population of urban residents living in a wildland environment have created a high risk of fire starts. Over the past 2 years this has resulted in several large (400 to 8,000 acre) wildland fires that have resulted in FMAG activation by FEMA.

For future buildings, growth management policies prevent new development from occurring outside of the urban and rural services lines.

No changes in these development regulations or patterns occurred that would affect the County’s overall vulnerability since the previous plan was adopted in 2010. While the County does not track the number of residential and commercial structures that have been built in fire hazard areas since the last LHMP was adopted in 2010, it is a subset of the overall number of new structures built in the unincorporated portion of the County. As noted above, most new development of residential structures and virtually all new development of commercial structures occurs within the urban services line and outside of fire hazard zones.

The County has adopted growth management policies and ordinances that limit growth. According to annual Growth Management Reports, there have been no more than 490 new residential structures built in the County since 2010 broken down by year as follows:

Residential Building Permit Issued				
Year	Market Rate	Affordable	Second Units	Total
2011	35	89	17	141
2012	54	64	20	138
2013	43	4	28	75
2014	60	2	20	82
2015 (Through November 1, 2015)	26	1	27	54
<b>Total</b>	<b>218</b>	<b>160</b>	<b>112</b>	<b>490</b>



As stated above, growth management policies prevent new development from occurring where hazards are present. Development on existing lots of record is required to avoid hazards and incorporate appropriate access, water supply, construction materials, and defensible space requirements to mitigate potential impacts from fire hazards. The Environmental Planning Section of the Planning Department, staffed by Resource Planners, the Building Plan Check and Inspection Section of the Planning Department, and the local fire district, specialize in reviewing each application for new residential and commercial structures to ensure that new development does not occur in fire hazard zones and that development on existing lots of record avoid, minimize and mitigate potential impacts from identified fire hazards. These policies and procedures implement the mitigation strategy described below.

#### 5.4.0 Mitigation Strategy

**4.0 Mitigation Strategy: Requirement §201.6(c)(3):** The plan shall include a mitigation strategy that provides the jurisdiction’s blueprint for reducing the potential losses identified the risk assessment, based on existing authorities, policies, programs and resources, and its ability to expand on and improve these existing tools.

The various agencies responsible for protecting Santa Cruz County from losses due to Wildland Fires have implemented a number of mitigation programs over the years. They are as follows:

- § Implementation and use of a Reverse 911 style community notification and warning system.
- § Comprehensive mutual aid system for fire protection.
- § Routine and frequent training by local and state fire jurisdictions.
- § Annual Residential Defensible Space education and enforcement programs.
- § Collaborative and cross jurisdiction Vegetation Management Programs including fuel reduction and shaded fuel break programs.
- § Preparation of a countywide Community Wildfire Protection Plan (CWPP) using Federal Grant funding.
- § Implementation of new County building codes addressing WUI related issues including building materials, construction requirements, water systems/supply and code enforcement.
- § Promotion of built-in fire extinguishing, alarms and water systems per new fire code requirements.

An assessment of this mitigation strategy as part of this 5-year plan update indicates the strategy remains relevant for reducing potential losses identified in the risk assessment. The wildfire risk has not changed since the previous plan was adopted. No adjustments are needed to address a change in circumstances. There have been no wildfire related disasters during the five-year update period.

#### 5.4.1 Mitigation Goals

**4.1 Local Hazard Mitigation Goals – Requirement §201.6(c)(3)(i):** Local Hazard Mitigation Goals – The hazard mitigation strategy shall include a description of mitigation goals to reduce or avoid long-term vulnerabilities to the identified hazards.

## Wildfire Goals:

Wildfire 1 - Avoid or reduce the potential for injury, loss of life, property, and economic and environmental damage to Santa Cruz County from wildfire.

Wildfire 2 - Collaborate with other local fire districts and departments in mutual aid fire protection efforts.

### 5.4.2 Identification and Analysis of Mitigation Actions

#### 4.2 Identification and Analysis of Mitigation Actions – Requirement §201.6(c)(3)(ii):

The mitigation strategy shall include a section that identifies and analyzes a comprehensive range of specific mitigation actions and projects being considered to reduce the effects of each hazard, with particular emphasis on new and existing building and infrastructure.

## Wildfire Mitigation Actions:

Wildfire mitigation strategy includes the following actions:

Strategy	Priority
Early notification/warning of residents by technology based applications.	A-10
Establish and maintain cooperative fire protection and fire prevention agreements with other agencies.	A-12
Increased visibility and reduced response times with proper road and address markings.	A-13
Enhanced support for interoperability communications systems with local, state and federal emergency services both inside and around the County.	A-14
Reduction of fire risk in urban/wildland interface (WUI) through improved building materials and appropriate code enforcement including defensible space programs.	B-3
Maintain adequate Fire Suppression and Prevention staffing levels to meet the need of the county population and development trends.	B-4
Implement additional fire prevention programs in schools, institutions, and commercial buildings through inspections and education to promote fuel reduction, hazard abatement.	C-5
Promotion of built-in fire extinguishing systems and fire alarm system.	C-6
Land use planning to reduce incidence of human caused wildfires especially in very high fire hazard areas.	C-7
Appropriate road and secondary access improvement and creation program.	C-8

## 2015 Progress Report

The integration of the plan into existing planning mechanisms and the implementation of mitigation actions demonstrate progress in risk reduction. An explanation of how the mitigation plan for wildfire hazards has been implemented over the last 5 years is included in Appendix L and described below for each Mitigation Action related to fire hazard reduction.

- The Long Range Radio Infrastructure Management Planning Group continues to meet quarterly to strategically consider interoperability and coordination of communications systems development and change out. The County Office of Emergency Services continues to seek grant funding opportunities for emerging technologies and enhancements. The narrow banding communications project has been completed for all county emergency services partners. (A-10)
- The County has maintains an agreement with CAL Fire to run the County Fire program. (A-12)
- Approximately \$57,600 worth of warning guide signs meeting current state specifications were purchased through a federal Highway Safety Improvement Project (HSIP) grant, and were installed by the County road crews. County road crews continuously clear vegetation from around traffic control signs to maintain sign visibility. (A-13)
- All communications systems have been changed to comply with the FCC narrow banding requirement. (A-14)
- Defensible space inspections are completed by inspectors from CAL FIRE, engine companies, and fire protection districts (Central and Aptos/La Selva). Educational materials are distributed to residents during inspections, through direct mailing, and at public events including a brief pamphlet focusing on defensible space and a document called Living With Fire in Santa Cruz County. The Santa Cruz County Code requires new projects and construction to meet fire safety standards consistent with State law (PRC 4290). Chapter 7.92 of the County Code establishes requirements for fuel modification and emergency water supply, as well as minimum fire safe driveway and road standards. New structures built in Santa Cruz County must also comply with fire safety building regulations. These building codes require the use of ignition-resistant building materials in higher risk areas and establish design standards to improve the ability of a building to survive a wildfire. (B-3)
- In spite of long term funding challenges resulting in systematic cuts over the last several years, including reduction of personnel staffing, the County Fire fund balance has been maintained by careful fiscal management for extreme necessity. Grants, CAL FIRE contract saving, and economic recovery have contributed to this preservation. The County Fire's structural budget deficit continues to be the administrative priority. Staff is committed to continue searching for additional methods and strategies for managing and supplementing the County Fire fund while a longer term address of the funding issue continues; on a parallel path, meeting the public's safety must remain the County Fire Department's highest priority and mission. (B-4)
- County Fire puts on education programs for schools and businesses in the unincorporated areas of the County. Additional school programs have been added as part of the County Fire Prevention and Education program. All schools in County Fire are inspected annually. Commercial Business inspections are ongoing. (C-5)
- The new 2013 fire and building code has been adopted by all County Fire agencies. (C-6)
- Review of the County's General Plan Fire Safe Element has brought alignment of the road and building standards. (C-7)

- The County continues to enforce current fire and building codes, and the secondary access road standards in the Safety Element. (C-8)

The worksheets in Appendix L also describe how the current mitigation strategy, including the goals and hazard mitigation actions, will be implemented over the next 5 years. There are no recommended changes to the mitigation actions for wildfire hazards, or the priorities of the mitigation actions. The actions will continue to be implemented on an ongoing basis through existing regulatory mechanisms and funding availability.

## CHAPTER 6 — FLOODS AND ASSOCIATED COASTAL STORMS

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### 6.3.0 Flood Risk Assessment

#### 6.3.1 Identifying Flood Hazards

**3.1 Identifying Hazards – Requirement §201.6(c)(2)(I):** The risk assessment **shall** include a description of the type of all natural hazards that can affect the jurisdiction.

Flooding and coastal storms present similar risks and are usually related types of hazards in the County of Santa Cruz.

Coastal storms can cause increases in tidal elevations (called storm surge), wind speed, coastal erosion, and debris flows, as well as flooding.

During a flood, excess water from rainfall or storm surge accumulates and overflows onto the banks, beaches, and adjacent floodplains. Floodplains are lowlands adjacent to rivers, lakes and oceans that are subject to recurring floods. Several factors determine the severity of floods, including rainfall intensity and duration, creek and storm drain system capacity, and the infiltration rate of the ground.

A flood occurs when a waterway receives a discharge greater than its conveyance capacity. Floods may result from intense rainfall, localized drainage problems, tsunamis or failure of flood control or water supply structures such as levees, dams or reservoirs. Floodwaters can carry large objects downstream with a force strong enough to destroy stationary structures such as homes and bridges and break utility lines. Floodwaters also saturate materials and earth resulting in the instability, collapse and destruction of structures as well as the loss of human life.

Floods usually occur in relation to precipitation. Flood severity is determined by the quantity and rate at which water enters the waterway, increasing volume and velocity of water flow. The rate of surface runoff, the major component to flood severity, is influenced by the topography of the region as well as the extent to which ground soil allows for infiltration in addition to the percent of impervious surfaces. It is important to note that a stream can crest long after the precipitation has stopped.

As storms arrive onto land from the Pacific and rise over the mountains and ridges that border the eastern boundaries of the County, the air associated with those storms cools and that cooling results in large amounts of precipitation. The topography provides fairly steep and well-defined watershed areas to funnel the falling rain into runoff tributaries. Periods of very heavy rainfall are common throughout fall and winter months and the two rivers in the County, along with several creeks and streams, can rise to flood stage in a short period of time. Settlement and habitation in the County, from the historic Ohlone Indian camps through the founding of the Santa Cruz Mission in 1791, and subsequent logging communities throughout the 1800's, tended to acknowledge the floodplain areas of the rivers and streams, building on the higher ground. However, as the population grew, particularly in the middle 1900's, low lying areas

near virtually every waterway were encroached upon for housing, business, or agricultural development.

Climatologists point out that the period between 1920 and 1970, the years of most significant growth in Santa Cruz County, was a “dry cycle” for most of central California. Only one or two instances of serious winter weather in the 1950’s highlighted the consequence of development in low-lying areas. Over time, land that had previously been avoided was developed for both commercial and residential use in the floodplains of the San Lorenzo and Pajaro Rivers, Soquel and Aptos Creeks, and along the beaches.

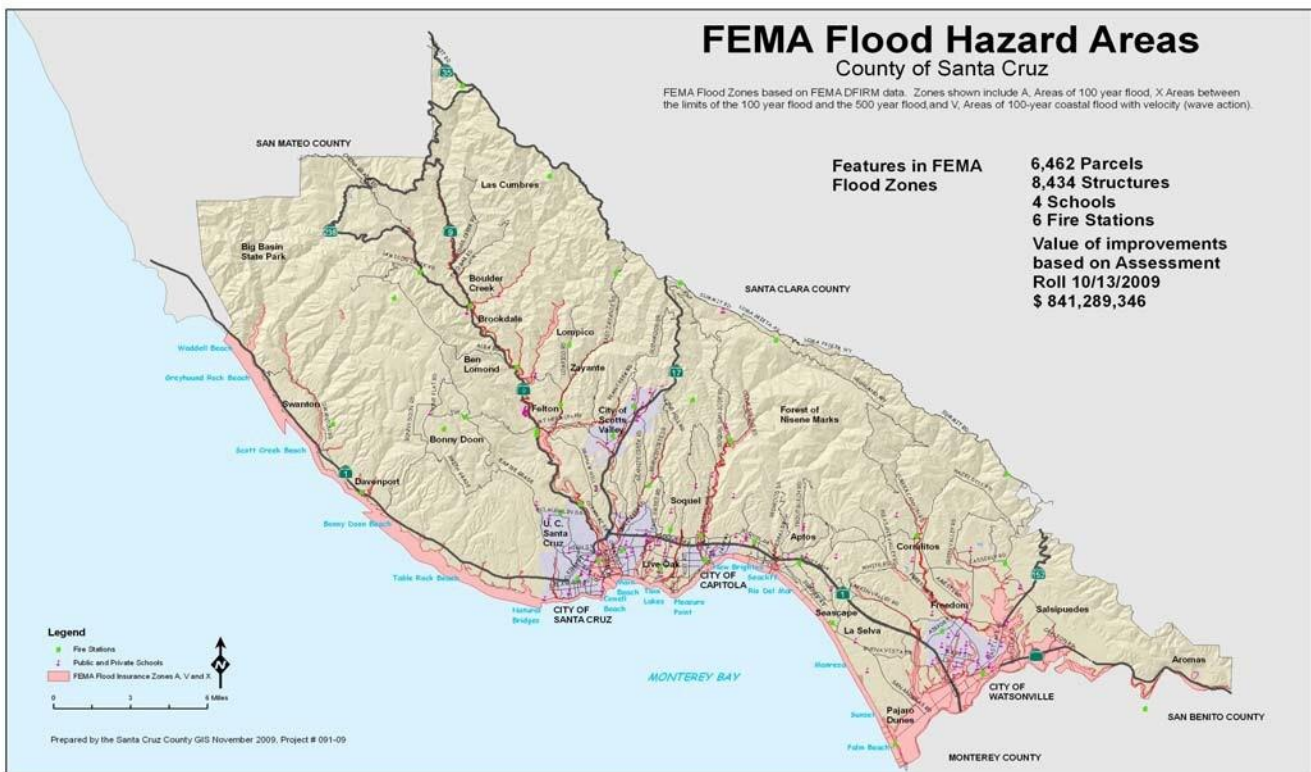
As a consequence, substantial portions of the City of Santa Cruz and the City of Watsonville have been flooded, houses and businesses in the San Lorenzo Valley have been damaged or destroyed by floodwaters, and there have been losses along Soquel Creek, Aptos Creek, and in beach areas on multiple occasions over the past half-century.

### 6.3.2 Profiling Flood Hazard Events

**3.2 Profiling Hazards – Requirement §201.6(c)(2)(i):** The risk assessment shall include a description of the location and extent of all natural hazards that can affect the jurisdiction. The plan shall include information on previous occurrences of hazard events and on the probability of future hazard events.

#### A. Location

Figure 18. Flood Zones within Santa Cruz County



Most of the known floodplains in the United States have been mapped by FEMA, which administers the National Flood Insurance Program (NFIP). Information about floodplains in the County of Santa Cruz can be found in FEMA's most recent Flood Insurance Study (FIS) and on the Flood Insurance Rate Maps (FIRM). A small-scale version of all the FIRM panels for the County is provided above.

Within the County of Santa Cruz there are numerous areas subject to flooding due to rivers, creeks or coastal storms.

The two main rivers in the County that are subject to flooding are the Pajaro River and the San Lorenzo River. The Pajaro River and its floodplain runs through agricultural lands within the Pajaro Valley and, downstream, through downtown Watsonville. The San Lorenzo River runs through the heavily populated San Lorenzo Valley and into downtown Santa Cruz, where a 2002 levee project has significantly reduced the flood risk for downtown residents, merchants, and landowners.

Other major creeks in Santa Cruz County adjacent to rural and urban development that are subject to flooding include Aptos Creek, Trout Creek, Valencia Creek, Salsipuedes Creek, Corralitos Creek, Soquel Creek, and their tributaries. The steepness of many of these creek canyons and the surrounding mountain areas contribute to the speed that flood water can accumulate and move resulting in relatively short warning times, increasing the hazard for those at risk. There are also many smaller creeks and tributaries throughout the County that are subject to flooding. Most of these are tributaries to the major creeks and rivers noted above.

Areas of low-density development characterize the creeks along the North Coast of Santa Cruz County. Flooding of developed areas from storm surges is unlikely in this area, since development has occurred mainly on cliffs and inland of the coastal flood areas. While flooding is still a risk in these areas, there are no occurrences of repetitive loss (explained on pg. 59) from flooding along the North Coast.

Coastal flooding along the heavily developed Monterey Bay coastline of Santa Cruz County may occur with the simultaneous occurrence of large waves and storm swells during the winter. Storm centers from the southwest direction produce the type of storm pattern most commonly responsible for the majority of severe coastline flooding. The strong winds combined with high tides that create storm surges are usually accompanied by heavy rains. When storms occur simultaneously with high tides, flood conditions, particularly flooding at the mouth of the Pajaro River and Aptos Creek, are exacerbated.

The FIS and FIRMs for Santa Cruz County were updated by FEMA during this 5-year update period. The new FIRMs are dated may 16, 2012.

## **B. Extent: Magnitude or Severity**

Flood hazard areas identified on the Flood Insurance Rate Map are identified as Special Flood Hazard Areas (SFHA). SFHA are defined as the area that will be inundated by the flood event having a 1-percent chance of being equaled or exceeded in any given year. The 1-percent

annual chance flood is also referred to as the base flood or 100-year flood. SFHAs are labeled as Zone A, Zone AO, Zone AH, Zone AE, Zone A99, Zone AR, Zone V, and Zone VE. See Table 6-1 on the following page for an explanation of these zones. Moderate flood hazard areas, labeled Zone X (shaded), are the areas between the limits of the base flood and the 0.2-percent-annual-chance (or 500-year) flood. The areas of minimal flood hazard, which are the areas outside the SFHA and higher than the elevation of the 0.2-percent-annual-chance flood, are labeled Zone C or Zone X (unshaded).

**TABLE 6-1. FEMA Special Flood Hazard Area Zones and Definitions**

<b>Flood Zone</b>	<b>Definition</b>
A	Areas subject to inundation by the 1-percent-annual-chance flood event. Base Flood Elevations or flood depths not determined.
AE	Areas subject to inundation by the 1-percent-annual chance flood event. Base Flood Elevations determined.
AH	Areas subject to inundation by 1-percent-annual-chance shallow flooding (usually areas of ponding) where average depths are between one and three feet. Base Flood Elevations determined.
AO	Areas subject to inundation by 1-percent-annual-chance shallow flooding (usually sheet flow on sloping terrain) where average depths are between one and three feet. Average flood depths determined.
AR	Areas that result from the decertification of a previously accredited flood protection system that is determined to be in the process of being restored to provide base flood protection
A99	Areas subject to inundation by the 1-percent-annual-chance flood event, but which will ultimately be protected upon completion of an under- construction Federal flood protection system. These are areas of special flood hazard where enough progress has been made on the construction of a protection system, such as dikes, dams, and levees, to consider it complete for insurance rating purposes.
V	Areas along coasts subject to inundation by the 1-percent-annual-chance flood event with additional hazards associated with storm-induced waves. Base Flood Elevations not determined.
VE	Areas subject to inundation by the 1-percent-annual-chance flood event with additional hazards due to storm-induced velocity wave action. Base Flood Elevations determined.
X (Shaded on FIRM)	Areas of 0.2-percent-annual-chance flood; areas of 1-percent-annual- chance flood with average depths of less than 1 foot or with drainage areas less than 1 square mile; and areas protected by levees from 1- percent-annual-chance flood.
X (not shaded on FIRM)	Areas determined to be outside the 0.2-percent-annual-chance flood.



## Repetitive Loss Properties

FEMA records indicate a total of 77 repetitive loss properties in the County of Santa Cruz. These are NFIP-insured structures that have had at least two paid flood losses of more than \$1,000 each in any 10-year period since 1978. Flood insurance claim payments on these properties alone total over \$3.4 million dollars. Repetitive loss properties are concentrated in the San Lorenzo River corridor and the Aptos beach area. The County of Santa Cruz is classified as a Category C Repetitive Loss Community under the Community Rating System (CRS). Category C Communities are those with more than 10 repetitive loss properties. By 2012, and as a result of the 2010 LHMP, the County has worked to mitigate the flood hazard on 18 of these properties primarily through elevation of the structures. Currently, there are 59 repetitive loss properties that have not been mitigated.

**FIGURE 19. REPETITIVE LOSS PARCELS WITHIN COUNTY OF SANTA CRUZ**



## C. Previous Occurrences

Flooding in Santa Cruz County has occurred in each of the primary drainages and will continue to do so in the future given the right set of meteorological conditions. Previous occurrences are well documented for all primary drainages with the exception of Aptos Creek, which is not gauged. The known occurrences are detailed below.

## Summary of Historical Floods in Santa Cruz County

Major storms and associated flooding are known to have occurred during March 1899, December 1937, February 1940, November 1950, January 1952, December 1955, April 1958, January 1963, January 1967, January 1973, and January 1982. The December 1955 and January 1982 storms were the most severe in recent times. Below is a summary of the historic flooding for the major rivers, creeks, and beaches in Santa Cruz County.

### Aptos, Trout and Valencia Creeks

Aptos Creek drainage basin is of small size and limited flood problems. It includes the drainage areas of Valencia Creek, Trout Creek, Bridge Creek and Mangles Gulch. Floods are known to have occurred in 1955, 1963 and 1982, however little information is available prior to 1955.

During the four-day period ending December 22, 1955, heavy rains fell over the basin causing Aptos and Valencia Creeks to slightly exceed bank full stages at several points in the lower basin. Agricultural damage was primarily due to scour and erosion of first shelf lowlands planted to pasture, a few orchard crops and idle croplands. The peak flow was measured at 3,500 cfs and approximately 140 acres were inundated, of which 20 acres were cropland. Non-cropland damages were generally very minor, consisting of eroded private roads and washed out culverts. County roads and bridges experienced relatively heavy damages at the Valencia Road crossing. The bridge on Aptos Creek just below the confluence of Aptos and Valencia Creeks sustained a washout of cribbing endangering the bridge structure. Four homes along Moosehead Drive, downstream from the village of Aptos, experienced flooding. The Southern Pacific Railroad sustained minor damage due to undermining of the roadbed at Aptos, which resulted in a seven-day interruption of rail service. The local telephone company sustained minor damages to the undermining of facilities in the floodplain (United States Army Corps of Engineers [USACE], Floodplain Information, Aptos, Trout, and Valencia Creeks, 1973).

The January 1982 flood had a peak flow of 3,950 cfs and corresponded to a 40-year recurrence interval based upon stream gauge data in Aptos Creek. Heavy damage occurred from this storm. At least seven homes along Moosehead and Spreckels Drive between Highway 1 and the Spreckels Drive Bridge suffered major damage (Department of Earth Sciences, 1982). Further downstream damage resulted to major portions of two streets paralleling Aptos Creek.

### Pajaro River, Salsipuedes Creek, and Corralitos Creek

During December 21 through 24, 1955, and April 2 through 4, 1958, the Pajaro Valley experienced flooding. These floods are the two largest on record for the Pajaro River. The associated discharges for these events were 24,000 cfs and 23,500 cfs, respectively, at the Chittenden gauge (USACE, 1963). The estimated recurrence intervals for floods of these magnitudes are 27 years and 26 years, respectively. In comparison, the estimated discharge at Chittenden for a 100-year flood is 43,000 cfs. (FEMA Flood Insurance Study March 2, 2006).

In the December 1955 flood, the Pajaro River was maintained within the levees in the Watsonville area, but the levees were breached 2.1 miles upstream of the confluence with Salsipuedes Creek (USACE, 1963). Although no lives were lost, 972 people were evacuated and \$1.12 million damage incurred. Included in these costs were monies spent to repair levees damaged by erosion. Additional levee repairs were required because of the April 1958 flood; however, no other significant damage resulted (USACE, 1963). Significant flooding along Corralitos and Salsipuedes Creeks also occurred in December 1955 and April 1958. Peak discharges for Corralitos Creek at Green Valley Road have been estimated from high-water

elevations (USACE, 1956). The estimated discharges for the 1955 and 1958 floods are 3,620 cfs and 2,680 cfs, which correspond to recurrence intervals of 12 and 7 years, respectively. The overflow of Corralitos Creek upstream of the leveed section on Salsipuedes Creek flooded 29 blocks within the City of Watsonville during the December 1955 flood (USACE, 1963).

The Pajaro Valley experienced only minor damage from the January 1982 flood. (FEMA Flood Insurance Study March 2, 2006). In 1995, a major flood event breached the Pajaro River levees and the Town of Pajaro was flooded.

### San Lorenzo River

The San Lorenzo River basin is the largest drainage basin contained entirely within the County. Few records exist of flooding in the San Lorenzo Basin (outside of the City limits) prior to 1940. However damaging storms are known to have occurred in 1940, 1955, 1958, and 1982.

In January 1862, within the City limits, land was consumed and buildings along the riverbanks were destroyed.

January 1890 saw the largest river level recorded to this date.

In January 1895, a storm caused flooding of basement, yards and lots in the City of Santa Cruz.

In March 1907, floodwaters were higher than previous floods. February 1940 and 1941, saw continued episodes of flooding.

December 1955 was the highest historic flood along the San Lorenzo River and had a peak discharge of 30,400 cfs, which equates to approximately a 30-year recurrence interval. The most intensive rainfall fell during a four-day period from December 21-24. In the central part of the basin, known as the Ben Lomond area, the San Lorenzo River exceeded bank full stage. Local reports indicate previous maximum stages of record were exceeded along Kings, Boulder, Two Bar, and Zayante Creeks in the upper basin. Overflows occurred from the headwaters to the mouth, resulting in the maximum flood of record. The heavy rains and overflows loosened and scoured out large trees, and floated them downstream where they became lodged at channel points of constriction, impounding flow, causing extremely severe local flooding. The numerous log jams and other channel obstructions diverted the high velocity flows, causing the streams to change from the normal alignment, undercut and scour out numerous bridges, road fills, channel dams and private developments. It is estimated that at least 388 acres were flooded. Seven people (5 within the Santa Cruz City limits, 2 outside) lost their lives as a result of the flood. It is estimated that 390 people outside the City limits were displaced by the floodwaters. Numerous houses, roads, parks, and commercial properties were damaged or destroyed in the Boulder Creek, Ben Lomond, Felton and Paradise Park areas. (USACE, 1973)

The April 1958 flood was minor in comparison to the 1955 flood, but still saw erosion, creek bank failures and damage and loss of houses.

The magnitude of the January 1982 flood was similar to the December 1955 flood and had a peak discharge of 19,700 cfs. Damage upstream of the City of Santa Cruz was extensive. The damage was most extensive in the area between the upstream limits of Felton and in the areas

of Paradise Park, Gold Gulch and Felton Grove. In the Felton Grove area, floodwaters in the overbanks reached 3 to 7 feet and inundated 50 homes and cabins. An additional 60-70 homes were flooded between Felton and Ben Lomond. It is estimated that the 1982 flood had a recurrence interval along the San Lorenzo River of approximately 30 years. (FEMA Flood Insurance Study March 2, 2006).

### Soquel Creek

Storms of flood-producing magnitude occurred during March 1899, December 1937, February 1940, January 1943, November 1950, January 1952, December 1955, April 1958, October 1962, January 1963, January 1967, and January 1982. The December 1955 storm is the most severe storm of recent times, its seventy-two hour rainfall interval was equivalent to about 35 percent of the normal annual rainfall.

During the flood of 1955, a major logjam occurred at the Soquel Avenue Bridge, causing a severe backwater condition. In Soquel, eight city blocks were inundated displacing 359 persons (USACE, 1956). Just upstream of the confluence with Hinkley Creek, floodwaters in the overbanks reached depths of five to six feet. The peak flow for Soquel Creek at the Soquel gauge indicated a peak flow of 15,800 cfs, which is a recurrence interval of 70 years (FEMA Flood Insurance Study March 2, 2006).

During the 1982 flood, the Soquel Creek basin experienced major flooding in the vicinity of the Soquel Avenue Bridge. A massive logjam diverted flow down the main street of the town of Soquel. The floodwaters rose rapidly along Soquel Creek and caused major damage to two mobile home parks adjacent to the stream. The estimated peak flow was 9,700 cfs, which equates to an estimated recurrence interval of approximately 16 years.

### Beach / Coastal Flooding

Flooding along the Pacific coast of Santa Cruz is typically associated with the simultaneous occurrence of high tides, large waves, and storm swells during the winter. As a result, ocean front development has not been compatible with the natural instability of the shoreline and intense winter weather conditions. (FEMA FIS, March 2, 2006).

Significant storms, with associated damage, strike the Monterey Bay communities with a frequency of one large storm every 3 to 4 years (Ott Water Engineers, Inc., 1984).

The most severe storms on record to hit the California coast occurred in 1978 and 1983 when high water levels were accompanied by very large storm waves (FEMA FIS, March 2, 2006).

In 1978, a series of storms emanated from a more southerly direction, than normal. Consequently, some of the more protected beaches were damaged. Jetties and breakwater barriers were overtopped and in some cases undermined. Direct wave damage occurred to many beachfront homes and seawalls, especially in the more populated beachfront areas such as at Seacliff Beach and Rio Del Mar Beach. (FEMA FIS, March 2, 2006).

In 1983 a similar storm hit the Santa Cruz Coast. During this storm a new 3,500-foot seawall was destroyed and in Seacliff Beach 19 of 21 homes were significantly damaged when the existing riprap protection was overtopped. (FEMA FIS, March 2, 2006).

The Pajaro Dunes area of the County that is fronted by dunes has also been subject to severe damage to structures as well as rapid beach retreat in 1968, 1969, 1978 and also in 1983. (FEMA FIS, March 2, 2006).

No major flooding events on local rivers, creek, or beaches has occurred since the previous LHMP was adopted.

#### **D. Probability of Future Events**

Significant storms and associated damage from flooding strike the Monterey Bay communities with a frequency of one large storm every three to four years. A 100-year flood has a one percent probability of occurring in any given year and while considered to be a severe flood, it still has a reasonable possibility of regular occurrence. For the purposes of the protection of property, life and safety, floods of other magnitudes and occurrence intervals should also be considered in mitigation efforts.

Floods are gauged by their cresting elevation, the area of inundation or damages and either the size of the event or the probability of occurrence. The size and depth of the floodplain area is computed using mathematical models of precipitation, slope, runoff, soil type and cross-section. Flood depths are calculated at intervals along a stream or channel corridor and then mapped and interpolated between sections. This results in the floodplain map.

The probability of occurrence is expressed in a percentage of the chance of a flood of a specific extent occurring in any given year. The most widely adopted design and regulatory standard for floods in the United States is the 1-percent annual chance flood, and this is the standard formally adopted by FEMA. The 1-percent annual flood is also commonly referred to as the “100-year flood,” leading to the misconception that it should occur only once every 100 years. In fact, a 100-year flood may occur in any year, regardless of the time that has passed since the last one. It is the probability that smaller floods occur more often than larger floods that compels the percentage.

**TABLE 6-2. FLOOD PROBABILITY TERMS**

<b>Flood Occurrence Intervals</b>	<b>Percent Chance of Occurrence Annually</b>
10 years	10.0%
50 years	2.0%
100 years	1.0%
500 years	0.2%

### 6.3.3 Assessing Flood Vulnerability: Overview

**3.3 Assessing Vulnerability: Overview – Requirement §201.6(c)(2)(ii):** The risk assessment shall include a description of the jurisdiction’s vulnerability to the hazards described in paragraph (c)(2)(i) of this section. This description shall include an overall summary of each hazard and its impact on the community.

#### A. Overall Summary of Flood Vulnerability

Riverine flooding is a risk for many parts of the communities of Boulder Creek, Ben Lomond, Brookdale, Felton, Zayante, Paradise Park, unincorporated Santa Cruz, unincorporated Scotts Valley, Live Oak, Soquel, Rio Del Mar, Aptos, and unincorporated Watsonville. Coastal flooding is a risk for many homes along Live Oak, Aptos, Seascape, and unincorporated Watsonville beaches. Many homes, apartments, hotels, shops, and critical facilities have been built in these areas to accommodate resident and tourist needs. Properly protecting these structures from flooding is essential to preventing loss of human life and protecting the local economy.

Under a widespread heavy rain scenario (accumulation of .30 inches of rain per hour or more), severe flooding is likely in low-lying areas within a basin. Based on the 100 year flood plain (FEMA Zone A), 11% of the developed parcels (8,359) 5% of roads (103 miles), 25% of the sheriff’s facilities (3), 30% of the fire stations (7), 9% of schools (16) and approximately 15% of the churches (32) are located within or intersected by the 100 year flood plain.

#### Summary of Flood Protection Measures and Future Vulnerability

Flood protection measures implemented in the unincorporated areas of Santa Cruz County have included nonstructural and structural measures. The nonstructural measures include floodplain zoning ordinances that regulate building within the floodplain as well as protection of riparian areas that further limits impacts of flooding on structures. Structural measures implemented in the County have been limited primarily to the Pajaro Valley.

Although dozens of houses in the flood prone areas of the County have been elevated above the 100-year flood or wave run-up elevation over the past several decades, areas previously inundated by flooding will continue to do so in the future, with potentially substantial impacts to property, lives and infrastructure.

Flows in excess of approximately 10,000 cfs caused flooding on the lower Pajaro River before completion of the Federal levee project (USACE, 1963). After the floods of 1938 and 1941, the USACE designed levees for the Pajaro River and Salsipuedes Creek.

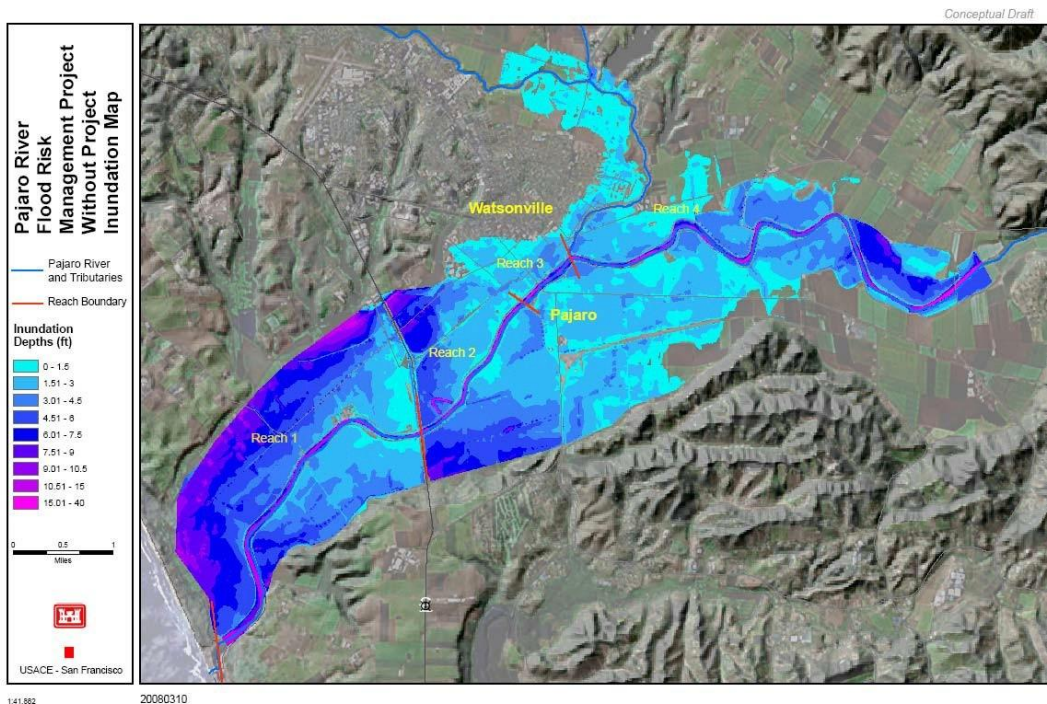
Levees were completed along the Pajaro River by the USACE in 1949. Levees along the north bank begin just upstream of the mouth at the Pacific Ocean and continue to approximately River Mile 11.8 (Murphy Road); levees along the south bank begin just upstream of the mouth and continue to River Mile 10.6. The levees increased the capacity of the Pajaro River to 22,000 cfs downstream of Salsipuedes Creek, equivalent to a 25-year flood. In the same year, levee construction on Salsipuedes Creek from the confluence with the Pajaro River to River mile 2.5 on the west bank to River Mile 1.7 on the east bank was also completed (USACE, 1963). The addition of the levees increased the capacity of Salsipuedes Creek to 10,000 cfs (USACE, 1963).

In 1963, the USACE performed additional studies and recommended that the levees along the Pajaro River and along Salsipuedes and Corralitos Creeks be modified to provide additional protection (USACE, 1963). Construction was authorized in the Flood Control Act of 1966 and the project proceeded to the advanced stages of design, but local support in Watsonville was withdrawn and the project was placed in a deferred status (USACE, 1978; and USACE, 1974).

However, in recent years, studies on the Pajaro River levees have indicated that they may fail under a roughly 8-year event (approximate flow of 18,000 cfs). The County of Santa Cruz and the U.S. Army Corps of Engineers are currently working together to come up with a solution to enhance the levees and increase the level of flood protection. See Figure 20 on the next page for Pajaro River Flood Risk map.

The Santa Cruz County Flood Control and Water Conservation District (District) was established to provide funding for implementing proposed Army Corps of Engineers (Corps) flood control projects on the Pajaro River, Salsipuedes Creek, and Corralitos Creek. District staff coordinates with the Corps to ensure local needs are addressed; provides assistance to the Corps in project evaluation, as necessary, administrative and engineering drainage services; and is responsible for the replacement, upgrade, and maintenance of drainage and flood control facilities in the levee system. The District provides administration and coordination of the Corps Pajaro River Flood Risk Reduction Project, and staff for the County's participation in the Pajaro River Watershed Flood Prevention Authority. The District staff is working to identify funding for the long-awaited levee reconstruction project. In the meantime, the recently completed Pajaro River Bench Excavation Project has provided some additional flood conveyance capacity.

**FIGURE 20. PAJARO RIVER FLOOD RISK MAP**





No major flood control projects have been constructed in the Aptos Creek, Soquel Creek, or the Santa Cruz County portion of the San Lorenzo River basins. Local interests have provided non-continuous bank protection constructed of various materials including concrete, timber, and riprap. A multiple-purpose reservoir on Soquel Creek, approximately 5 miles upstream from Monterey Bay, was found to be economically justified, but has not been implemented. A major flood control project, which includes levees and channel improvements, was constructed on the San Lorenzo River. These improvements, however, are located within the Santa Cruz City limits and not in the unincorporated portion of the county.

Residents and municipalities of northern Monterey Bay have spent hundreds of thousands of dollars on flood protection measures to prevent coastal flood damage. Permanent structures such as seawalls, boulder-sized riprap, timber, and concrete bulkheads have been installed. Severe storms in January of 1983 overtopped many of the structures. Protection varied by site. At Seacliff State Beach, repeated storms have destroyed reconstruction efforts, while at New Brighton State Beach, damage was minor.

At Seacliff State Beach in January 1983, high waves associated with high tides overtopped a rock rubble mound to cause major damage to 19 of 21 homes. Of the 9.5 miles of northern Monterey Bay coastline, over half is protected by seawalls or riprap.

After the major flood in December 1955, a flood-control project was constructed by the USACE to provide protection against a flow of 53,000 cfs at the mouth of the San Lorenzo River. The flood-control project included improvements on the San Lorenzo River as well as Branciforte Creek.

On the San Lorenzo River, the project extended from the Southern Pacific Railroad (SPRR) bridge near the mouth to the city's concrete weir diversion works. Between the SPRR bridge and the State Highway 1 bridge, the project included levees, channel improvements, and bank protections; upstream of State Highway 1, only channel improvements were made. The modified channel was wider with a lower invert than the natural channel. Channel improvements were designed to provide 3 feet of freeboard and to carry 53,000 cfs downstream of the confluence with Branciforte Creek and 46,800 cfs between the confluence and the State Highway 1 bridge. On Branciforte Creek, a rectangular concrete channel was constructed and extended upstream 1 mile from the confluence with the San Lorenzo River at the Soquel Avenue bridge.

Nonstructural measures employed by the City of Santa Cruz include a logjam removal procedure and flood plain zoning ordinances. The zoning ordinances regulate development in the flood plain areas (City of Santa Cruz, 1975).

## **Impact of Hazards**

Flooding in the various river basins impact public health and safety, critical facilities and infrastructure, as well as the community's economy.

When floods hit the community, as shown by past history, public health and safety issues (including loss of life and property as well as the overall health of the community) can be widespread. Recognition of these hazards has led the County of Santa Cruz to work with FEMA, in recent years, to assist property owners in funding elevation of homes above the base flood elevation (Felton Grove) and to develop a plan to improve levee safety (Pajaro River). Additionally, the County of Santa Cruz has improved rain and stream gauging in the San



Lorenzo River, Soquel Creek, Corralitos Creek and Pajaro River watersheds. The improved gauging includes real-time monitoring of rainfall and stream levels that are monitored 24 hours a day during storm event. See Watershed Flood Monitoring Table 6-3, on the following page.

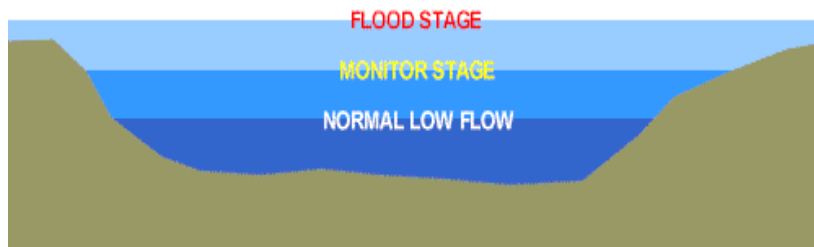
This monitoring is coordinated with the County Public Works Department, the County Emergency Operations Center, the National Weather Service in Monterey, NOAA, and the USGS. In the Pajaro River watershed, monitoring coordination also includes the Santa Clara Water District, and the counties of San Benito and Monterey. Close coordination has allowed an alert system to be developed through the use of a reverse 911 system. This system may not save fixed structures, but it can save lives. Coordination with other agencies has also helped to time releases from reservoirs (Santa Clara Water District), so that releases do not coincide with peak flows. Following is a table of Santa Cruz County Stream / River Flood Stages that has been developed to assist flood control staff in their monitoring of flooding.

**TABLE 6-3 SANTA CRUZ COUNTY STREAM/RIVER FLOOD STAGE**

Stream & Location	Datum = 0	Today's Levels	Flood Watch Stage	Flood Monitor Stage	Initial Overflow Areas	Flood Warning Stage
San Lorenzo R. Big Trees, Felton	227.0' NGVD		10.0'	14.0'	Felton Grove, Gold Gulch, and Paradise Park	18.0'
Soquel Creek Bridge St.	21.4' NGVD		8.0'	11.5'	Heart of Soquel, Old Mill Mobile Home Park, Areas west of Porter St.	14.5'
Corralitos Cr Green Valley Rd.	89.4' NGVD		9.0'	9.0'	Orchard Park Subdivision, College Rd. <u>Flood Watch &amp; Monitor Stages</u> at the same level due to fast rising water	11.5'
Salsipuedes Cr Hwy 129			25.0'	32.0'	2.4-mi down-stream from Corralitos Cr., Orchard Park, College Rd (Drew Lake) Top of levee at 37.5'	34.5'
Pajaro River @ Chittenden	81.9' NGVD		23.0'	25.0'	Area along channel extending 2.5-miles upstream from confluence of Pajaro R. & Salsipuedes Cr. Top of levee at 34.5'	32.0'
Pajaro River @ Main St	0' NGVD		23.0'	27.5'		31.0'

LARC (computer voice msg - stream levels) SLR @ Big Trees: 335-9365

## NON-LEVEED STREAMS

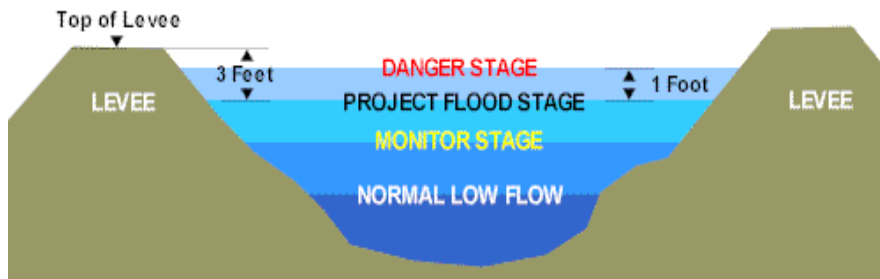


Flood Watch Stage: The Stage at which current or developing conditions pose a threat of flooding but it is NOT certain or imminent.

Flood Monitor Stage: The Stage at which initial action must be taken by concerned interests (livestock warning, removal of equipment from lowest overflow areas, or simply general surveillance of the situation). This level may produce overbank flows sufficient to cause minor flooding of low-lying lands and local roads.

Flood Warning Stage: The Stage at which overbank flows are of sufficient magnitude to cause considerable inundation of land and roads and/or threat of significant hazard to life and property.

## LEVEED STREAMS



Flood Monitor Stage: The Stage at which patrol of flood control project levees by the responsible levee maintaining agency becomes mandatory, or the Stage at which flow occurs into bypass areas from project overflow weirs.

Project Flood Stage: The Stage at which the flow in a flood control project is at maximum design capacity (U.S. Corps of Engineers "Project Flood Plain"). At this level there is a minimum freeboard of 3 feet to the top of levees.

Danger Stage: The Stage at which the flow in a flood control project is greater than maximum design capacity and where there is extreme danger with threat of significant hazard to life and property in the event of levee failure. This is generally 1 foot above project flood stage.

### 6.3.4 Assessing Flood Vulnerability: Identifying Structures

#### **3.4 Assessing Vulnerability: Identifying Structures – Requirement §201.6(c)(2)(ii)(A):**

The plan **should** describe vulnerability in terms of the types and numbers of existing and future buildings, infrastructure, and critical facilities located in the identified hazard area.

#### **Types and Numbers of Existing buildings, Facilities and Infrastructure**

Table 6.4 on the following page identifies the number of parcels that intersect the flood plain. All of the structures on those parcels have been included as potential losses in that table. However, a more detailed analysis, recently completed for the FEMA Biennial Report, assessed whether specific habitable structures on those parcels were located within the floodplain. This data shows that there are over 2,000 1-4 unit residential structures and over 200 other habitable structures in the flood hazard areas of Santa Cruz County. Approximately 3,200 permanent year-round Santa Cruz County residents live flood hazard areas.

### 6.3.5 Assessing Vulnerability: Estimating Potential Losses

#### **3.5 Assessing Vulnerability: Estimating Potential Losses – Requirement**

**§201.6(c)(2)(ii)(B):** The plan **should** describe vulnerability in terms of an estimate of the potential dollar losses to vulnerable structures identified in paragraph (c)(2)(i)(A) of this section and a description of the methodology used to prepare the estimate.

#### **A. Potential Dollar Losses to Vulnerable Structures**

Approximately 6400 parcels lie within the flood zone areas with the majority of these parcels categorized as residential. Within the residential areas, there are over 5700 structures. The population in the flood zone is 15,110. The potential loss in residential areas alone tops \$683 million. When all types of land use are considered, the potential loss is over \$841 million.

**TABLE 6-4. FLOOD POTENTIAL LOSS INVENTORY**

Land Use		Number of Parcels		Number of Structures		Loss in Value \$	
Type	Total	Hazard	Total	Hazard	Total	Hazard	
Agricultural	1,601	379	2,938	918	\$226,819,374	\$38,957,033	
Commercial	1,398	307	2,359	672	\$475,412,013	\$79,383,388	
Government	1,676	295	1,317	498	\$249,122	\$50,741	
Industrial	215	40	473	173	\$104,713,461	\$15,522,751	
Institutional	174	27	397	51	\$185,873,022	\$21,820,801	
Miscellaneous	12,478	1,032	2,381	307	\$16,039,420	\$1,529,219	
Residential	43,024	4,333	52,604	5,788	\$7,721,059,332	\$683,701,425	
Utilities	515	49	195	27	\$1,357,002	\$323,988	
<b>Total</b>	<b>61,081</b>	<b>6,462</b>	<b>62,664</b>	<b>8,434</b>	<b>\$8,731,522,746</b>	<b>\$841,289,346</b>	
<b>Total Unincorporated</b>		<b>Hazard Area</b>					
<b>Population</b>	<b>133,891</b>	<b>15,110</b>					

Improvement value based on Assessment Roll 10/13/2009

## B. Methodology Used to Prepare Estimate

### Parcel Valuation

Valuations of parcels within a hazard area are based on improvement values only as collected by appraisers with the Santa Cruz County Assessor’s Office. They do not reflect sale value or replacement value. If a parcel intersected a hazard, the entire improvement value of that parcel was used.

### Population

Census population blocks were reduced to center points. If a hazard intersected a center point, that population was counted.

### Flood Analysis

Since FEMA flood data is mapped on the federal level, the data is somewhat coarse in horizontal accuracy. The data is a rough estimate of expected flood elevations and loss areas.

Estimating flood losses is an established process. If a “100 year” flood occurred in our county, meaning that the flood has a 1% chance of occurring in any given year, it would impact approximately 8400 structures to various degrees. This was determined by intersecting the county’s database of structures with the FEMA-developed maps of the 100-year floodplain. Structures within the floodplain vary in construction, size and materials, ranging from single-family homes to multi-family to commercial.

**6.3.6**

**Assessing Vulnerability: Analyzing Development Trends**

**3.6 Assessing Vulnerability: Analyzing Development Trends – Requirement §201.6(c)(2)(ii)(C):** The plan **should** describe vulnerability in terms of providing a general description of land uses and development trends within the community so that mitigation options can be considered in future land use decisions.

**A. Description of Land-Uses and Development Trends**

The County of Santa Cruz has a number of compact urban communities as well as extensive areas of agricultural land and forested hillsides. A number of rural villages and towns are located throughout the County. As mandated by the 1978 Growth Management Ordinance, most new development has occurred within or adjacent to the urban services line (i.e., the boundary point for such infrastructure as water and sewage service). As with most communities, increased housing costs have resulted in the need to provide higher density housing. In Santa Cruz County, all development of this type occurs where urban services are available. Other development is mostly infill or reuse development, and development of existing rural residential properties.

Growth Management policies prevent development from occurring where hazards are present and, in most cases, require substantial setbacks from these hazards.

No changes in these development regulations or patterns occurred that would affect the County’s overall vulnerability since the previous plan was adopted in 2010. The number of residential and commercial structures that have been built in flood hazard areas since the last LHMP was adopted in 2010, is a subset of the overall number of new structures built in the unincorporated portion of the County. The County has adopted growth management policies and ordinances that limit growth. According to annual Growth Management Reports, there have been no more than 490 new residential structures built in the County since 2010 broken down by year as follows:

Residential Building Permit Issued				
Year	Market Rate	Affordable	Second Units	Total
2011	35	89	17	141
2012	54	64	20	138
2013	43	4	28	75

2014	60	2	20	82
2015 (Through November 1, 2015)	26	1	27	54
Total	218	160	112	490

As stated above, growth management policies prevent new development from occurring where hazards are present. Development on existing lots of record is required to avoid hazards and incorporate appropriate setbacks, structural elevation, floodproofing, and other requirements to mitigate potential impacts from flood hazards hazards. Since the last LHMP in 2010, there has been twelve new residential structures and one commercial structure built on existing lots of record in flood hazard areas in the County. The Environmental Planning Section of the Planning Department, staffed by Resource Planners, one of which is the designated floodplain manager, specialize in reviewing each application for new residential and commercial structures to ensure that new development does not occur in hazard zones and that development on existing lots of record avoid, minimize and mitigate potential impacts from identified flood hazards. These policies and procedures implement the mitigation strategy described below.

#### 6.4.0 Mitigation Strategy

**4.0 Mitigation Strategy: Requirement §201.6(c)(3):** The plan **shall** include a mitigation strategy that provides the jurisdiction’s blueprint for reducing the potential losses identified in the risk assessment, based on existing authorities, policies, programs and resources, and its ability to expand on and improve these existing tools.

#### Programs Currently in Effect

The County participates in the National Flood Insurance Program (NFIP) regulating development in the floodplain according to NFIP standards. The County has adopted a floodplain management ordinance that incorporates the FEMA model ordinance and includes stricter local requirements. The County’s floodplain management ordinance and other activities and programs implemented locally by the Planning Department have qualified us to participate in the NFIP and the Community Rating System, which has reduced flood risk and incrementally reduced flood insurance rates for all residences in Santa Cruz County. The County entered the NFIP program following the publication of the original NFIP insurance rate maps for Santa Cruz County in 1984. The County has continuously participated in the program and plans to continue to comply with NFIP requirements.

The County of Santa Cruz currently addresses land use within the floodplain in the General Plan as well as actively enforcing related building, zoning, and resource planning codes, and other land use regulations concerning development within the 100-year floodplain. The 2013 California Building Code has several new enforceable provisions for development in flood hazard areas, which are incorporated into County building and resource planning codes.

The County participates in a number of ongoing mitigation actions to avoid or reduce the threats of flood. Actions include:

- § The County is the lead agency in an early warning flood forecasting system for evacuation of areas susceptible to flooding.
- § Continual improvements to the early warning system are being planned and implemented, especially as they relate to the Upper Pajaro watershed, the San Lorenzo watershed and in the severely burned areas of recent fires.
- § Regulations on development and alteration of flood plains, stream channels and protective barriers that accommodate overflow are in place.
- § Encouragement of property owners, potential buyers and residents living in floodplains and coastal inundation areas to participate in the National Flood Insurance Program (NFIP).
- § Rehabilitation of remote culverts and storm drainage systems to reduce flooding caused by inadequate storm drainage.

#### **Annual Flood Control Maintenance on the Pajaro River by the Public Works Department.**

This work is required by the U.S. Army Corps of Engineers and consists primarily of managing in-stream riparian vegetation to encourage geomorphic form and function. The vegetation management plan is identified in the Final EIR for the Pajaro River and Salsipuedes and Corralitos Creeks and requires vegetated buffer zones to be generally maintained at 10-feet at the toe of the levees and 5-feet along the wetted edge of the river. The vegetation management is required in order for winter flows not to exceed the design capacity of the Pajaro River levees.

#### **Future Plans**

The County has taken the necessary steps to apply for and be accepted into the Community Rating System (CRS). The CRS is a voluntary incentive program that is part of the National Flood Insurance Program (NFIP). The program recognizes and encourages community floodplain management activities that exceed the minimum NFIP requirements (FEMA 2002). As a result, flood insurance premium rates have been discounted to reflect the reduced flood risk resulting from community actions meeting the following three goals of the CRS:

- § Reduce flood losses
- § Facilitate accurate insurance rating
- § Promote awareness of flood insurance

For communities participating in the CRS, flood insurance premium rates are discounted in increments of 5 percent, with the lowest class communities receiving the highest discount. For example, a Class One community would receive a 45 percent premium discount, and a Class Nine community would receive a 5 percent discount. A Class Eight community, which is the current designation for Santa Cruz County, receives a 10% discount. The CRS classes for local communities are based on 18 creditable activities organized under the following four categories:

- § Public Information
- § Mapping and Regulations
- § Flood Damage Reduction
- § Flood Preparedness

Currently, approximately 1,000 communities nationwide receive flood insurance premium



discounts based on implementation of local mitigation, outreach, and educational activities that go well beyond minimum NFIP requirements.

## Assigning Priority to Mitigation Actions

Priority levels have been assigned to each of the mitigation actions. Highest priority has been given to those actions that are relatively inexpensive to implement, are required as part of other programs (e.g. NFIP), and/or will reduce the costs of flood damage to the County and the costs of flood insurance to the public.

## Project Feasibility

It should be noted that there are many items that are infeasible at this time due to current County budget cuts and recent and possible future layoffs. These items include installing gauges on Aptos and Valencia Creeks, expansion of drainage system monitoring, and construction of the Soquel Creek Reservoir. In addition to limited funding for implementing these programs, there is very little staff time to devote to applying for financial assistance. As the economic climate improves, these programs can be integrated into future iterations of this report.

An assessment of this mitigation strategy as part of this 5-year plan update indicates the strategy is effective for reducing potential losses identified in the risk assessment. The current flooding risk has not changed since the previous plan was adopted. No adjustments are needed to address a change in circumstances. There have been no major flooding events during the five-year update period.

### 6.4.1 Mitigation Goals

**4.1 Local Hazard Mitigation Goals – Requirement §201.6(c)(3)(i):** The hazard mitigation strategy shall include a description of mitigation goals to reduce or avoid long-term vulnerabilities to the identified hazards.

The County of Santa Cruz has developed several flood hazard mitigation goals to create a more flood-resistant community.

#### Flood Goals

- Flood 1**      Avoid or reduce the potential for life loss, property and economic damage from flooding
- Flood 2**      Enhance emergency management tools
- Flood 3**      Protect critical facilities, schools, and utilities from flooding
- Flood 4**      Promote public awareness of flood hazards, mitigation measures and flood insurance
- Flood 5**      Preserve open space in the flood hazard area

## 6.4.2 Identification and Analysis of Mitigation Actions

**4.2 Identification and Analysis of Mitigation Actions – Requirement §201.6(c)(3)(ii):** The mitigation strategy **shall** include a section that identifies and analyzes a comprehensive range of specific mitigation actions and projects being considered to reduce the effects of each hazard, with particular emphasis on new and existing buildings and infrastructure.

### Flood Mitigation Actions

Flood hazard mitigation strategies include the following actions:

Strategy	Priority
A Continue to enforce flood plain management regulations on all permit applications	A-2
Continue to participate in the Community Rating system to improve floodplain management	A-3
Evaluate the effectiveness of current policies and ordinances designed to limit storm water runoff and recommend revisions to improve the effectiveness of these policies.	A-4
Evaluate the effectiveness of current drainage plan requirements	A-5
Implement the “Stormwater Facilities Master Plan” for Flood control Districts 5	A-6
Review and revise California Environmental quality Act (CEQA) Initial Study checklist to ensure storm water runoff is fully mitigated	B-2
Update and revise the Geologic Hazards Ordinance	B-5
Pursue elevation of structures to raise them above the 100-year flood level	B-6
Continue to enforce requirements for on-site retention of storm water runoff from impervious surfaces for all new development in the Groundwater Recharge Zone and the Water Supply Watershed Zone	B-7
Continue to inspect and maintain drainage system infrastructure	C-9
Develop public education materials on flood protection and mitigation by working collaboratively with community groups, non- governmental organizations and the local media	C-10
Regulate development in flood zones to optimize preservation of open space through the application of the Geologic Hazards Ordinance and Open Space Preservation policies	C-11
Limit development and monitor conditions of development and grading permits near natural channels and wetlands to prevent sedimentation	C-12

## **Implementation and Evaluation**

As part of the County's participation in the CRS program, a Flood Mitigation Planning Committee has been created and consists of the Floodplain Manager and key Planning Department, Office of Emergency Services, and Department of Public Works staff members. The County convenes regular meetings of the Committee to assess and evaluate progress on the goals and action items in the Plan. Additionally, the Committee works with responsible agencies to promote the goals and action items in their annual budgets and work programs. The Committee prepares an Annual Evaluation Report and distributes the report to the Board of Supervisors, the Community Rating System Coordinator for inclusion in the annual Community Rating System Report, the local news media and the public.

The integration of the plan into existing planning mechanisms and the implementation of mitigation actions demonstrate progress in risk reduction. Continuing efforts to address flood hazards has been a very high priority for Planning Department staff. Accomplishments include updating how we define substantial damage/substantial improvement in flood plain management to reflect current structure valuation, and participation in the Community Rating System to improve flood plain management and reduce insurance costs. The Planning Department is in the process of updating the Safety Element of the General Plan, the Geologic Hazards Ordinance and the Flood Plain Ordinance. The Planning Department continues to review development applications for emergency use and critical structures, and all other structures, for compliance with the California building code and the Geologic Hazards Ordinance regarding flood hazards. Planning staff has developed public education materials and mailed to properties within flood hazard areas. An explanation of how the previous mitigation plan has been implemented over the last 5 years is included in Appendix L. The worksheets in Appendix L also describe how the current mitigation strategy, including the goals and hazard mitigation actions, will be implemented over the next 5 years. There are no recommended changes to the mitigation actions for earthquake hazards, and the actions will continue to be implemented on an ongoing basis through existing regulatory mechanisms and funding and staff resources.

## **References**

This flood plan was prepared by County of Santa Cruz planning and engineering staff with input from the County Department of Public Works and the County Office of Emergency Services.

Preparation of this Chapter of the LHMP included a review of the following documents for information and to define the County's needs, goals, and plans:

- § 2002 County of Santa Cruz Flood Hazard Mitigation Plan
- § County of Santa Cruz Flood Insurance Study
- § County of Santa Cruz Geographic Information Systems data
- § County of Santa Cruz census data
- § County of Santa Cruz 2007-2008 Biennial Report

## 2015 Progress Report

The integration of the plan into existing planning mechanisms and the implementation of mitigation actions demonstrate progress in risk reduction. An explanation of how the mitigation plan for flood hazards has been implemented over the last 5 years is included in Appendix L and described below for each Mitigation Action related to flood hazard reduction.

- The County participates in the National Flood Insurance Program and has a flood plain management ordinance administered by a floodplain manager. All permit applications potentially impacted by flood hazards are reviewed by the floodplain manager. Notably, the issue of substantial improvement of existing building has been addressed. The “Flood Zones –Substantial Improvements” interpretation became effective February 10, 2010. The interpretation effectively increased the substantial improvement dollar value per square foot from \$107 to \$212.17 for most single family dwellings and \$318.25 for single family dwellings in the coastal high hazard area. The “Flood Zones –Substantial Improvements” interpretation was subsequently superseded by the new Substantial Improvement / Damage Review forms created in March 2013. These forms have simplified the permit requirements for applicants and reduced application review time for staff. (A-2)
- Planning Department staff completed the application for participation in the CRS Program during the 2011-2012 fiscal year. The County of Santa Cruz was accepted into the program as a Class 8 Community effective October 1, 2012. Staff has maintained this rating since the effective date. The Class 8 rating qualifies homeowners for a 10% reduction in flood insurance premiums. (A-3)
- On 3/6/12, the Board of Supervisors adopted Ordinance No. 5117 adding Chapter 7.79 Runoff and Pollution Control to the Santa Cruz County Code. The adoption of the ordinance was supplemented by changes to the County Design Criteria on the same day (A-4)
- As part of developing the 2012 Ordinance, requirements for both new and redeveloped sites have been generated to minimize impervious area impacts to flooding and water quality. Stormwater mitigation requirements apply to new and redevelopment type of projects. Work is needed to develop quantifiable criteria for minimizing impervious areas required by both the Design Criteria and the General Plan (A-5)
- The Master Plan for Zones 5 and 6, the most urbanized areas of the county, was completed in August 2013. The Master Plan identified recommended improvements that Public Works and the Flood Control Districts will consider in prioritizing the drainage improvements within these zones. (A-6)
- The Initial Study Checklist used by County staff has been updated to ensure that storm water runoff is fully considered and mitigated to the extend possible. (B-2)
- Funding was obtained and a draft Geologic Hazards Ordinance was prepared and accepted by the Planning Commission during a public hearing. The completed Geologic Hazards Ordinance update will be presented to the Board of Supervisors for approval during 2016 in coordination with other updates of portions of the General Plan and County Code. (B-5)
- Per the Geologic Hazards Ordinance, the Planning Department continues to evaluate incoming building and development permit applications for structures in flood hazard areas to determine if the work will meet or exceed the threshold for a substantial improvement. Structures for which substantial improvements are proposed are required to be elevated. For those projects that do not exceed substantial improvement, the applicant is advised of the benefits of elevation of the structure. During the reporting period, the Planning Department has issued 10 permits for elevated structures and received 8 elevation certificates for newly elevated structures. Applicants continue to keep the size of renovation projects below the substantial improvement threshold in order to avoid the elevation requirement. (B-6)

- Public Works Stormwater Management staff continues to require on site mitigations to control runoff volume and rates from new or redeveloped impervious areas. (B-7)
- The Department of Public Works continued to inspect and maintain drainage system infrastructure. Approximately 3000 junction structures, 2262 minor culverts, and 160 bridges and major culverts are maintained. From FY09/10 through FY13/14, 36 culverts were replaced. Public Works continues to struggle to maintain the County's existing drainage infrastructure due to the continued shortfall of State and Federal funds and declining gas tax revenues. The lack of available funding affects our staffing levels and our ability to perform necessary maintenance and repairs. (C-9)
- Distribution of a flood hazard brochure is required as a condition of participation within the CRS Program. The brochure is sent out annually to properties within flood hazard areas. The brochure is also provided annually to real estate offices, mortgage companies, and insurance providers within the County. The flood hazard brochure gets sent to over 4700 addresses every year. This requires significant staff resources to print, fold and tape the brochures. In 2017, the County will be required to update the CRS program to conform to the guidelines in the revised CRS Coordinator's Manual. The brochure at that time will likely be replaced with a postcard that directs the property owner or resident to an online resource with the required information. (C-10)
- The existing Geologic Hazards Ordinance and Riparian Corridor and Wetland Protection Ordinance have been effective at preserving open space for most development. The Planning Department continues to improve on understanding the relationship between the two and enforcing them cohesively. The new Flood Hazard Ordinance has been drafted and will further optimize preservation of open space through clarification of the existing ordinance. Revisions to the Riparian Corridor and Wetland Protection Ordinance have been postponed due to limited staff resources. Adoption of the Flood Hazard Ordinance should occur in 2016. (C-11)
- The Riparian Protection ordinance prohibits development within riparian corridors and buffers. The Planning Department actively works with developers to reduce the need for Riparian Exceptions when they can be avoided. In addition, the new Runoff and Pollution Control ordinance authorizes Public Works staff to inspect stormwater mitigation practices for development projects once every five years (or more often if necessary). (C-12)

The worksheets in Appendix L also describe how the current mitigation strategy, including the goals and hazard mitigation actions, will be implemented over the next 5 years. There are no recommended changes to the mitigation actions for flood hazards, or the priorities of the mitigation actions. The actions will continue to be implemented on an ongoing basis through existing regulatory mechanisms and funding availability.

## CHAPTER 7 – DROUGHT

### 7.3.0 Drought Risk Assessment

#### 7.3.1 Identifying Drought Hazards

**3.1 Identifying Hazards – Requirement §201.6(c)(2)(i):** The risk assessment **shall** include a description of the type ... of all natural hazards that can affect the jurisdiction.

A drought is a period of dry weather that persists long enough to cause serious problems such as crop damage and/or water supply shortages. Droughts may not be predictable, but they should be expected. They occur with some regularity and varying levels of severity. The magnitude and duration of a drought is something that can be predicted based on historical records and should be taken into account in water resources planning. In recent history, Santa Cruz County experienced 3 drought periods: 1976-77, 1987-1992, 2007-09, and most recently in 2012-15. It is expected that the effects of climate change will result in more severe droughts of longer duration.

Water supply in Santa Cruz County is provided by a number of independent water agencies, as shown in the table below. Sixty percent of the County population is served by the two largest jurisdictions, the cities of Santa Cruz and Watsonville, with substantial parts of their service areas outside of the city limits. Thirty-four percent of the Santa Cruz customers (32,500 people) and 18% of the Watsonville customers (12,000 people) are outside the city limits. Almost all of the jurisdictions are experiencing some kind of water supply shortfall, as indicated, either due to overdraft of the groundwater basin from which they derive supply, inadequate supply during a drought, or inadequate facilities to meet current demands. Forty-four percent of County population is served by water agencies that get more than 50% of their supply from surface water. It is those sources that are most susceptible to drought impacts.

**TABLE 7-1. WATER SUPPLIERS WITHIN COUNTY OF SANTA CRUZ**

Source: County Water Resources Status Report, presented to Santa Cruz County Board of Supervisors , January 28, 2014, Health Services Agency

Water Supplier	Connections	Pop.	Total Dry Year Demand	Ground	Surface	Current Shortfall?
Santa Cruz City Water Dept.	24,425	93,339	10,134	5%	95%	Drought
Watsonville City Water Dept	14,843	65,000	7,760	92%	8%	Overdraft
Soquel Creek Water District	15,562	38,000	4,171	100%		Overdraft

San Lorenzo Valley Water District	7,278	22,200	2,238	46%	54%	
Scotts Valley Water District	3,900	11,700	1,537	88% (12% recycled)		Overdraft
Central Water District	810	2,700	535	100%		OK
Lompico Creek Water Dirstrict	495	1,287	93	23%	77%	Drought
Smaller Water Systems*	3,600	10,800	2,410	88%	12%	OK
Individual Users*	8000	20,000	5000	95%	5%	OK
North County Agriculture			2,400	75%	25%	
Pajaro Agriculture			25,254	100%		Overdraft
<b>Totals</b>	<b>78,913</b>	<b>265,026</b>	<b>61,532</b>	<b>79%</b>	<b>20%</b>	
*Values are Estimates						

Each water supply agency that serves more than 3000 connections is required to prepare and maintain an urban water management plan, which among other things, outlines the susceptibility of the supply to drought. Those plans have been completed by all the large agencies.

Groundwater supplies are not as susceptible to drought impact as groundwater represents a large reserve that can be pumped more heavily during a short-term drought period. However, almost all of the groundwater basins in Santa Cruz County are in overdraft and current average pumping levels cannot be sustained on a long-term basis. Water conservation is a key strategy of all county water agencies, and during the 2007-09 drought all agencies sought consumption reductions of 15-20% through voluntary or mandatory measures.

On January 17, 2014, Governor Jerry Brown issued a proclamation declaring a state of emergency in California due to severe drought conditions. On April 1, 2015, the Governor issued the fourth in a series of Executive Orders on actions necessary to address these severe drought conditions. The April 1 Executive Order requires, for the first time in the State's history, mandatory restrictions to reduce urban water use by 25%. In response, the State Water Resources Control Board (SWRCB) adopted emergency regulations on May 5, 2015 to achieve the required water use reduction.

Santa Cruz County has no direct authority over the entities that provide water supply to county

residents. The two largest suppliers are governed by the city councils elected by city residents. Independently elected Boards govern the other public entities. The California Public Utilities Commission oversees the privately owned water systems to some extent. All water systems are governed by state and federal safe drinking water regulations. The larger systems with more than 200 connections are regulated directly by the California Department of Public Health.

The County serves as an agent of the state, ensuring compliance with the state regulations for 130 small water systems with 5-199 connections. The County also permits individual water systems to serve new homes in rural areas. Typically these are on wells. Although well yield standards for new development are conservative, it is possible that individual wells and older wells serving small water systems may experience diminished yield or go dry during an extended drought. The County has no ongoing oversight of water use for individual water systems after the initial development permit is approved.

The City of Santa Cruz, which supplies water for 36% of the County's population, is the county water agency that is most susceptible to drought impacts, given that only about 4% of its supply comes from groundwater. Santa Cruz relies on surface water in coastal streams and the San Lorenzo River for most of its annual water supply needs. The yield of these sources in any given year is directly related to the amount of rainfall received and runoff generated during the winter season. Water stored in Loch Lomond Reservoir is used mainly in the summer and fall seasons when the flows in the coast and river sources decline and additional supply is needed to meet dry season demands.

The problem of supply reliability stems primarily from two factors: the wide range in the yield of surface water sources from year to year and limited storage capacity. In normal and wet years when rainfall and runoff are abundant, base flows in the coast and river sources are restored by winter rains, and Loch Lomond Reservoir is typically replenished to full capacity with runoff from the Newell Creek watershed.

The water system, however, is highly vulnerable to shortage in drought years when the San Lorenzo River and coast stream sources run low. In single dry years, the system relies more heavily on water stored in Loch Lomond to satisfy demand, which draws down the reservoir level lower than usual and depletes available storage. In multi-year or critical drought conditions, the combination of very low surface flows in the coast and river sources and depleted storage in Loch Lomond reservoir reduces available supply to a level which cannot support average dry season demands. Compounding the situation is the need to reserve some amount of storage in Loch Lomond in the event drought conditions continue into the following year. The highest priorities of the City during a drought are domestic uses, sanitation, and fire protection.

### 7.3.2 Profiling Drought Hazard Events

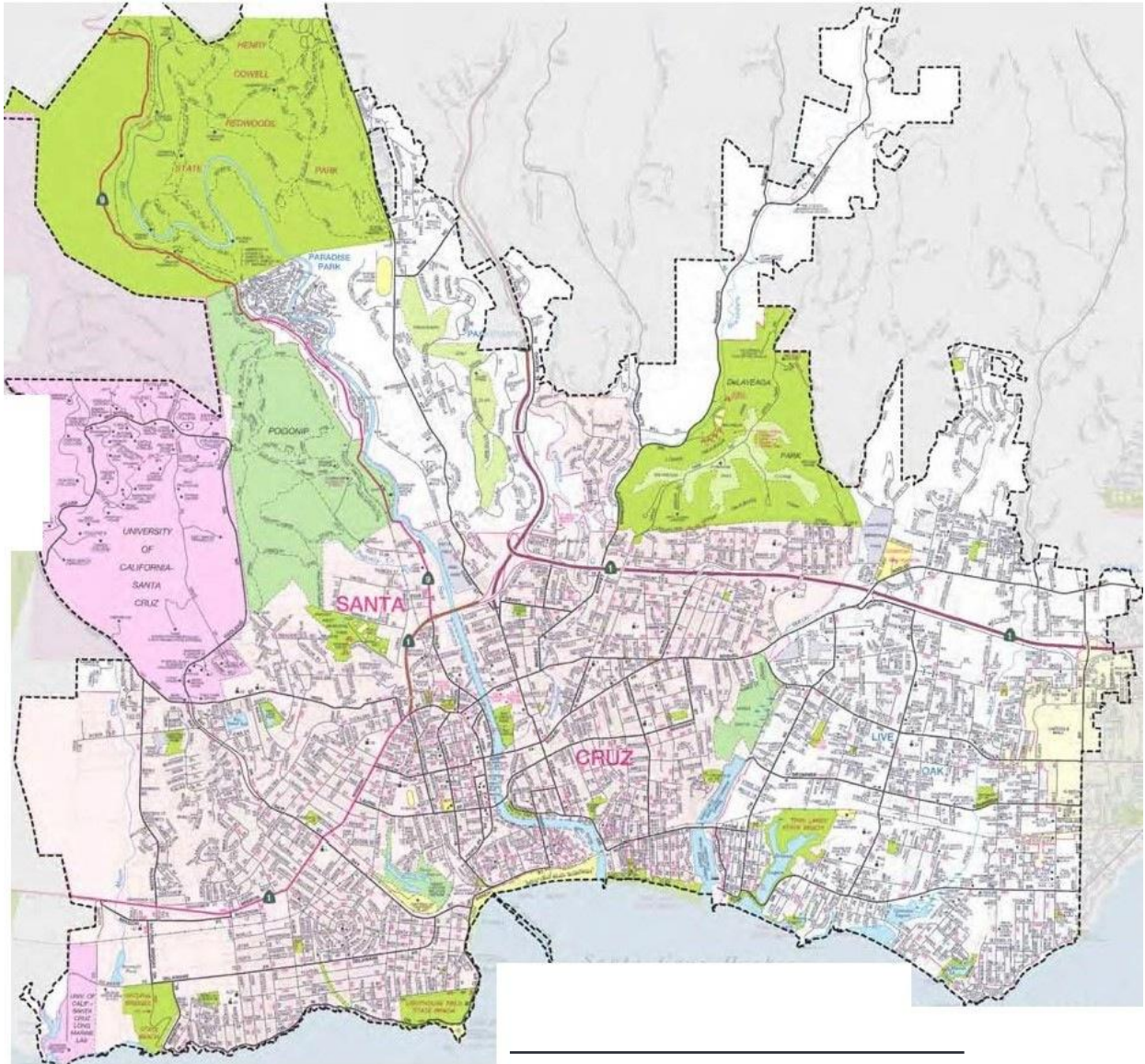
**3.2 Profiling Hazards – Requirement §201.6(c)(2)(i):** The risk assessment **shall** include a description of the location and extent of all natural hazards that can affect the jurisdiction. The plan **shall** include information on previous occurrences of hazard events and on the probability of future hazard events.

#### A. Location



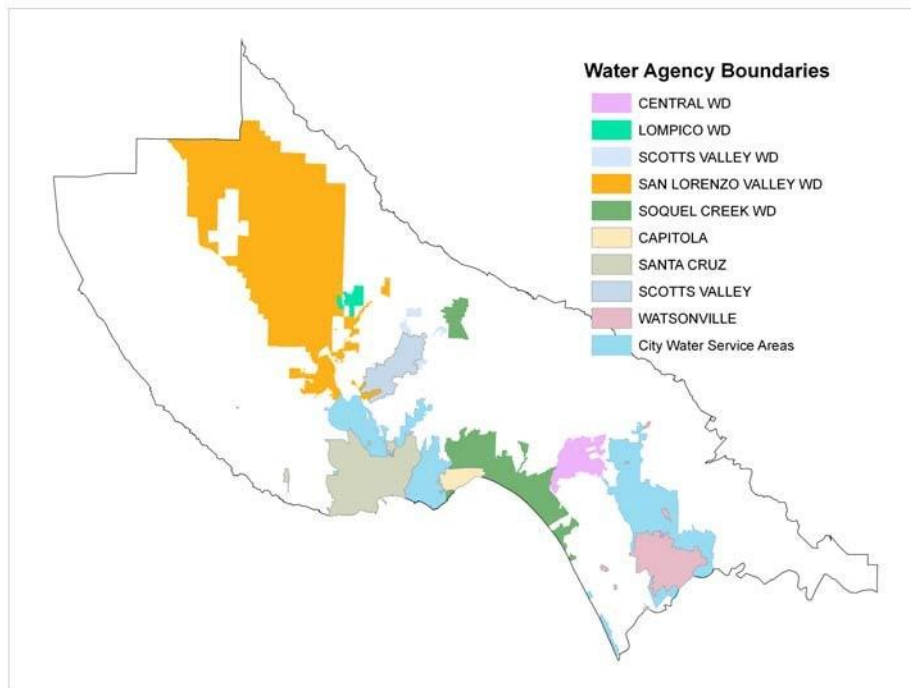
The areas of the county most susceptible to impacts of drought are those areas served primarily by surface water sources: the City of Santa Cruz and the San Lorenzo Valley. The Santa Cruz water system includes the entire City as well as outlying areas as far away as parts of Capitola and unincorporated areas of the north coast and the DeLaveaga area. A map of the water system coverage area is shown in Figure 21 and Figure 22 on the following pages.

FIGURE 21. WATER SERVICE AREA CITY OF SANTA CRUZ



City of Santa Cruz Water Service Area

**FIGURE 22. MAP OF WATER AGENCIES COVERAGE AREA IN SANTA CRUZ COUNTY**



**B. Extent: Magnitude or Severity**

There is a significant difference in severity between a single dry year and multiple dry years in Santa Cruz. As can be seen from the history of drought in Santa Cruz in **Figure 23** taken from the **City of Santa Cruz 2010 Urban Water Management Plan (UWMP)**,<sup>15</sup> there have been at least five multi-year dry periods since 1921.

**Single Dry Year:** Water supply during a single dry year is barely sufficient to meet system demand in the near term, and is not sufficient to meet projected demand from 2020 to 2030. The City may experience slight shortages of water under this hydrologic condition, which increases as demand increases over time.

**TABLE 7-3. SINGLE DRY YEAR SUPPLY AND DEMAND ASSESSMENT (MILLION GALLONS)**

		2010	2015	2020	2025	2030
Supply Totals		3,570	3,740	3,804	3,868	3,930
Demand Totals		3,522	3,684	3,847	3,946	4,046
Difference		-	-	(43)	(78)	(116)

### Multiple Dry Years:

In an extreme two-year drought similar to the 1976-77 event, the estimated water supply available to the City in the second year of that event ranges from 3,200 mgy under current conditions to between 2,640 and 2,830 mgy when environmental stream flows are included. This reduction equates to about 23 to 35 percent less water on an annual basis than is available in normal water years. Table 7-4 shows that there would be modest (<10%) annual water supply deficit under current demand conditions, which will worsen to between 28 and 30 percent in future years, mostly because less water will be available for diversion from surface sources in the future. Growth in water demand also is a contributing factor. The shortfall is expressed as the percent of supply available to meet demand during the peak season between April and October, since this is the period of the year that would be most affected by a supply shortage.

**TABLE 7-4. MULTIPLE DRY YEAR SUPPLY AND DEMAND ASSESSMENT (MILLION GALLONS)**

		<b>2010</b>	<b>2015</b>	<b>2020</b>	<b>2025</b>	<b>2030</b>
Supply Totals		3,200	2,640	2,704	2,768	2,830
Demand Totals		3,522	3,684	3,947	3,946	4,046
Difference		(322)	(1,044)	(1,143)	1,178	(1,216)
Peak Season Deficit		-12%	37%	39%	-41%	-43%

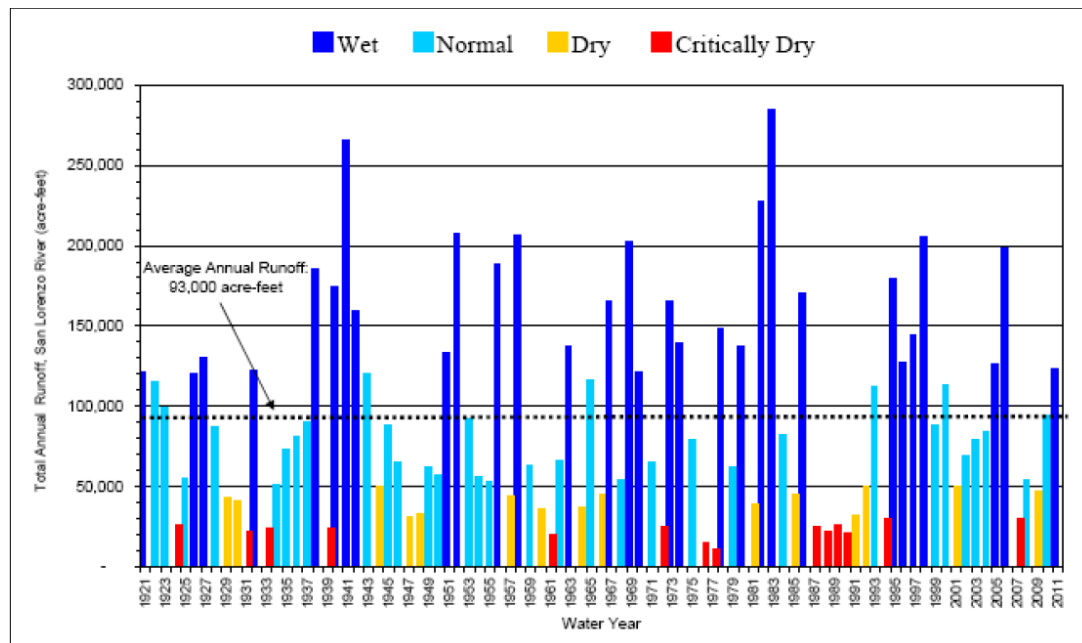
### C. Previous Occurrences

The City of Santa Cruz, which is the service area most impacted by drought, uses a water year classification system as an index of water supply conditions for operations studies, to forecast river flows, and to communicate its water supply status to the public. The system is based on total annual runoff in the San Lorenzo River. Under this classification system, the water year (October 1- September 30) is designated as one of four types: wet, normal, dry, or critically dry, depending on the total annual river discharge (**Figure 23**).

**Figure 23** also shows the total annual runoff for the San Lorenzo River over the 84-year period from 1921 to 2011 and the classification for each water year .

**FIGURE 23.** TOTAL ANNUAL STREAM DISCHARGE IN THE SAN LORENZO RIVER (ACRE FEET)

**Source:** (City of Santa Cruz 2010 Urban Water Management Plan, December 2011)



The graph illustrates the dramatic variation in discharge from year to year. Average runoff during this period is about 93,000 acre-feet or 30 billion gallons. The least amount of runoff, 9,500 acre-feet, occurred in the drought of 1977. The maximum recorded discharge was over 280,000 acre-feet in 1983, one of the wettest years on record in California.

Over this relatively brief hydrologic record, about two-thirds of all years are classified as wet or normal and the other one-third is classified as dry or critically dry. From 1995 to 2006, water conditions were mostly normal or wet. 2007 was the first year of the state's current 3-year drought. During this period, 2007 was classified as critically dry, 2009 was classified as dry, and 2008 was just slightly wetter than the dry classification. Water use restrictions were imposed during 2007 and 2009 in the city of Santa Cruz, and throughout other parts of the county during all 3 years. The graph does not show the current drought cycle, which began in 2012 through 2015. The current drought has been severe resulting in water use restrictions being imposed state wide.

#### D. Probability of Future Events

One approach to evaluating probability of future events focuses on the magnitude of the worst-case drought, because it is the degree of shortfall that determines what actions the community would have to take and the resulting hardships the public would face.

It should also take into account, though, the chance of that event occurring before a solution is achieved. The amount of time that elapses before new supply can be developed is an important consideration because it also has a bearing on the degree of risk faced by water customers; the longer the delay, the greater the risk. As with the threat of other natural hazards like a flood or an earthquake, the probability of a severe drought in any one-year may be comfortably low. For

instance, the drought on record of 1977 has a recurrence interval of 1 in 59 years. This means the probability of such an event is 1/59 or 0.017, which is the same as a 1.7% chance of occurrence in any one year. But the percent probability of occurrence, or chance, of a shortage occurring over a longer time frame is considerably higher, which changes the perception of the significance of risk. The following Table 7-5 presents the water supply reliability profile. The figures in the table reflect current supplies and unimpaired flows with no bypass (environmental flow) requirements and are expressed as a range to reflect future water demand uncertainty:

**TABLE 7-5. Updated Baseline of Water Supply Reliability**  
 (Source: City of Santa Cruz 2010 Urban Water Management Plan, December 2011)

	PROBABILITY OF:				WORST-YEAR PEAK-SEASON SHORTAGE (%)
	<5% Peak-Season Shortage	5-15% Peak-Season Shortage	15-25% Peak-Season Shortage	>25% Peak-Season Shortage	
Near-term (2010)	66 - 72 in 73 (0.90 - 0.99)	1 - 2 in 73 (0.01 - 0.02)	0 - 4 in 73 (0.00 - 0.06)	0	12% - 30%
Long-term (2030)	51 - 64 in 73 (0.70-0.88)	7 - 13 in 73 (0.09 - 0.18)	0 - 3 in 73 (0.00 - 0.04)	2 - 6 in 73 (0.03 - 0.08)	23% - 37%

Preliminary estimates are that the gap between water supply and demand in the City's water service area is 300-400 million gallons per year. A portfolio of actions is needed that will provide 300-400 million more gallons of water to get through dry years and to support fish habitat. In October of 2013, the City Council directed staff to develop a plan to engage the community in an examination of water supply issues. From that direction, the Water Supply Advisory Committee (WSAC) was born. There are 14 members on the committee who represent broad community interests including the environment, business, education and the water commission.

The committee's scope of work covers three phases.

Phase 1: Learn about our water sources and delivery system in detail, learn about our community's water supply and demand, and learn about opportunities to improve the reliability of Santa Cruz's water supply.

Phase 2: Explore possible solutions in detail.

Phase 3: Develop a list of recommendations to solve the supply and demand gap that will be taken to the Santa Cruz City Council for review and action.

The expected effects of climate change will also increase the risk of drought. Numerous climate models have been run with various predictions for the Santa Cruz County area.<sup>19</sup> Although it is unclear whether the average amount of rainfall will increase, it is apparent that the timing and intensity of rainfall will change, which will lead to more severe extended droughts. More intense rainfall will contribute to relatively diminished groundwater storage, which will reduce groundwater storage and dry season stream baseflows, which will have adverse impacts on water supply. The projected increase in temperatures will also lead to an increase in water



demand for irrigation, particularly in the inland parts of the county that are less influenced by coastal fog. The county water agencies are currently pursuing more detailed assessments, which will help to better quantify the expected impacts of climate change.

### 7.3.3 Assessing Vulnerability: Overview

**3.3 Assessing Vulnerability: Overview – Requirement §201.6(c)(2)(ii):** The risk assessment shall include a description of the jurisdiction’s vulnerability to the hazards described in paragraph (c)(2)(i) of this section. This description shall include an overall summary of each hazard and its impact on the community.

#### A. Overall Summary of Vulnerability to Drought

Vulnerability to drought varies with the different water agencies and their sources. Agencies with a greater reliance on surface water are more vulnerable than those that rely entirely on groundwater. County water agencies are considering plans now to provide more interties among jurisdictions to be able to exchange water in the event of an emergency or shortage.

The City of Santa Cruz System is the most vulnerable to a drought. The City does not presently have access to outside water sources should local supply be inadequate to meet demands during dry years. As a closed, isolated system, the City is highly vulnerable to shortage in drought years when the San Lorenzo River and coast sources run low. In single dry years, the system relies more heavily on water stored in Loch Lomond to satisfy demand, which draws down the reservoir level lower than usual and depletes available stored reserves. In multi-year or critical drought conditions, the combination of very low surface flows in the coast and river sources and depleted storage in Loch Lomond reservoir reduces available supply to a level which cannot support average dry season demands.

- During the current drought, Santa Cruz County continues to experience significant impacts:
- There was very little, if any, additional recharge of groundwater basins this past winter, with local groundwater levels continuing to decline.
  - There have been anecdotal reports of individual wells drying up, and more properties than usual contracting with water haulers for supplemental supply much earlier in the year.
  - The number of well permit applications for replacement or supplemental wells has increased by 40% from 2013.
  - Lompico County Water District has had to make use of the emergency inter-tie with San Lorenzo Valley Water District; and two other small water systems have expressed concern that they may run out of water.

The county-wide decline in groundwater levels and streamflow is indicative of the continuing need to reduce any non-essential water use throughout the county by small and large water systems, private wells, and stream diversions.

Conservation programs, curtailment programs, and plans to increase water supply are all components that will decrease the vulnerability of the community to drought.

### 7.3.4 Assessing Vulnerability: Identifying Structures

**3.4 Assessing Vulnerability: Identifying Structures – Requirement §201.6(c)(2)(ii)(A):** The plan should describe vulnerability in terms of the types and numbers of existing and future buildings, infrastructure, and critical facilities located in the identified hazard area.

## **A. Types and Numbers of Existing Buildings, Facilities and Infrastructure**

Structures and facilities are not vulnerable to drought. Physical losses would probably be limited to public and private landscaping. However, the impacts to the landscaping, which occur as the result of severe drought conditions, also increase the risk of wildfire and subsequent damage to structures as a result.

### **7.3.5 Assessing Vulnerability: Estimating Potential Losses**

#### **3.5 Assessing Vulnerability: Estimating Potential Losses – Requirement**

**§201.6(c)(2)(ii)(B):** The plan **should** describe vulnerability in terms of an estimate of the potential dollar losses to vulnerable structures identified in paragraph (c)(2)(i)(A) of this section and a description of the methodology used to prepare the estimate.

#### **A. Potential Dollar Losses to Vulnerable Structures**

While structures are not at risk, significant losses may occur as a result of severe rationing during a water shortage. One of the County's major industries is tourism. The vulnerability to drought (or more specifically water shortages as a result of drought) reaches its peak during the summer tourism season. Restaurants, hotels, amusement parks and other tourist serving businesses would all be at risk of closing or severe restrictions during a critical drought. This is critical to funding ongoing County services because of the County's reliance on the Transient Occupancy Tax (TOT). Other industries such as agriculture, food processing, contractors, landscapers, nurseries, golf courses, public landscaping and school grounds would all experience losses, and other water dependent businesses would suffer economic damages. These economic losses have not been calculated.

#### **B. Methodology Used to Prepare Estimate**

While potential economic losses have been considered, they have not been calculated; therefore, there is no loss estimate.

### **7.3.6 Assessing Vulnerability: Analyzing Development Trends**

#### **3.6 Assessing Vulnerability: Analyzing Development Trends – Requirement §201.6(c)(2)(ii)(C):**

The plan **should** describe vulnerability in terms of providing a general description of land uses and development trends within the community so that mitigation options can be considered in future land use decisions.

#### **A. Description of Land-Uses & Development Trends**

The greater Santa Cruz area is a compact urban area surrounded by mountains, greenbelt and the Pacific Ocean. The sizes of the water service areas are generally fixed by the city limits and the County's urban services line. Water service areas for all jurisdictions have generally remained constant over time due to policies limiting water main extensions to unserved areas.

The only extensions of service or agency boundaries have involved incorporating an existing developed area into a larger district, which has better capabilities for providing reliable water service. Accordingly, any growth and redevelopment that does happen going forward is expected to be concentrated within the confines of the existing service area boundaries.

Within the City of Santa Cruz, the San Lorenzo Valley, and other areas only a relatively small amount of land remains undeveloped. Because of the relative scarcity of raw buildable land, the majority of future growth in the area is likely to be achieved through redevelopment, remodeling, infill, and increased density on underutilized land, along with new construction on the little amount of vacant land remaining. In other words, the service areas are relatively fixed<sup>20</sup> and not growing outward. There has not been a residential subdivision in rural areas of the county since the adoption of Measure J, the County’s growth management plan, in the late 1970’s. Both the City of Watsonville and the City of Santa Cruz have also established urban growth boundaries.

The housing elements of the County and the cities have recently been updated to address the required regional fair share housing needs established by AMBAG. These documents set forth goals and objectives for housing production, rehabilitation, and conservation. The plans identify generally where sites are available for housing to be built and describe programs to facilitate new housing opportunities, but this does not necessarily mean such housing actually will be constructed.

The City of Santa Cruz Water system currently serves approximately 91,000 people and is anticipated to grow to approximately 100,000 by 2030<sup>21</sup>. The City has sufficient water supply available in normal years to meet its present and future needs. In single dry years, supplies are barely sufficient in the near term but slightly inadequate to meet expected demands by 2020 and beyond. In multiple dry years, available supplies fall substantially short of system demands. The one variable that represents the biggest unknown at this time is the amount of water that will be required for in-stream flow purposes.

The County has adopted growth management policies and ordinances that limit growth. According to annual Growth Management Reports, there have been no more than 490 new residential structures built in the County since 2010 broken down by year as follows:

Residential Building Permit Issued				
Year	Market Rate	Affordable	Second Units	Total
2011	35	89	17	141
2012	54	64	20	138
2013	43	4	28	75
2014	60	2	20	82
2015 (Through November 1, 2015)	26	1	27	54
Total	218	160	112	490



New development is required to incorporate water conservation measures to mitigate potential impacts from drought. These requirements implement the drought mitigation strategy described below.

#### 7.4.0 Mitigation Strategy

**4.0 Mitigation Strategy: Requirement §201.6(c)(3):** The plan shall include a mitigation strategy that provides the jurisdiction’s blueprint for reducing the potential losses identified in the risk assessment, based on existing authorities, policies, programs and resources, and its ability to expand on and improve these existing tools.

Water agencies have developed Urban Water Management Plans, Integrated Water Plans, and groundwater management plans that include elements to overcome potential drought impacts. The County and the water agencies are working together, and an updated 2014 Santa Cruz Integrated Regional Water Management Plan has been completed, which will coordinate and prioritize the actions of all the agencies to address water resource needs including development of additional sustainable supplies and improved management of existing supplies to reduce drought impacts for individual agencies.

An assessment of the combined mitigation strategies of the water agencies and the County as part of this 5-year plan update indicates the strategy is effective for reducing potential losses identified in the risk assessment. The drought risk has not changed since the previous plan was adopted. No adjustments are needed to address a change in circumstances. A number of mitigation strategies have been effectively implemented during the current drought during the five-year update period.

#### 7.4.1 Mitigation Goals

**4.1 Local Hazard Mitigation Goals – Requirement §201.6(c)(3)(i):** The hazard mitigation strategy shall include a description of mitigation goals to reduce or avoid long-term vulnerabilities to the identified hazards.

Given that the County does not have any direct authority over water supply, the County is limited in the actions it can take to mitigate drought, other than to support the efforts of various water supply entities to address drought. Goals to reduce the impacts of drought are contained in the various plans described above such as the City of Santa Cruz 2010 Urban Water Management Plan and the Integrated Water Plan (IWP).

#### Drought Goals:

**Drought –1** Reduce near-term drought shortages

**Drought –2** Provide a reliable supply that meets long-term needs while insuring protection of

public health and safety

## 7.4.2 Identification and Analysis of Mitigation Actions

**4.2 Identification and Analysis of Mitigation Actions – Requirement §201.6(c)(3)(ii):** The mitigation strategy shall include a section that identifies and analyzes a comprehensive range of specific mitigation actions and projects being considered to reduce the effects of each hazard, with particular emphasis on new and existing buildings and infrastructure.

### Drought Mitigation Actions:

- § Implement water conservation to maximize the use of existing water resources. (A-7)
- § Support the development of additional water supplies (A-8)
- § Promote more effective use of groundwater storage through increased groundwater recharge and conjunctive use among agencies. (A-9)
- § Promote drought planning by the 130 small water systems under County jurisdiction. (C-13)

## B. Actions and Projects to Reduce the Effects of Hazards on New Buildings

Drought does not present a direct hazard to buildings.

## C. Actions & Projects to Reduce Effects of Hazards on Existing Buildings

Drought does not present a direct hazard to buildings.

Proper maintenance and weed abatement including removal of dead landscape vegetation adjacent to buildings will reduce the threat of structure fire during dry years.

## 7.4.3 Implementation of Mitigation Actions

**4.3 Implementation of Mitigation Actions – Requirement §201.6©(3)(iii):** The mitigation strategy section shall include an action plan describing how the actions identified in section ©(3)(ii) will be prioritized, implemented, and administered by the local jurisdiction. Prioritization shall include a special emphasis on the extent to which benefits are maximized according to a cost benefit review of the proposed projects and their assorted costs.

## A. Discussion of Process and Criteria Used to Prioritize Mitigation Actions

Individual water supply master plans, groundwater management plans, and urban water management plans were developed with a process for technical review and public review, which resulted in a prioritization of recommendations for each water supply agency. The Santa Cruz Integrated Regional Water Management Plan compiled the recommended projects from the various plans prepared by the water supply agencies. Projects were prioritized based on the ability to meet multiple Plan objectives.

## B. Implementation and Administration of Mitigation Actions

Implementation of agency plans is in progress. Proposition 50 funds were secured to implement 15 priority projects in the IRWMP:

- § Abandoned Well Destruction
- § Conjunctive Use Plan for Lower San Lorenzo Watershed
- § Aptos Drainage Master Plan
- § Storm water Pollution Prevention
- § Groundwater Recharge Projects
- § New Brighton Sewer Relocation
- § Desalination Project Intake Evaluation
- § Polo Grounds Well and Treatment Plant
- § Polo Grounds Monitoring Well
- § Davenport Drinking Water Treatment Upgrade
- § Watsonville Slough Wetland Restoration
- § Integrated Watershed Restoration Program
- § Scotts Valley Recycled Water Main Extensions
- § Coordinated Monitoring
- § Update of the Santa Cruz Integrated Regional Water Management

## **2015 Progress Report**

The Board of Supervisors has adopted a number of water conservation measures, including water efficient landscaping, prohibition on inefficient use of water, an update of the requirement for the retrofit of water efficient toilets and showerheads upon property transfer, and measures to encourage drought planning among small water systems will be considered. The County participates in regional collaborations and partnerships such as the Integrated Regional Water Management Plan and the Water Conservation Coalition of Santa Cruz County.

Although the County is not a water purveyor, there are a number of actions the County has been taking to address water conservation as detailed in the Drought Status Report to the Board of Supervisors June 9, 2015.

Water Conservation. Both the state water law and the County's General Plan call for a strong emphasis on water conservation and elimination of water waste to stretch existing sources, minimize the need for new water sources, and protect the environment. Most of the water agencies have strong conservation programs, which are supported by the County. Long term water conservation measures have been implemented by major water agencies, resulting in declining total demand. During the recent drought, agencies reduced water use from 2013 to 2015 by 25%. The County has implemented additional prohibitions on wasteful water use practices (Chapter 7.69) and has implemented the Water Efficient Landscape Ordinance (Chapter 13.13). Water efficiency measures for large users are required as a condition of obtaining a well permit (Chapter 7.70). Small water systems will be required to install meters and report water use (Chapter 7.71). County staff continue to provide education and outreach on water conservation and respond to complaints of excessive water use. (A-7)

Additional Water Supply. The City of Santa Cruz and Soquel Creek Water District were pursuing the construction of a desalination plant, but are now investigating other options both separately and in collaboration depending on the option. County staff completed Phase 1 and Phase 2 of a conjunctive use feasibility study. City of Santa Cruz, San Lorenzo Valley Water District, Scotts Valley Water District and Soquel Creek Water District are all actively evaluating conjunctive use

options and negotiations are underway for transfer of surface water to reduce groundwater use. The water districts are also engaged in feasibility studies to look at recharge with treated wastewater. Three projects were implemented to recharge stormwater from existing paved areas and four more are underway. (A-8)

Groundwater Storage, Recharge and Conjunctive Use. County staff are supporting the efforts of the water agencies to evaluate more possibilities for water exchanges and conjunctive use options which would have the potential to utilize more surface water during wet periods, increase use of recycled water, increase groundwater storage, increase stream baseflow, and potentially make more groundwater available to surface water users during drought periods. County staff is also pursuing various methods to increase groundwater recharge through projects and policies to restore and maintain storm water infiltration. County staff completed Phase 1 and Phase 2 of a conjunctive use feasibility study. City of Santa Cruz, San Lorenzo Valley Water District, Scotts Valley Water District and Soquel Creek Water District are all actively evaluating conjunctive use options and negotiations are underway for transfer of surface water to reduce groundwater use. The water districts are also engaged in feasibility studies to look at recharge with treated wastewater. Three projects were implemented to recharge stormwater from existing paved areas and four more are underway. The Resource Conservation District also implemented a project to capture and recharge groundwater from an agricultural area of South County. Stormwater regulations have been amended to require maintaining infiltration rates at pre-development levels for new development and redevelopment. (A-9)

Drought Planning for Small Water Systems. The County has adopted an ordinance to amend Chapter 7.71, Water Systems, to require water use measurement and reporting by the small water systems (5-199 connections) that the County oversees. Under current drought conditions it is appropriate to require individual meters on connections within the water systems so that the system operators and individual users can better measure the effectiveness of the water conservation efforts. During the current drought the County has been in touch with small water systems to provide water saving suggestions and technical assistance as needed. The state has offered funding assistance for emergency assistance.

The County has dedicated significant staff resources and leadership to water conservation and supply issues. By using various planning mechanisms to implement mitigation actions the County has demonstrated progress in reducing the risk and consequences of drought. Further explanation of how the previous mitigation plan has been implemented over the last 5 years is included in Appendix L. The worksheets in Appendix L also describe how the current mitigation strategy, including the goals and hazard mitigation actions, will be implemented over the next 5 years. The projects described in Mitigation Actions A-7, A-8, A-9, and C-13 are still relevant and will continue to be implemented over the next five years.

### **Emphasis on the Use of Cost–Benefit Review**

The County did not use a formal cost benefit analysis. Costs were carefully considered when determining goals and objectives but there was not an emphasis on cost benefit review to maximize benefits.

## CHAPTER 8 — TSUNAMI

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### 8.3.0 Tsunami Risk Assessment

#### 8.3.1 Identifying Tsunami Hazards

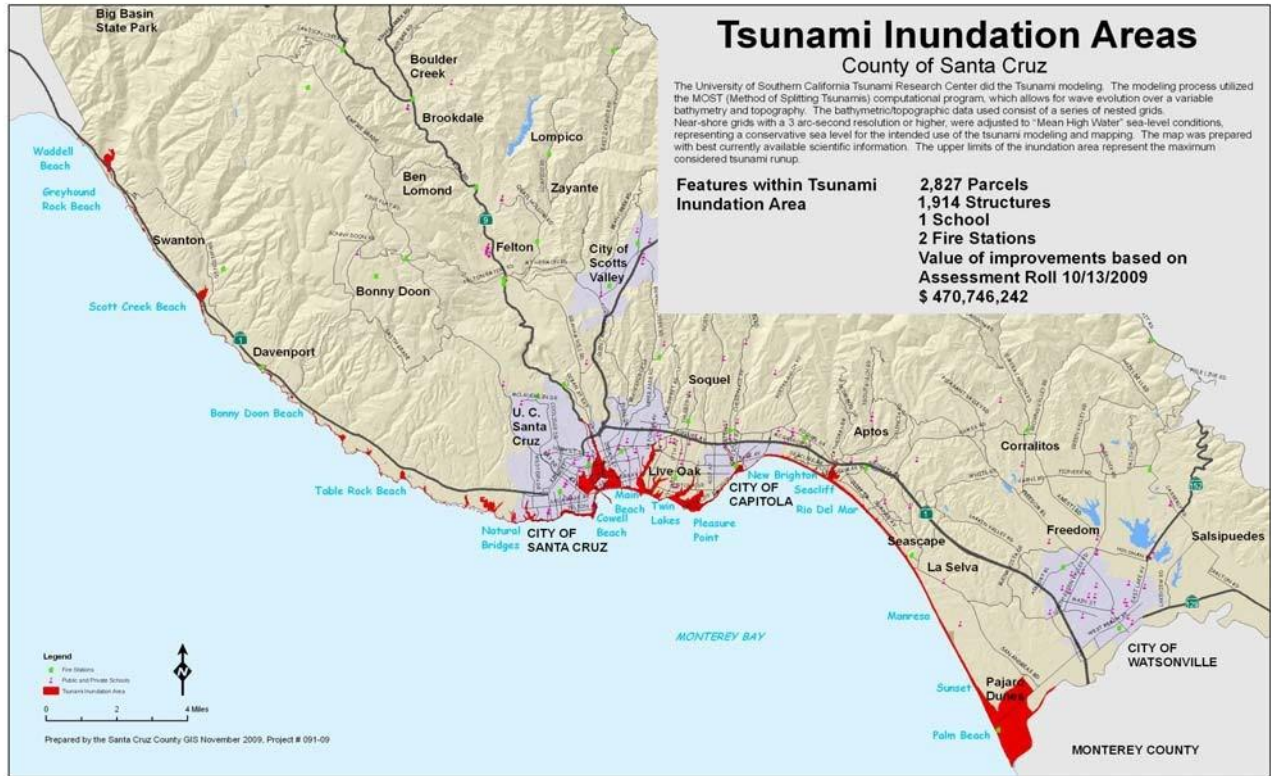
**3.1 Identifying Hazards – Requirement § 201.6(c)(2)(i):** The risk assessment **shall** include a description of the type of all natural hazards that can affect the jurisdiction.

A tsunami is a series of waves generated by an impulsive disturbance in a large body of water such as an ocean or large lake. Tsunamis are produced when movement occurs on faults in the ocean floor, usually during very large earthquakes. Sudden vertical movement of the ocean or lake floor by a fault, landslide or similar movement displaces the overlying water, creating a wave that travels outward from the source. The waves can travel across oceans and maintain enough energy to damage distant shorelines. The hazard posed by tsunamis came to the attention of the world during the 2004 Indian Ocean tsunami that killed as many as 300,000 people who lived more than a thousand miles from the source of the earthquake. An earthquake anywhere in the Pacific Ocean can cause tsunamis around the entire Pacific basin, including offshore of Santa Cruz County. Since the Pacific Rim is highly seismically active, tsunamis are not uncommon, but historically have been only a few meters in height. Significant damage occurred in the Santa Cruz Harbor as a result of a 9.0 earthquake in Japan. While the tsunami caused massive damage and casualties in Japan, the Santa Cruz Harbor suffered approximately \$20 million in damage. However, the historic record is short, and may not reflect the true tsunami hazard to the County. The potential outcome of a tsunami could be more significant damage and loss of life.

#### 8.3.2 Profiling Tsunami Hazard Events

**3.2 Profiling Hazards – Requirement §201.6(c)(2)(i):** The risk assessment **shall** include a description of the location and extent of all natural hazards that can affect the jurisdiction. The plan shall include information on previous occurrences of hazard events and on the probability of future hazard events.

**FIGURE 24. TSUNAMI INUNDATION MAP**



**A. Location**

The County of Santa Cruz is located on Monterey Bay. Several active and potentially active earthquake faults are located within or near the County of Santa Cruz. An earthquake occurring in or near any of the nearby faults could result in local source tsunamis from submarine landsliding in Monterey Bay. Additionally, distinct source tsunamis from the Cascadia Subduction Zone to the north, or Teletsunamis from elsewhere in the Pacific Ocean are also capable of causing significant destruction.

**B. Extent: Magnitude or Severity**

A local source tsunami generated by an earthquake on any of the faults affecting the County of Santa Cruz could arrive just minutes after the initial shock. The lack of warning time from such a nearby event would result in higher casualties than if it were a distant tsunami where the Tsunami Warning System for the Pacific Ocean could warn threatened coastal areas in time for evacuation (2011 Santa Cruz Harbor tsunami, for example). Past experience has not resulted in extensive damage from nearby tsunamis, but proximity to faults does create the possibility as a result of future quakes.

**C. Previous Occurrences**

Tsunamis have affected the County of Santa Cruz several times in recorded history. The first recorded tsunami was a teletsunami that initiated from an earthquake near Japan on June 15, 1896. In Japan, the death toll was approximately 20,000 people, but in Santa Cruz the tsunami was only a meter and a half high and there is little record of damage. A more significant tsunami occurred on April 1, 1946 when a magnitude 7.8 earthquake in the Aleutian Islands produced a 115-foot wave, which destroyed the Scotch Cap lighthouse killing five Coast Guardsmen. It was 56 feet high in Hawaii killing 173 people. The wave was observed all along the west coast. In Santa Cruz County, a man drowned and minor damage was done by 10-foot waves. It should be noted that scientific observations place the 1946 Tsunami run up at 1.5 meters. Santa Cruz County was hit by a similar sized tsunami generated by the Good Friday Earthquake of March 27, 1964. Reports vary indicating heights between 1.5 meters and 3.3 meters. After the Loma Prieta Earthquake, a small tsunami, or seiche, was observed at the Santa Cruz Harbor. The most recent tsunami occurred as a result of the magnitude 9.0 earthquake in Japan on March 11, 2011. In Japan nearly 16,000 deaths occurred as a result of the earthquake and tsunami, which generated a wave of water up to 113 feet in height travelling inland up to six miles. This tsunami hit the Santa Cruz Harbor with waves estimated to be several feet combined with swift and chaotic currents causing approximately \$20 million in damage.

California is at risk from both local and distant source tsunamis. Eighty-two possible or confirmed tsunamis have been observed or recorded in California during historic times. Most of these events were small and only detected by tide gages. Eleven were large enough to cause damage and four events resulted in deaths.

## **D. Probability of Future Events**

### **Tsunami Hazard**

Anticipating the extent of future tsunami hazard is difficult because the historic record is limited, as is our understanding of the source mechanisms and influence of offshore geometry on the impact of tsunami in Santa Cruz County.

Studies have recently been undertaken by Richard K. Eisner, Jose C. Borrero and Costas E. Synolakis through the Governor's Office of Emergency Services and the Department of Civil Engineering at the University of Southern California, Los Angeles. In Inundation Maps for the State of California, the authors clarify that the results are based on worst-case scenario events and the maps are only to be used for emergency preparedness and evacuation planning. Pre-1994 inundation computations underestimated inundation height. Newer inundation models are now capable of modeling extreme events more accurately. These new inundation models (known as MOST) permit quantitative evaluation of inundation from nearfield tsunamis, provided accurate regional tectonic models and high-resolution bathymetry exist. Even using state of the art inundation prediction tools, California presents unique challenges in assessing tsunami hazards because:

§ There is an extremely limited historic record of tsunamis in the state. In California there are no known records before the 19th century. Some paleo-seismic investigations have revealed evidence of pre-historic tsunamis, but not in the County of Santa Cruz.

§ Most of the geologic work in the state has concentrated on identifying the risks associated

with onshore faults and there is scant available information on offshore faults or landslide and slump scars suggestive of past submarine mass failures.

§ Earlier estimates of tsunami hazards relied almost entirely on farfield sources and used pre-1980's technology, creating the impression among planners and the public that the tsunami hazard was small.

§ Nearshore seismic events may trigger tsunamis arriving within less than 20 minutes from peroration, allowing little time for evacuation.

§ Shorelines and shoreline platforms vary significantly throughout the state, which modify tsunami run up and the corresponding potential damage.

### 8.3.3 Assessing Tsunami Vulnerability: Overview

**3.3 Assessing Vulnerability: Overview – Requirement §201.6(c)(2)(ii):** The risk assessment **shall** include a description of the jurisdiction's vulnerability to the hazards described in paragraph (c)(2)(i) of this section. This description shall include an overall summary of each hazard and its impact on the community.

#### A. Overall Summary of Vulnerability to Tsunami

There are two primary types of tsunami vulnerability in Santa Cruz County. The first is a teletsunami or distant source tsunami from elsewhere in the Pacific Ocean. This type of tsunami is capable of causing significant destruction in Santa Cruz County. However, this type of tsunami would usually allow time for the Tsunami Warning System for the Pacific Ocean to warn threatened coastal areas in time for evacuation.

The more vulnerable risk to the County of Santa Cruz is a tsunami generated as the result of an earthquake along one of the many earthquake faults in the region. Even a moderate earthquake could cause a local source tsunami from submarine landsliding in Monterey Bay. A local source tsunami generated by an earthquake on any of the faults affecting Santa Cruz County would arrive just minutes after the initial shock. The lack of warning time from such a nearby event would result in higher casualties than if it were a distant tsunami.

### 8.3.4 Assessing Vulnerability: Identifying Structures

**3.4 Assessing Vulnerability: Identifying Structures – Requirement §201.6(c)(2)(ii)(A):** The plan **should** describe vulnerability in terms of the types and numbers of existing and future buildings, infrastructure, and critical facilities located in the identified hazard area.

#### Types and Numbers of Existing Buildings, Facilities and Infrastructure

Among every type of land use within the county, approximately 2800 parcels lie within the tsunami inundation zone. The number of structures on these parcels is 1914. Expected loss in value would be \$470,746,242.

### 8.3.5 Assessing Vulnerability: Estimating Potential Losses




**3.5 Assessing Vulnerability: Estimating Potential Losses – Requirement**

**§201.6(c)(2)(ii)(B):** The plan **should** describe vulnerability in terms of an estimate of the potential dollar losses to vulnerable structures identified in paragraph (c)(2)(i)(A) of this section and a description of the methodology used to prepare the estimate.

**A. Potential dollar Losses to Vulnerable Structures**

**TABLE 8-1. TSUNAMI POTENTIAL LOSS INVENTORY**

<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: left;"> <p><b>Tsunami</b> Potential Loss Inventory Santa Cruz County Unincorporated Areas</p> </div>  </div>						
Land Use	Number of Parcels		Number of Structures		Loss in Value \$	
Type	Total	Hazard	Total	Hazard	Total	Hazard
Agricultural	1,601	41	2,938	32	\$226,819,374	\$11,948,671
Commercial	1,398	83	2,359	22	\$475,412,013	\$11,901,244
Government	1,676	146	1,317	35	\$249,122	\$0
Industrial	215	5	473	1	\$104,713,461	\$742,779
Institutional	174	4	397	0	\$185,873,022	\$1,677,485
Miscellaneous	12,478	281	2,381	50	\$16,039,420	\$602,904
Residential	43,024	2,255	52,604	1,773	\$7,721,059,332	\$443,557,992
Utilities	515	12	195	1	\$1,357,002	\$315,167
<b>Total</b>	<b>61,081</b>	<b>2,827</b>	<b>62,664</b>	<b>1,914</b>	<b>\$8,731,522,746</b>	<b>\$470,746,242</b>
<b>Population</b>	<b>Total Unincorporated</b>		<b>Hazard Area</b>			
	133,891		4,160			

Improvement value based on Assessment Roll 10/13/2009

Total number of parcels, structures and values within the unincorporated areas of the County

Hazard Number of parcels, structures and value of improvements within the defined unincorporated hazard areas

Loss is based on assessment improvement values

**B. Methodology Used to Prepare Estimate**

**Parcel Valuation**

Valuation of parcels within a hazard area is based on improvement values only as collected by appraisers with the County of Santa Cruz assessor’s office. They don’t reflect sale value or replacement value. If a parcel intersected a hazard the entire improvement value of that parcel was used.

## Population

Census population blocks were reduced to center points. If a hazard intersected a center point, that population was counted.

## Tsunami Flood Analysis

Tsunamis create many risks similar to riverine and coastal flooding and the Tsunami and Flood inundation areas are similar. However, tsunamis also produce a run up that can be much more extensive than the run up that occurs with typical coastal flooding. In determining the extent of tsunami damage an estimate must be made of the extent of the flooding. Current mapping of tsunami flooding and damage is not meant to be measured against parcel level information and therefore is a rough estimate of damage and loss in a worst-case scenario.

### 8.3.6 Assessing Vulnerability: Analyzing Development Trends

**3.6 Assessing Vulnerability: Analyzing Development Trends – Requirement §201.6(c)(2)(ii)(C):** The plan **should** describe vulnerability in terms of providing a general description of land uses and development trends within the community so that mitigation options can be considered in future land use decisions

#### A. Description of Land-Uses and Development Trends

As was described previously, the County of Santa Cruz has compact urban areas as well as large expanses of agricultural and forested land. Most development is now infill or reuse development<sup>22</sup>, although development of existing rural parcels continues. As discussed under Flood Hazards, new development is not allowed within the 100-year floodway, and must meet flood hazard regulations within the remainder of the floodplain. Reconstruction of existing structures within these areas must meet the flood elevation requirements for habitable space dictated by the FEMA guidelines and regulations. Although FEMA flooding regulations may indirectly protect against some tsunamis, these standards are inadequate as tsunamis have a different direction of force and energy, and can inundate areas that are not affected by riverine or coastal flooding.

No changes in these development regulation or patterns occurred that would affect the County's overall vulnerability since the previous plan was adopted in 2010. Although the County does not track the number of residential and commercial structures that have been built in tsunami hazard areas since the last LHMP was adopted in 2010, the number includes the number of new structures built in the flood hazard area in the unincorporated portion of the County. Since the last LHMP in 2010, there has been twelve new residential structures and one commercial structure built on existing lots of record in flood hazard areas in the County. As stated above, growth management policies prevent new development from occurring where hazards are present. Development on existing lots of record is required to avoid hazards and incorporate appropriate setbacks, structural elevation, floodproofing, and other requirements to mitigate potential impacts from flood hazards. The Environmental Planning Section of the Planning Department, staffed by Resource Planners, one of which is the designated floodplain manager, specialize in reviewing each application for new residential and commercial structures to ensure that new development does not occur in hazard zones and that development on existing lots of record avoid, minimize and mitigate potential impacts from identified flood hazards.

## 8.4.0 Mitigation Strategy

**4.0 Mitigation Strategy – Requirements §201.6(c)(3):** The plan **shall** include a mitigation strategy that provides the jurisdiction’s blueprint for reducing the potential losses identified in the risk assessment, based on existing authorities, policies, programs and resources, and its ability to expand on and improve these existing tools.

The County’s current tsunami mitigation strategy is based upon notification and evacuation (see Appendix I). The strategy also includes continuation of an up-to-date Emergency Management Plan, an effective public information program and continuing collaborative efforts with the cities, agencies and community organizations to facilitate collaborative efforts in providing up-to-date tsunami mapping, preparation, information, warning dissemination and education.

Mapping of tsunami inundation areas in Santa Cruz County, including the map used in this plan, is inadequate. This map should be viewed as an estimate of a worst-case scenario for planning purposes only. More accurate mapping of potential tsunami outcomes based on simulations of specific geologic events has been identified as an important component in preparing updates to this Hazard Mitigation Plan.

An assessment of this mitigation strategy as part of this 5-year plan update indicates this strategy would be an effective method for reducing potential losses identified in the risk assessment. The earthquake risk has not changed since the previous plan was adopted. No adjustments are needed to address a change in circumstances. The County will seek to update the tsunami mapping during the next five year update.

### 8.4.1 Mitigation Goals

**4.1 Local Hazard Mitigation Goals – Requirements §201.6(c)(3)(i):** The hazard mitigation strategy **shall** include a description of mitigation goals to reduce or avoid long-term vulnerabilities to the identified hazards.

#### Tsunami Goals

**Tsunami 1** Avoid or reduce the potential for life loss, injury, property and economic damage to Santa Cruz County from tsunami events.

**Tsunami 2** Continue to enhance emergency management systems including a defined public information process that includes an early warning system for evacuation prior to a tsunami event.

**Tsunami 3** Pursue unification of the County of Santa Cruz evacuation plan with those of the cities of Watsonville, Capitola, and Santa Cruz.

## 8.4.2 Identification and Analysis of Mitigation Actions

**4.2 Identification and Analysis of Mitigation Actions – Requirement §201.6(c)(3)(ii):** The mitigation strategy **shall** include a section that identifies and analyzes a comprehensive range of specific mitigation actions and projects being considered to reduce the effects of each hazard, with particular emphasis on new and existing buildings and infrastructure.

### Tsunami Mitigation Actions

§ Coordinate a communication system with other agencies and cities, including evacuation operations for homes and businesses within specific areas. (A-10)

§ Management of the early warning system including a defined public information process including establishing a reverse 911 system that will notify all homes and businesses within the tsunami inundation areas, and a public address protocol to have local and regional radio, TV and cable outlets announce evacuation notifications to the community. (B-8)

§ Update tsunami maps (B-9)

§ Encourage investigation of the tsunami threat to the County of Santa Cruz, and update development regulations based upon this investigation. (C-14)

### 2015 Progress Report

The integration of the plan into existing planning mechanisms and the implementation of mitigation actions demonstrate progress in risk reduction. An explanation of how the mitigation plan for tsunami hazards has been implemented over the last 5 years is included in Appendix L and described below for each Mitigation Action related to tsunami hazard reduction.

- Continue to meet quarterly with Long Range Radio Infrastructure Management Planning Group to strategically consider interoperability and coordination of communications systems development and change out. Continue to seek grant funding opportunities for emerging technologies and enhancements. The narrow banding communications project has been completed for all county emergency services partners. (A-10)
- Continue to use Code Red EWS. Also added IPAWS. In addition, Public Works installed tsunami warning signs along the coastal areas in cooperation with Emergency Services. (B-8)
- The County uses the latest tsunami inundation maps referenced in this plan. The existing maps will be updated when new information becomes available. (B-9)
- The County will pursue update of the tsunami inundation maps as better scientific information becomes available. (C-14)

By using these communication and warning systems combined with current maps the County has demonstrated progress in reducing the risk from tsunami hazards. Further explanation of how the previous mitigation plan has been implemented over the last 5 years is included in Appendix L. The worksheets in Appendix L also describe how the current mitigation strategy, including the goals and hazard mitigation actions, will be implemented over the next 5 years. The projects described in Mitigation Actions A-10, B-8, B-9, and C-14 are still relevant and will continue to be implemented over the next five years.

## CHAPTER 9 — COASTAL EROSION

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### 9.3.0 Coastal Erosion Risk Assessment

#### 9.3.1 Identifying Coastal Erosion Hazards

**3.1 Identifying Hazards – Requirement §201.6(c)(2)(i):** The risk assessment **shall** include a description of the type of all natural hazards that can affect the jurisdiction.

Coastal erosion is the wearing away of coastal land. It is commonly used to describe the horizontal retreat of the shoreline along the ocean. Erosion can be measured as a rate, with respect to either a linear retreat (feet of shoreline recession per year) or volumetric loss (cubic yards of eroded sediment per linear foot of shoreline frontage per year).<sup>23</sup>

Erosion rates are not uniform, and vary over time at any single location. Annual variations are the result of seasonal changes in wave action and water levels. Erosion is caused by coastal storms and flood events, changes in the geometry of tidal inlets and bays and man-made structures and human activities such as shore protection structures and dredging.

Coastal erosion includes both cliff or bluff erosion and beach erosion, and is a result of both winter wave attack as well as constant wave action. Local residents will notice that beaches change seasonally in response to changes in wave conditions. Winter storm waves are larger, steeper and contain more energy, and typically move significant amounts of sand from the beaches to offshore bars, creating steep, narrow beaches. In the summer, lower, less energetic waves return the sand, widening beaches and creating gentle slopes. During the winter months when beaches are narrow, or absent altogether, the storm waves attack the cliffs and bluffs more frequently. There are many factors involved in coastal erosion, including human activity, sea-level rise, seasonal fluctuations and climate change, and sand movement will not be consistent year after year in the same location.

Wind, waves, and the long-shore currents are some of the driving forces behind coastal erosion. The removal and deposition of sand creates long-term changes to beach shape and structure. Sand may be transported to landside dunes, deep ocean trenches, other beaches and deep ocean bottoms.

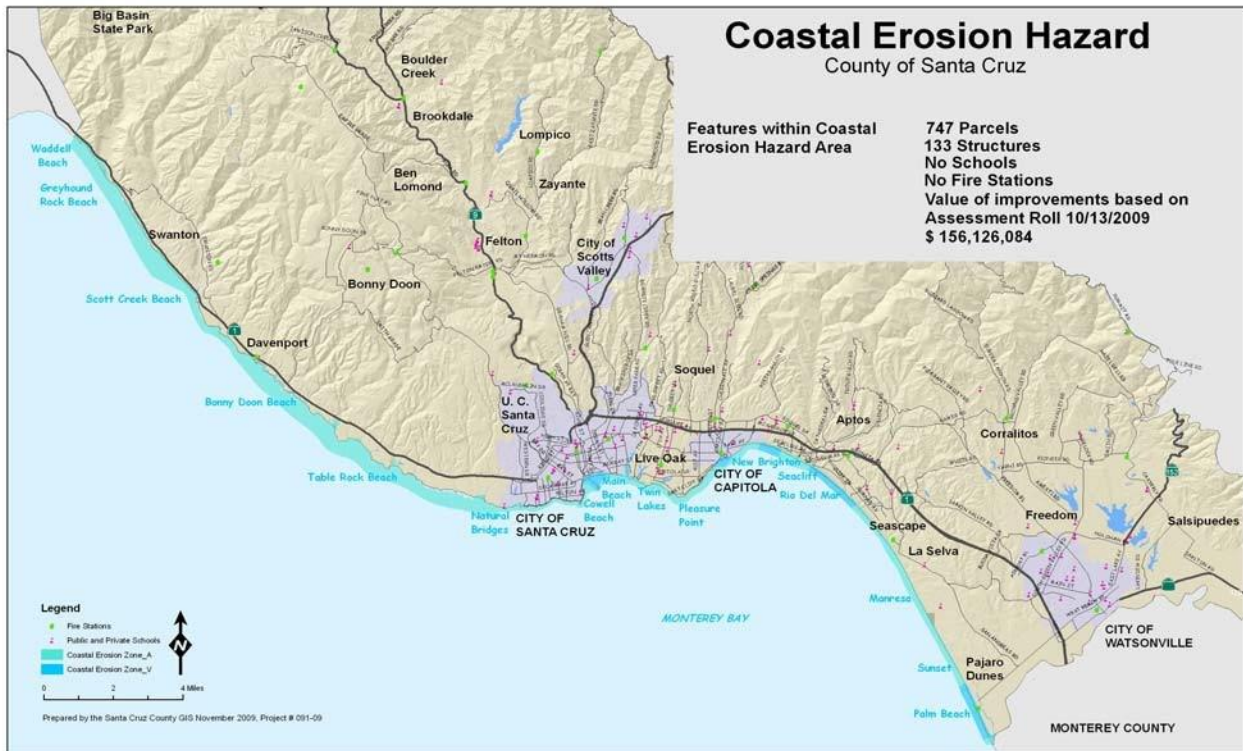
Coastal erosion such as cliff and bluff erosion is also a result of processes related to the land such as rainfall & runoff, weathering and earthquakes.

#### 9.3.2 Profiling Coastal Erosion Hazard Events

**3.2 Profiling Hazards – Requirement §201.6(c)(2)(i):** The risk assessment **shall** include a description of the location and extent of all natural hazards that can affect the jurisdiction. The plan shall include information on previous occurrences of hazard events and on the probability of future hazard events.

## A. Location:

FIGURE 25. COASTAL EROSION WITHIN COUNTY OF SANTA CRUZ



The County of Santa Cruz is bounded on one side by the Pacific Ocean. The entire coastal edge of the county is affected by coastal erosion.

On the north coast, where there are few structures near the coastline, the risk to structures and infrastructure is less than the coastline in the middle and southern portions of the County where homes and some businesses, as well as roads and related infrastructure are located very close to the shoreline.

## B. Extent: Magnitude or Severity

Most of the significant cliff, bluff and dune erosion occurs in the area of the Pajaro from Live Oak to the southern County line during major winter storms at times of very high tides. The north coast area of the County also experiences coastal erosion, however, to a lesser degree. All of the cliffs along the ocean experience some degree of coastal erosion.

The north coast area of the County (from the City of Santa Cruz to the Santa Cruz/San Mateo County line) is underlain by the geologically older Santa Cruz Mudstone formation, which is less susceptible to coastal erosion than areas in the County to the south.

The bluffs in the Live Oak area and eastward to Rio Del Mar are underlain by the younger Purisima formation capped by terrace deposits which have been estimated to be retreating at a rate of six inches to one to two feet per year.

Eolian deposits that are also sensitive to coastal erosion underlie the areas south of Rio Del Mar.

### **C. Previous Occurrences**

Approximately 85 percent of the California coast is actively eroding due to complex oceanographic and geologic conditions, and to human activities that affect the delivery and movement of sand along the coast.<sup>24</sup>

Bluff failure takes place through processes related to the sea (mainly those that affect wave action) and to the land (rainfall and runoff, weathering, earthquakes), although the terrestrial processes are less often appreciated than the marine processes. Wave attack during periods of high tides or otherwise elevated sea level (e.g. El Niño or storm surge) is one of the most common mechanisms of episodic cliff failure. El Niño increases storm frequency, elevated sea levels, wave height and rainfall. Studies have been performed on El Niño, storm frequency, and coastal erosion history for the central California coast from 1910-1995 (Storlazzi and Griggs). This research indicated that the majority of documented coastal erosion occurred during El Niño storms that originated from the southwest.

During the severe El Niño winters of 1983 and 1997-98, sea levels were further elevated and storm damage along the coastal area was extensive. Wave attack combined with a global rise in sea level over the past 18,000 years has led to the continued migration of the shoreline. At the end of the last Ice Age, about 18,000 years ago, the coastline at Santa Cruz was about 10 miles offshore. As the ice sheets and glaciers melted, sea level gradually rose and continues to rise today.

Over the past several decades it has been discovered that coastal wave climate and storm frequency are related to larger scale climatic oscillations that affect the entire Pacific Ocean. During the time period from about 1945 to 1978, the California coast was characterized by a fairly calm climate, few large storms, less rainfall and less coastal erosion and storm damage. Beginning in 1978 and continuing until 1998, California experienced a period of more frequent and severe El Niño events with associated elevated sea levels, large waves, heavier rainfall and more extensive coastal storm damage and cliff and beach erosion.

### **D. Probability of Future Events**

While the sea level rose a little less than a foot over the past century, most scientists are concerned that due to the increase in greenhouse gases from human activity, warming will accelerate. As a result, glaciers will continue to retreat and the rate of sea level rise will increase, with the best estimate being about three feet higher by 2100. Given this estimate, the probability of future coastal erosion is very high.

### 9.3.3 Assessing Coastal Erosion Vulnerability: Overview

**3.3 Assessing Vulnerability: Overview – Requirement §201.6(c)(2)(ii):** The risk assessment shall include a description of the jurisdiction’s vulnerability to the hazards described in paragraph (c)(2)(i) of this section. This description shall include an overall summary of each hazard and its impact on the community.

#### A. Overall Summary of Vulnerability to Coastal Erosion

Much of the Santa Cruz County coastline, particularly in the developed areas, has some level of armoring (walls, riprap, etc.). The majority of the protection structures have been installed within the last 40 years, and they have varying levels of adequacy and performance. While these protection structures help protect buildings and infrastructure during storms, they are still vulnerable to failure during larger storm events and may not provide full protection. Riprap structures along the coastline are particularly vulnerable to failure and require more maintenance and upgrading over time than the concrete seawalls.

While the entire Santa Cruz coast is subject to coastal erosion, the primary locations vulnerable to coastal erosion are the areas from the Santa Cruz Harbor eastward toward Pleasure Point, the area from Pleasure Point to Opal Cliffs, and the area south of New Brighton Beach to the southern Santa Cruz County line.

The area from the Santa Cruz Harbor to Pleasure Point contains numerous homes on the coastal bluff as well as roads and other infrastructure, particularly near the coastal lagoons, that are vulnerable to coastal erosion. There are also several sea caves that may affect the integrity of homes and infrastructure in this area as well. The primary type of coastal armoring in this area is riprap. It is not uncommon for East Cliff Drive to be closed or damaged where it crosses Schwann Lake, Corcoran Lagoon and Moran Lake during large winter storms. Many of the homes that exist along the coast in this area, although somewhat protected, may be subject to further coastal erosion as sea levels rise, earthquakes occur, and waves and rainfall impact the coast.

The area from Pleasure Point to Opal Cliffs also contains numerous homes on the coastal bluff as well as roads and other infrastructure that are vulnerable to coastal erosion. The coastal armoring in this area is a mix of riprap, concrete seawalls and a combination of both. A seawall has been constructed in the Pleasure Point area along East Cliff Drive that should greatly reduce potential damage from coastal erosion to East Cliff Drive as well as the homes on the other side of the road. Many of the homes that exist along the coast in this area, although somewhat protected, may be subject to further coastal erosion as sea levels rise, earthquakes occur, and waves and rainfall impact the coast.

The area south of New Brighton Beach to the southern Santa Cruz County line contains numerous homes on the bluffs, at the base of the bluffs and on the beach. There is also infrastructure and several County roads on the beach and bluffs that may be affected by coastal erosion. Many of the homes along and above both Las Olas Drive and Beach Drive will experience the continuing effects of coastal erosion. There are also several other communities (including Seascape, La Selva Beach, Sunset Beach and Pajaro Dunes) that are vulnerable to coastal erosion. Many of the homes that exist along the coast in this area, although somewhat protected, may be subject to further coastal erosion as sea levels rise, earthquakes occur, and waves and rainfall impact the coast.



Along the north coast of the County of Santa Cruz, regulations have limited development and structures have been constructed in very limited locations.

Although seawalls reduce or delay coastal erosion processes as long as they remain functioning, ultimately coastal erosion continues and the best seawalls need maintenance. While seawalls remain in place, they modify coastal erosion through the reduction of waveerosion energy, or reflection or refraction of wave energy. Focused erosion can occur at the ends of the seawalls. While seawalls are helpful in protecting against coastal erosion, proper setbacks from the brow of bluffs, drainage control, and special construction are all necessary to protect structures, roadways, and utilities from damage.

### **9.3.4 Assessing Vulnerability: Identifying Structures**

**3.4 Assessing Vulnerability: Identifying Structures – Requirement §201.6(c)(2)(ii)(A):** The plan **should** describe vulnerability in terms of the types and numbers of existing and future buildings, infrastructure, and critical facilities located in the identified hazard area.

#### **A. Types and Numbers of Existing Buildings, Facilities and Infrastructure**

There are approximately 550 residential parcels affected by coastal erosion. Of this number, 104 are structures with a potential loss of \$141,482,428.

### **9.3.5 Assessing Vulnerability: Estimating Potential Losses**

**3.5 Assessing Vulnerability: Estimating Potential Losses – Requirement §201.6(c)(2)(ii)(B):** The plan **should** describe vulnerability in terms of an estimate of the potential dollar losses to vulnerable structures identified in paragraph (c)(2)(i)(A) of this section and a description of the methodology used to prepare the estimate.

#### **A. Potential Dollar Losses to Vulnerable Structures – See table on following page**

TABLE 9-1. COASTAL EROSION POTENTIAL LOSS INVENTORY

Land Use		Number of Parcels		Number of Structures		Loss in Value \$	
Type	Total	Hazard	Total	Hazard	Total	Hazard	
Agricultural	1,601	11	2,938	0	\$226,819,374	\$10,852,744	
Commercial	1,398	4	2,359	0	\$475,412,013	\$3,151,490	
Government	1,676	91	1,317	17	\$249,122	\$0	
Industrial	215	1	473	0	\$104,713,461	\$30,489	
Institutional	174	1	397	0	\$185,873,022	\$600,675	
Miscellaneous	12,478	84	2,381	12	\$16,039,420	\$8,258	
Residential	43,024	550	52,604	104	\$7,721,059,332	\$141,482,428	
Utilities	515	5	195	0	\$1,357,002	\$0	
<b>Total</b>	<b>61,081</b>	<b>747</b>	<b>62,664</b>	<b>133</b>	<b>\$8,731,522,746</b>	<b>\$156,126,084</b>	
	<b>Total Unincorporated</b>	<b>Hazard Area</b>					
Population	133,891	35					



Improvement value based on Assessment Roll 10/13/2009

Total number of parcels, structures and values within the unincorporated areas of the County

Hazard Number of parcels, structures and value of improvements within the defined unincorporated hazard areas

Loss is based on assessment improvement values

Government parcels, public schools and most utilities are not assessed

#### Methodology Used to Prepare Estimate of Loss

##### **Parcel Valuation**

Valuation of parcels within a hazard area is based on improvement values as they appear on the Assessment Roll. They do not reflect potential sale or replacement value

If any part of a parcel intersected a hazard, the entire improvement value of the parcel was used.

##### **Population**

Census blocks were reduced to center points. If the center points intersected a hazard, that population was counted. The population count is based on the 2000 Census.

## **B. Methodology Used to Prepare Estimate**

Assessor's valuations were used. Potential dollar losses also include replacement of roads and paths, including property acquisition.

### 9.3.6 Assessing Vulnerability: Analyzing Development Trends

**3.6 Assessing Vulnerability: Analyzing Development Trends – Requirement**  
**§201.6(c)(2)(ii)(C):** The plan **should** describe vulnerability in terms of providing a general description of land uses and development trends within the community so that mitigation options can be considered in future land use decisions.

#### A. Description of Land-Uses & Development Trends

Every coastal community in California is dealing with the issues of sea level rise and shoreline retreat armoring is becoming an increasingly controversial and contentious issue. Since seawalls now protect so much of the developed portion of the County of Santa Cruz coastline, the controversy now centers on improving these walls and their impacts. Coastal erosion poses many problems to coastal communities in that valuable property is frequently lost to this dynamic beach-ocean system. Additionally, human activity may modify the process of coastal erosion with uncertain results. Thus, issues of beach restoration and erosion control are at the forefront in coastal communities. The County of Santa Cruz’s shoreline is now part of the Monterey Bay Marine Sanctuary, which will also influence development trends along the Santa Cruz coast.

The majority of the undeveloped areas along the coastline are farmland or other areas currently protected from development. The current trend in development along the coastline in Santa Cruz County is in-fill within the developed areas and reconstruction of existing structures and infrastructure. The County of Santa Cruz’s Geologic Hazards Ordinance Section 16.10.070(h) requires development on coastal bluffs and beaches to be reviewed by the County Geologist. The ordinance requires development to be setback at least 25 feet from the top of a coastal bluff, or the distance required to provide 100-year stability, whichever is greater. Shoreline protection structures are also subject to the County’s Geologic Hazards Ordinance and review by the County Geologist. Most current seawall permits are for maintenance and improvement of existing walls, which allows the County of Santa Cruz to require modifications that reduce the walls’ impacts.

No changes in these development regulation or patterns occurred that would affect the County’s overall vulnerability since the previous plan was adopted in 2010. While the County does not track the number of residential and commercial structures that have been built in coastal erosion hazards areas since the last LHMP was adopted in 2010, it is a subset of the overall number of new structures built in the unincorporated portion of the County. The County has adopted growth management policies and ordinances that limit growth. According to annual Growth Management Reports, there have been no more than 490 new residential structures built in the County since 2010, broken down by year as follows:

Residential Building Permit Issued				
Year	Market Rate	Affordable	Second Units	Total
2011	35	89	17	141

2012	54	64	20	138
2013	43	4	28	75
2014	60	2	20	82
2015 (Through November 1, 2015)	26	1	27	54
Total	218	160	112	490

As stated above, growth management policies prevent new development from occurring where hazards are present. Development on existing lots of record is required to avoid hazards and incorporate appropriate setbacks and other requirements to mitigate potential impacts from coastal erosion hazards. The Environmental Planning Section of the Planning Department, staffed by Resource Planners, specialize in reviewing each application for new residential and commercial structures to ensure that new development does not occur in hazard zones and that development on existing lots of record avoid, minimize and mitigate potential impacts from identified coastal erosion hazards.

#### 9.4.0 Mitigation Strategy

**4.0 Mitigation Strategy – Requirement §201.6(c)(3):** The plan **shall** include a mitigation strategy that provides the jurisdiction’s blueprint for reducing the potential losses identified in the risk assessment based on existing authorities, policies, programs and resources, and its ability to expand on and improve these existing tools.

The Santa Cruz County General Plan and Local Coastal Program Safety Element, the Geologic Hazards Ordinance and Coastal Zone Regulations provide a framework for protecting and preserving the coastline through the permit review process. County policies and regulations require careful planning and design when considering a new seawall, and maintenance of existing seawalls. Restoration efforts can help to mitigate damage from coastal storms by increasing natural resiliency to coastal hazards.

An assessment of this mitigation strategy as part of this 5-year plan update indicates the strategy is effective for reducing potential losses identified in the risk assessment. The coastal erosion risk has not changed since the previous plan was adopted. Adjustments are needed to address a change in circumstances, however. The increased risk of coastal erosion in the future as a result of sea level rise is addressed in the Climate Change chapter. There have been no coastal erosion related disasters during the five-year update period.

## 9.4.1 Local Hazard Mitigation Goals

**4.1 Local Hazard Mitigation Goals – Requirement §201.6(c)(3)(i):** The hazard mitigation strategy **shall** include a description of mitigation goals to reduce or avoid long-term vulnerabilities to the identified hazards.

### Coastal Erosion Goals:

**Coastal Erosion 1** Avoid or reduce the potential for life loss, injury, property and economic damage to the County of Santa Cruz from coastal erosion.

**Coastal Erosion 2** Protect and preserve natural resources.

**Coastal Erosion 3** Protect and preserve current infrastructure.

## 9.4.2 Identification and Analysis of Mitigation Actions

**4.2 Identification and Analysis of Mitigation Actions – Requirement §201.6(c)(3)(ii):** The mitigation strategy **shall** include a section that identifies and analyzes a comprehensive range of specific mitigation actions and projects being considered to reduce the effects of each hazard, with particular emphasis on new and existing buildings and infrastructure.

### Coastal Erosion Mitigation Actions

§ Protect and preserve the coastline through permit review and continue to review coastal development for conformance with the County’s Geologic Hazards ordinance. (B-10)

§ Encourage the replacement of existing seawalls with better-designed walls that result in less of an impact. (B-11)

§ Protect and preserve the coastline and infrastructure through restoration efforts (C-15)

### Minimizing Hazards from Coastal Erosion

Much of the urban coastline in the County has boulder riprap or concrete seawalls to minimize the energetic wave impacts that drive cliff erosion and to protect residences and infrastructure. Because these structures have finite life spans and can have adverse effects on other parts of the coast, engineering solutions can be very expensive in both the short-term and long-term. In other cases, the solution is to leave the coastline relatively undeveloped and to allow erosion to occur naturally. This option preserves the normal input of sand into the littoral drift system, perhaps lessening erosion at neighboring beaches.

The three primary management strategies that may be used to plan for and respond to coastal erosion are hazard avoidance, relocation, and coastal protection. The maximum potential efficacy and acceptability of these strategies can best be determined with multi-disciplinary project planning, design, monitoring and evaluation.

### Hazard Avoidance – A Commonsense Approach

The most logical method for preventing potential damage to new development in the coastal zone is to avoid building where coastal erosion will impact such development. This concept,

known as hazard avoidance, could circumvent many subsequent permitting and legal challenges. Hazard avoidance has proven effective when used in a number of ways including designing public infrastructure to discourage development in high geologic hazard areas along the coast.

### **Relocation – Moving Development Out of Harm’s Way**

In some instances, development is sited in unstable, erosion-prone areas that may be damaged or destroyed by natural processes acting on the coast. Relocating existing public or private development away from erosion-prone areas may be the most effective long-term option when responding to the eventual or imminent threat of damage. While relocating coastal development away from hazardous areas would be the most direct way to eliminate the risk of damage and the need for coastal protection, this response may not be technically, financially or legally feasible. Another approach to consider under certain circumstances is the concept of “managed retreat,” that is the gradual removal or abandonment of development from areas of high geologic hazard. In the context of coastal management, the concept of managed retreat acknowledges the natural erosive processes at work along the coast.

### **Coastal Protection**

In situations where hazard avoidance and relocation are not viable options, coastal protection strategies can be used to reduce the potential for beach loss and coastal erosion. There are two general types of coastal protection, hard and soft. A “hard” protection device utilizes concrete or rock in a variety of configurations to absorb or dissipate storm wave energy, generally in the form of seawalls, revetments or bulkheads. “Soft” protection primarily involves dune or beach restoration or enhancement to reduce the chances of storm waves from reaching the backshore. A hard protection device differs substantially from most soft erosion response alternatives in that it does not add sand to the system of sediment.

### **2015 Progress Report**

The integration of the plan into existing planning mechanisms and the implementation of mitigation actions demonstrate progress in risk reduction. An explanation of how the mitigation plan for coastal erosion hazards has been implemented over the last 5 years is included in Appendix L and described below for each Mitigation Action related to coastal erosion hazard reduction.

- Planning staff is currently involved in protecting and preserving the coastline as we work toward amending the Safety Element of the General Plan and Local Coastal Plan (GP/LCP) to incorporate sea level rise into policies addressing coastal bluff and beach hazards and floodplain management. Planning staff continues to participate in multiple workshops and studies currently underway addressing sea level rise vulnerability and coastal processes in Monterey Bay. (B-10)
- Encouraging the replacement of existing seawalls with better-designed walls that result in less of an impact is being pursued in proposed GP/LCP policy amendments which are under development and will continue in 2015-2016 with hearings before the Board of Supervisors and the California Coastal Commission. This will also be informed by completion of sea level rise vulnerability assessments in the Monterey Bay, and coastal regional sediment management studies. (B-11)
- Protecting and preserving infrastructure along the coast through restoration efforts is ongoing. Construction of the East Cliff Drive, 32<sup>nd</sup> Avenue to 36<sup>th</sup> Avenue and 41<sup>st</sup>, Bluff

Protection Project was completed on March 21, 2011. Continued maintenance of existing coastal protection structures occurs as needed. Public Works continues to struggle to maintain its existing coastal protection infrastructure due to the continued shortfall of State and Federal funds and declining gas tax revenues. The lack of available funding also affects the Departments ability to plan, design, and construct new coastline protection infrastructure. (C-15)

By using these planning mechanism to protect and preserve the coastline, encouraging the upgrade of existing coastal protection structures, and protect and preserve infrastructure along the coast, the County has demonstrated progress in reducing the risk from coastal erosion hazards. Further explanation of how the previous mitigation plan has been implemented over the last 5 years is included in Appendix L. The worksheets in Appendix L also describe how the current mitigation strategy, including the goals and hazard mitigation actions, will be implemented over the next 5 years. The projects described in Mitigation Actions B-10, B-11, and C-15 are still relevant and will continue to be implemented over the next five years.

## CHAPTER 10 — DAM FAILURE

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### 10.3.0 Dam Failure Risk Assessment

#### 10.3.1 Identifying Dam Failure Hazards

**3.1 Identifying Hazards – Requirement §201.6(c)(2)(i):** The risk assessment **shall** include a description of the type of all natural hazards that can affect the jurisdiction.

Dam failure can occur as a result of earthquakes, seiches, structural instability, or intense rain in excess of design capacity. Timber, rock, concrete, earth, steel or a combination of these materials may be used to build a dam. Dams must have spillway systems to safely convey normal stream and flood flows over, around, or through the dam. Spillways are commonly constructed of non-erosive materials such as concrete. Dams also have a drain or other water-withdrawal facility to control the reservoir level and to lower or drain the reservoir for normal maintenance and emergency purposes.

#### 10.3.2 Profiling Dam Failure Hazard Events

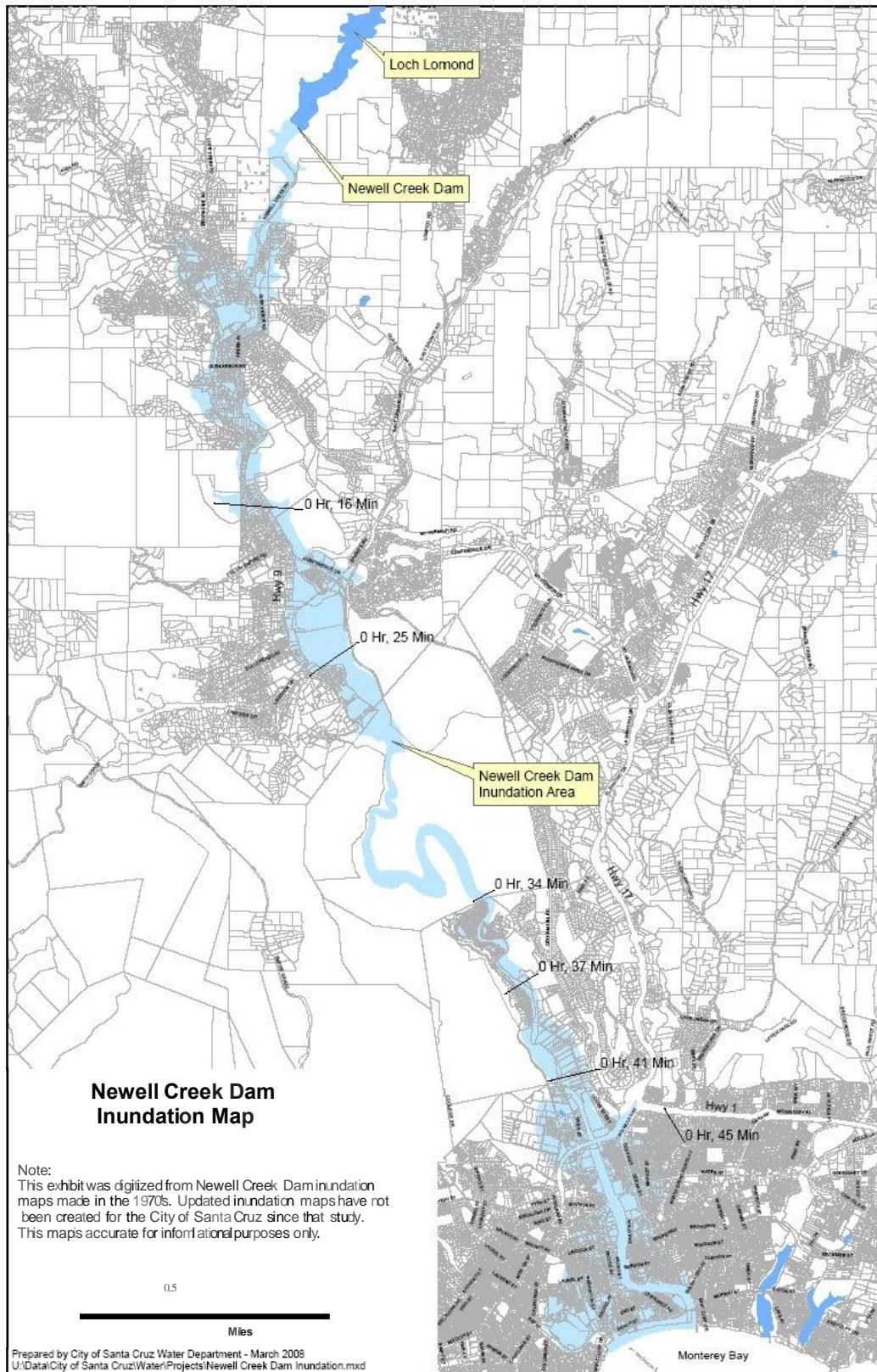
**3.2 Profiling Hazards – Requirement §201.6(c)(2)(i):** The risk assessment **shall** include a description of the location and extent of all natural hazards that can affect the jurisdiction. The plan shall include information on previous occurrences of hazard events and on the probability of future hazard events.

#### A. Location

As reflected in table 10-1 on the following page, there are five dams located within the County of Santa Cruz that, based on their size, are regulated by the State Division of Safety of Dams. The Newell Dam, is located within the jurisdiction of the City of Santa Cruz. The remaining four include: 1) Mill Creek Dam at the Lockheed facility near the end of Empire Grade in northern Santa Cruz County, 2) Sempervirens Dam within Big Basin Redwoods State Park, 3) Oak Site Dam found near the Lockheed facility, and 4) Soda Lake located along Highway 129 in southeastern Santa Cruz County. None of these dams are owned or operated by the County of Santa Cruz, but are the responsibilities of other state agencies or private entities. The reservoirs range in size from 20 acre-feet to over 10,000 acre-feet, with the oldest dam being constructed in the late 1890s and the newest in 1985.



**FIGURE 26. INUNDATION AREA FOR NEWELL CREEK DAM FAILURE**



Three additional State-regulated dams, located in neighboring counties, also have the potential to affect Santa Cruz County residents and properties should they be compromised or fail. These include Elmer J Chesbro Dam and Uvas Dam in Santa Clara County and the San Justo Dam in San Benito County. The Santa Clara Valley Water District is responsible for Elmer J Chesbro and Uvas reservoirs, while San Justo reservoir is the responsibility of the San Benito County Water District under contract with the Federal Bureau of Reclamation. Programs to ensure ongoing dam safety are implemented by these agencies.

**TABLE 10-1.** LIST OF DAMS WITHIN AND ADJACENT TO COUNTY OF SANTA CRUZ

Name	Owner	County	Stream	Year Built	Capacity (Ac-ft)	Res. Area (Acres)
Mill Creek	Lockheed Missiles and Space Co.	Santa Cruz	Mill Creek	1889	223	12
Oak Site	State Dept of Forestry	Santa Cruz	Tr. Big Creek	1969	20	2
Sempervirens	California Dept of Parks and Recreation	Santa Cruz	Sempervirens Creek	1951	78	4
Soda Lake	Granite Rock Co.	Santa Cruz	Tr. Pajaro River	1978	1,983	72
Newell	City of Santa Cruz	Santa Cruz	Newell Creek (SLR)	1960	8,991	172
Elmer J Chesbro	Santa Clara Valley Wd	Santa Clara	Llagas Creek (PR)	1955	8,086	328
Uvas	Santa Clara Valley Wd	Santa Clara	Uvas Creek (PR)	1957	10,000	280
San Justo	Bureau of Reclamation	San Benito	Offstream	1985	10,300	202

There are also a total of eight mining operations in Santa Cruz County that utilize ponds to hold processing plant wash water and storm water. These ponds are constructed using both artificial and natural barriers depending on whether the pond is created by a levee or dam, or excavation below grade. Because of limited dam height or storage capacity none of these ponds is within the jurisdiction of the State of California Division of Safety of Dams. In some cases quarry ponds are non-jurisdictional because they are created by excavation, which means there is no artificial barrier that would qualify as a “dam” under State law.

There are an unknown number of other dams in the County associated with agriculture, small

water systems and private ponds. These facilities are likely non-jurisdictional. Santa Cruz County Planning Department files may contain documentation for some of these dams while a number of others are undocumented.

## **B. Extent: Magnitude or Severity**

Given their location, a major dam failure at the Newell Creek Dam could result in extensive property damage or loss of life in the San Lorenzo Valley and the City of Santa Cruz. A dam failure at either the Mill Creek, Oak Site or Sempervirens dams could affect people and property in northern Santa Cruz County, to the east of the community of Boulder Creek. Soda Lake is a storage facility for fine-grained material or “fines” from the Wilson Quarry in San Benito County. Failure of the Soda Lake levees could potentially release this material and impact one or more nearby residences and encroach upon Highway 129. Although located in neighboring counties, a failure of the Elmer J Chesbro, Uvas, or San Justo dams could potentially impact people and properties along the Pajaro River in Santa Cruz County.

Given their location, failure of a non-jurisdictional dam or levee at a quarry pond could affect a limited amount of people or property in downstream areas. For an unknown number of dams, which are likely non-jurisdictional, the extent of the dam failure hazard is unknown at this time.

## **C. Previous Occurrences**

There have been no reported dam failures for the Newell Creek facility. There have been no reported failures at the Mill Creek, Oak Site or Sempervirens dams. Because the Elmer J Chesbro, Uvas and San Justo dams are located in adjacent counties, information is not readily available regarding previous dam failures, if any.

After the Loma Prieta Earthquake, an extensive set of cracks was observed at the crest of the Soda Lake west embankment and adjacent areas on the levee’s interior face. The west levee was excavated to bedrock and reconstructed in 1997 with the approval of the California Division of Safety of Dams. Additional stability issues involving the north levee tie-in to the hillside have been addressed by the Division of Safety of Dams in a letter to Graniterock Company dated September 20, 2000.

According to Planning Department records there have been no dam failures at any of the mines in Santa Cruz County. There have been rare events involving uncontrolled releases of water due to natural and human causes, but none of these events involved dam failure.

Previous occurrences of dam failure affecting Santa Cruz County are not known for any other dams.

## **D. Probability of Future Events**

Currently available information gives no indication that any of the dams would fail or otherwise sustain damage under any circumstance (This does not include man-made disaster). Stability issues involving quarry ponds are addressed with the quarry operator. The Division of Safety of Dams is aware of the issue involving the north levee of Soda Lake.

### 10.3.3 Assessing Dam Failure Vulnerability: Overview

**3.3 Assessing Vulnerability: Overview – Requirements §201.6(c)(2)(ii):** The risk assessment **shall** include a description of the jurisdiction’s vulnerability to the hazards described in paragraph (c)(2)(i) of this section. This description **shall** include an overall summary of each hazard and its impact on the community.

#### A. Overall Summary of Vulnerability to Dam Failure

The losses to life and property associated with complete dam failure would be high. Given the monitoring protocol at the Newell Creek, the probability of dam failure is very low.

### 10.3.4 Assessing Vulnerability: Identifying Structures

**3.4 Assessing Vulnerability: Identifying Structures – Requirement §201.6(c)(2)(ii)(A):** The plan **should** describe vulnerability in terms of the types and numbers of existing and future buildings, infrastructure, and critical facilities located in the identified hazard area.

#### A. Types and Numbers of Existing Buildings, Facilities and Infrastructure

Up-to-date information on numbers of existing buildings, infrastructure and critical facilities is not available at this time. A Seismic Safety Element was prepared for the County of Santa Cruz General Plan in 1975. This document contains inundation maps for the Newell Creek, Bay Street and Sempervirens dams, which have not been updated.

In the event of a dam or levee failure at a quarry pond, significant environmental impacts and property damage could occur. Environmental impacts would likely be limited to temporary impacts on water quality and erosion. Property damage would likely be limited to impacts on downstream drains, culverts, roads and bridges.


### 10.3.5 Assessing Vulnerability: Estimating Potential Losses

**3.5 Assessing Vulnerability: Estimating Potential Losses – Requirement §201.6(c)(2)(ii)(B):** The plan **should** describe vulnerability in terms of an estimate of the potential dollar losses to vulnerable structures identified in paragraph (c)(2)(i)(A) of this section and a description of the methodology used to prepare the estimate.

#### A. Dollar Losses to Vulnerable Structures

As the following table illustrates, the majority of structures within the inundation area are residential. For this land use category alone, the loss would be approximately \$171 million dollars.

**TABLE 10-2 . DAM FAILURE POTENTIAL LOSS INVENTORY**

<p><b>Inundation</b>  <b>Potential Loss Inventory</b>  <b>Santa Cruz County Unincorporated Areas</b></p>	
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Land Use Type	Number of Parcels		Number of Structures		Loss in Value \$	
	<u>Total</u>	<u>Hazard</u>	<u>Total</u>	<u>Hazard</u>	<u>Total</u>	<u>Hazard</u>
Agricultural	1,801	8	2,938	29	\$226,819,374	\$1,084,105
Commercial	1,398	87	2,359	128	\$475,412,013	\$19,854,730
Government	1,878	47	1,317	52	\$249,122	\$50,741
Industrial	215	1	473	16	\$104,713,461	\$611,202
Institutional	174	18	397	23	\$185,873,022	\$5,700,598
Miscellaneous	12,478	278	2,381	49	\$18,039,420	\$12,274
Residential	43,024	1,437	52,604	1,498	\$7,721,059,332	\$171,239,716
Utilities	515	17	195	3	\$1,357,002	\$0
<b>Total</b>	<b>61,081</b>	<b>1,891</b>	<b>62,664</b>	<b>1,798</b>	<b>\$8,731,522,746</b>	<b>\$199,153,364</b>

	Total Unincorporated	Hazard Area
<b>Population</b>	133,891	3,244

Improvement value based on Assessment Roll 10/13/2009  
 Total number of parcels, structures and values within the unincorporated areas of the County  
 Hazard Number of parcels, structures and value of improvements within the defined unincorporated hazard areas  
 Loss is based on assessment improvement values  
 Government parcels, public schools and most utilities are not assessed

**Methodology Used to Prepare Estimate of Loss**

**Parcel Valuation**

Valuation of parcels within a hazard area is based on improvement values as they appear on the Assessment Roll. They do not reflect potential sale or replacement value  
 If any part of a parcel intersected a hazard, the entire improvement value of the parcel was used.

**Population**

Census blocks were reduced to center points. If the center points intersected a hazard, that population was counted. The population count is based on the 2000 Census.

**B. Methodology Used to Prepare Estimate**

Valuation of parcels within the hazard area are based on improvement values only as collected by the County of Santa Cruz Assessor’s Office. They do not reflect sale or replacement value. If a parcel intersected a hazard, the entire improvement value of that parcel was used.

### 10.3.6 Assessing Vulnerability: Analyzing Development Trends

#### 3.6 Assessing Vulnerability: Analyzing Development Trends – Requirement

**§201.6(c)(2)(ii)(C):** The plan **should** describe vulnerability in terms of providing a general description of land uses and development trends within the community so that mitigation options can be considered in future land use decisions.

#### A. Description of Land-Uses and Development Trends

The County of Santa Cruz has a number of compact urban communities as well as extensive areas of agricultural land and forested hillsides. A number of rural villages and towns are located throughout the County. As dictated by the 1978 Growth Management Ordinance, most new development has occurred within or adjacent to the urban services line (i.e., the boundary point for such infrastructure as water and sewage service). As with most communities, increased housing costs have resulted in the need to provide higher density housing.<sup>25</sup> In Santa Cruz County, all development of this type occurs where urban services are available. Other development is mostly infill or reuse development, and development of existing rural residential properties.

There is limited potential for significant expansion of mining activities in Santa Cruz County. As quarry resources are depleted, the sites are reclaimed. Reclamation will include elimination of unnecessary water impoundments and eliminating any danger to public health and safety from failure of any remaining dams or levees.

No changes in these development regulation or patterns occurred that would affect the County's overall vulnerability since the previous plan was adopted in 2010. Although the County does not track the number of residential and commercial structures that have been built in tsunami hazard areas since the last LHMP was adopted in 2010, the number includes the number of new structures built in the flood hazard area in the mapped inundation zone for the Newell Creek Dam. Since the last LHMP in 2010, there have been twelve new residential structures and one commercial structure built on existing lots of record in flood hazard areas throughout the County. As stated above, growth management policies prevent new development from occurring where hazards are present. Development on existing lots of record is required to avoid hazards and incorporate appropriate setbacks, structural elevation, floodproofing, and other requirements to mitigate potential impacts from flood hazards. The Environmental Planning Section of the Planning Department, staffed by Resource Planners, one of which is the designated floodplain manager, specialize in reviewing each application for new residential and commercial structures to ensure that new development does not occur in hazard zones and that development on existing lots of record avoid, minimize and mitigate potential impacts from identified flood hazards.

## 10.4.0 Mitigation Strategy

**4.0 Mitigation Strategy – Requirement §201.6(c)(3):** The plan **shall** include a mitigation strategy that provides the jurisdiction’s blueprint for reducing the potential losses identified in the risk assessment, based on existing authorities, policies, programs and resources, and its ability to expand on and improve these existing tools.

The primary mitigation strategy is the continuation of monitoring protocols for structural integrity. The City of Santa Cruz is responsible for monitoring of both the Bay Street Reservoir and the Newell Creek Dam.

The Santa Cruz County Planning Department regulates mining operations in the County. All quarry ponds have been reviewed for geotechnical stability and hydrologic capacity as part of the permitting process for each mine. In addition, mine sites are inspected on a regular basis, which includes verifying the current conditions of ponds and conformance with approved plans. As a result, any necessary remedial measures identified during the permit process, or ongoing inspections, are addressed as part of the quarry inspection process.

The mitigation strategy for other dams in Santa Cruz County would involve documentation and site inspection to determine what, if any, further documentation or remedial actions may be needed.

An assessment of this mitigation strategy as part of this 5-year plan update indicates the strategy remains relevant for reducing potential losses identified in the risk assessment. The dam failure risk has not changed since the previous plan was adopted. No adjustments are needed to address a change in circumstances. There have been no dam failures during the five-year update period.

### 10.4.1 Mitigation Goals

**4.1 Local Hazard Mitigation Goals – Requirement §201.6(c)(3)(i):** The hazard mitigation strategy **shall** include a description of mitigation goals to reduce or avoid long-term vulnerabilities to the identified hazards.

#### Dam Failure Goal

Avoid or reduce the potential for life loss, injury, property or economic damage to Santa Cruz from dam failure.

### 10.4.2 Identification and Analysis of Mitigation Actions

**4.2 Identification and Analysis of Mitigation Actions – Requirement §201.6(c)(3)(ii):** The mitigation strategy **shall** include a section that identifies and analyzes a comprehensive range of specific mitigation actions and projects being considered to reduce the effects of each hazard, with particular emphasis on new and existing buildings and infrastructure.



## **Dam Failure Mitigation Actions**

§ Develop an event protocol with the State Division of the Safety of Dams. (B-12)

§ Update dam inundation maps. (C-16)

§ Review Planning Department files and other available information for the purpose of locating any other dams in Santa Cruz County to determine the extent of possible damage. (C-17)

## **2015 Progress Report**

The integration of the plan into existing planning mechanisms and the implementation of mitigation actions demonstrate progress in risk reduction. An explanation of how the mitigation plan for dam failure hazards has been implemented over the last 5 years is included in Appendix L and described below for each Mitigation Action related to dam failure hazard reduction.

- Development of an event protocol with the State Division of the Safety of Dams has not been implemented during the reporting period. With additional funding and staff resources, and prioritization from both County and State sources, this project may occur during the next reporting period. (B-12)
- The County will continue to reference existing General Plan dam inundation maps. The project to update the maps has been delayed due to limitations of budget and staff resources available to address all priority actions. (C-16)
- This project to review files and other information to determine the extent of other dam failure hazards was not implemented during the reporting period. With additional funding and staff resources, and prioritization, this project may occur during the next reporting period. (C-17)

By referencing existing dam inundation maps the County is able to identify areas of risk from dam failure. Further explanation of how the previous mitigation plan has been implemented over the last 5 years is included in Appendix L. The worksheets in Appendix L also describe how the current mitigation strategy, including the goals and hazard mitigation actions, will be implemented over the next 5 years. The projects described in Mitigation Actions B-12, C-16, and C-17 are still relevant and will be implemented over the next five years depending on County and State budget and staff resources.



## CHAPTER 11 — LANDSLIDE

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### 11.3.0 Landslide Risk Assessment

#### 11.3.1 Identifying Landslide Hazards

**3.1 Identifying Hazards – Requirement §201.6(c)(2)(i):** The risk assessment **shall** include a description of the type of all natural hazards that can affect the jurisdiction.

Landsliding is a general term that describes a wide variety of mass downslope movements of soil and rock in response to gravity. Landsliding occurs as falls, topples, slides, spreads, flows, and a combination of these categories, and may change from one form failure to another during their movement.

Factors causing landsliding include the rock strength and orientation of elements on the slope, erosion, weathering, high rainfall, steepness of slopes, and human activities such as the removal of vegetation and inappropriate grading.

Landslides occur throughout the world, but Santa Cruz County's unique geologic conditions make large portions of the County particularly susceptible to many forms of landsliding. Factors that contribute to landsliding in Santa Cruz County include:

- § storms
- § earthquakes
- § fires
- § freezing and thawing
- § erosion
- § vegetation removal, grading and other human activities.

Landslide problems can also be caused by land mismanagement, particularly in mountain, canyon, and coastal regions. In areas burned by forest and brush fires, a lower threshold of precipitation may initiate landslides. The deterioration of old timber harvest roads may also result in concentration of drainage that induces landsliding. The County of Santa Cruz's General

Plan <sup>26</sup>, along with Chapter 16.10 of the County Code set standards to reduce damage from landslides through avoidance of hazardous areas and/or mitigation. These County standards, along with the California Building Code and good engineering practices minimize many landslide problems, but don't eliminate them.

Landsliding occurs throughout Santa Cruz County, but is centered primarily along the steeper slopes in the hills and mountains, along stream corridors, and along coastal bluffs and inlets. Large areas of the County are subject to several forms of landsliding as indicated in Figures 27 and 28, but isolated sliding occurs throughout the County. The types of landsliding that occur in Santa Cruz can be summarized as follows:

- § **Coastal Bluffs:** Shallow landslides, debris flows and topples
- § **Rivers and streams:** Shallow landslides, rotational landslides, and lateral spreading
- § **Hillslopes:** Large deep composite landslides, and debris flows.

### 11.3.2 Profiling Landslide Hazard Events

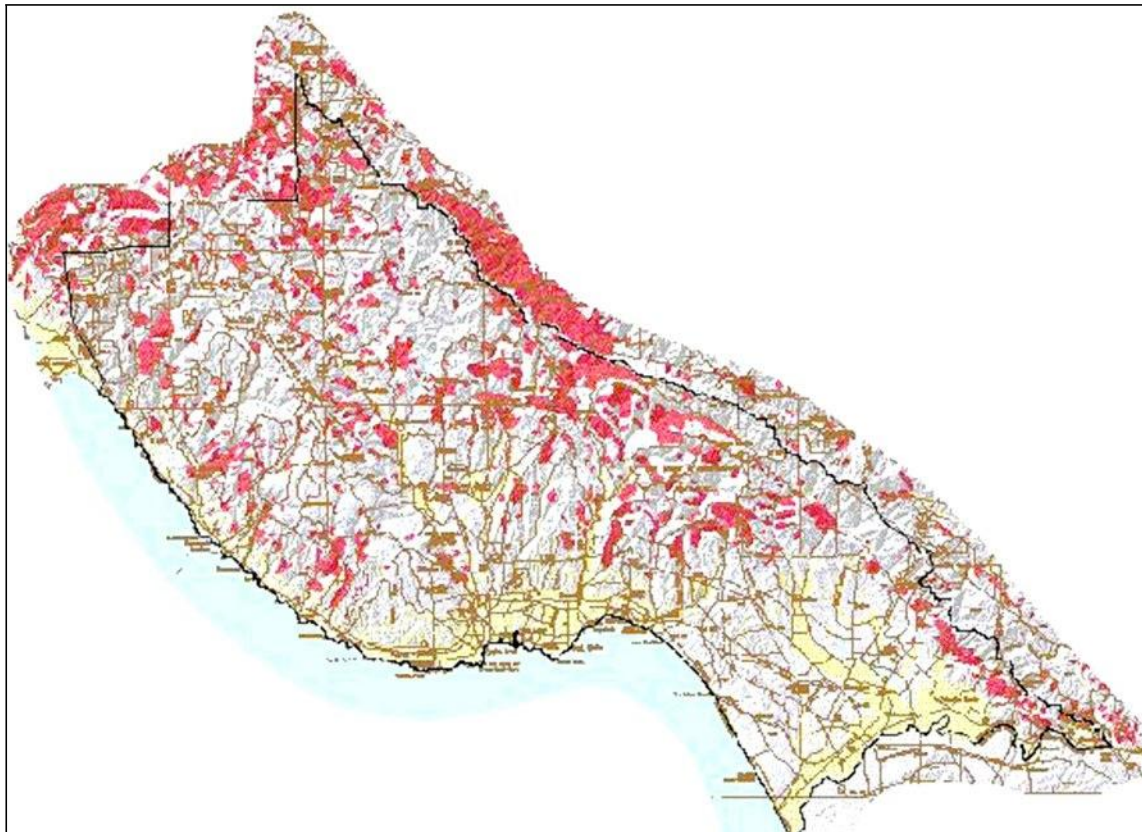
**3.2 Profiling Hazards – Requirement §201.6(c)(2)(i):** The risk assessment **shall** include a description of the location and extent of all natural hazards that can affect the jurisdiction. The plan shall include information on previous occurrences of hazard events and on the probability of future hazard events.

#### A. Location

#### SUMMARY DISTRIBUTION OF SLIDES & EARTH FLOWS IN SANTA CRUZ COUNTY<sup>27</sup>

By Carl M. Wentworth, Scott E. Graham, Richard J. Pike, Gregg S. Beukelman, David W. Ramsey, and Andrew D. Barron

**FIGURE 27.** SLIDES & EARTH FLOWS IN SANTA CRUZ COUNTY



**MAP UNITS**

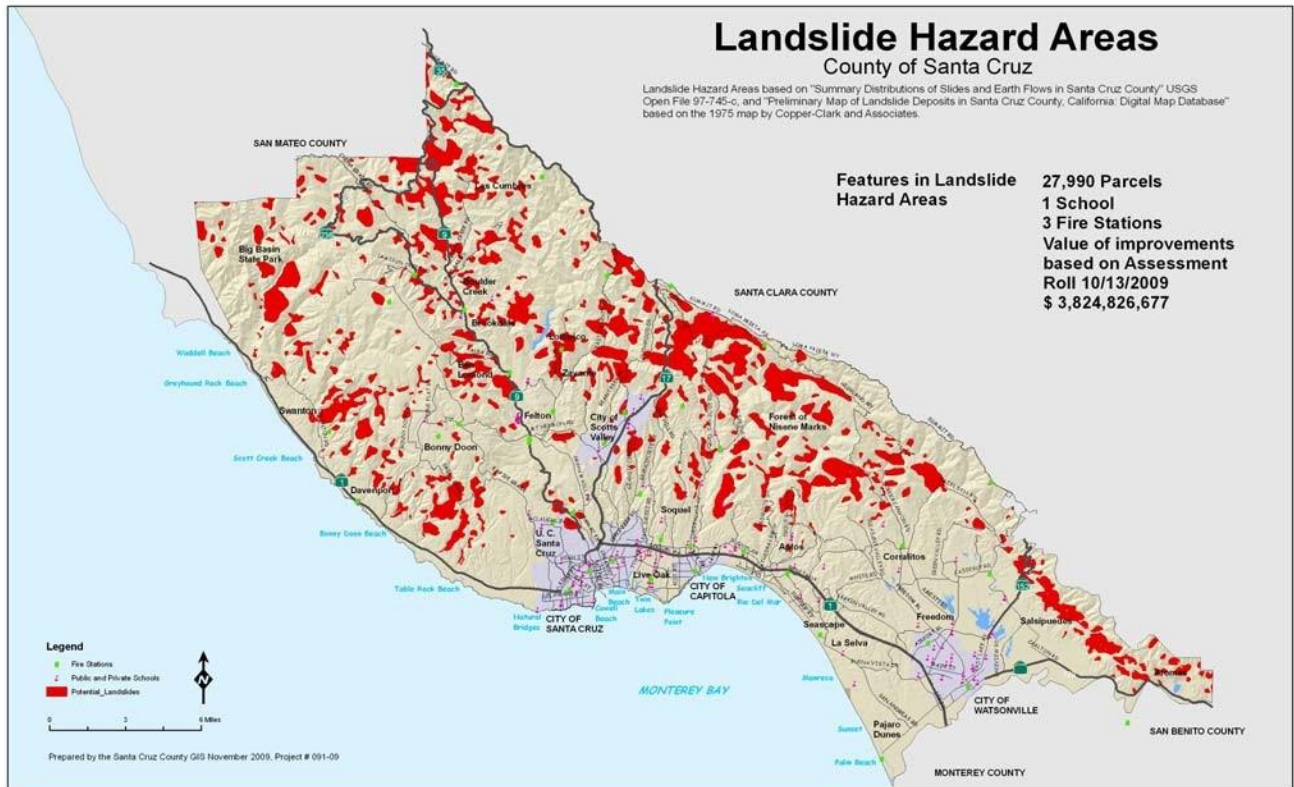
**Mostly Landslide** - consists of mapped landslides, intervening areas typically narrower than 1500 feet, and narrow borders around landslides; defined by drawing envelopes around groups of mapped landslides.

**Many Landslides** - consists of mapped landslides and more extensive intervening areas than in 'Mostly Landslide'; defined by excluding areas free of mapped landslides; outer boundaries are quadrangle and County limits to the areas in which this unit was defined.

**Few Landslides** - contains few, if any, large mapped landslides, but locally contains scattered small landslides and questionably identified larger landslides; defined in most of the region by excluding groups of mapped landslides but defined directly in areas containing the 'Many Landslides' unit by drawing envelopes around areas free of mapped landslides.

**Flat Land** - areas of gentle slope at low elevation that have little or no potential for the formation of slumps, translational slides, or earth flows except along stream banks and terrace margins; defined by the distribution of surficial deposits (Wentworth, 1997).

**FIGURE 28. POTENTIAL SLIDE THREATS TO SANTA CRUZ COUNTY**



**B. Extent: Magnitude or Severity**

Landslides are a common occurrence in the Santa Cruz Mountains. Our intense winter storms, high rainfall amounts, especially during El Nino weather patterns, and steep terrain are conducive to landsliding. Earthquake activity contributes to this landsliding, as illustrated by the 1906 earthquake, which set off dozens of large landslides in the Santa Cruz Mountains, some of which claimed human lives. The 1989 Loma Prieta earthquake produced a similar pattern of landsliding. The potential for loss of life and property is much greater today due to the increase in population residing in areas of possible instability.

Most recent landslides in the Santa Cruz Mountains have been caused by a combination of human activity and natural factors. Human activities that act to further destabilize slopes, are old timber harvest roads and skid trails, conversion of land from forest to residential and agricultural uses, road building, grading and other housing construction and any activity that alters normal drainage patterns. The likelihood that any of these factors will contribute to landsliding is dependent upon the existing conditions and also on the care with which activities are conducted in these locations. County Code Section 16.10 in combination with the California Building Code require careful consideration of landslide factors by both engineering geologists, soils engineers, and civil engineers. However, even with proper care, there remains a higher than normal potential for damage from landsliding in many areas of the County.

### **C. Previous Occurrences**

Several periods of landsliding have occurred in Santa Cruz County in recent history. Some of the better-documented landslides are:

**Mount Hermon Landslide:** The Mountain Hermon landslide moved in the late 1950's after the El Nino year of 1957 –1958. This landsliding occurred in an area of suspected older landsliding and the new movement extended from the Kaiser Quarry to the bottom of Bean Creek blocking Conference Drive, and is one of the reasons for construction of the Mount Hermon bypass. At the time of the landsliding there was some concern that the quarry (and a small earthquake) may have contributed to the re-initiation of the landslide.

**Rain Storms of January 1982:** Severe storms caused multiple landslides throughout the Bay Area and especially in the Santa Cruz Mountains. One very large composite landslide along Love Creek, west of Loch Lomond Reservoir, killed ten people. This landslide was and continues to be an indicator of the potential severity of landslide activity and the need for observation and/or mitigation. Other landslides, including debris flows, destroyed homes killing several other people. In addition to damage to homes, widespread landslide damage occurred to roadways, driveways, and stream channels.

**Loma Prieta Earthquake October 17, 1989:** Landslides occurred throughout the County of Santa Cruz during and after the October 17, 1989 Loma Prieta earthquake. Most of these larger landslides moved only during the actual shaking, but others continue to the present. Smaller landslides occurred along coastal bluffs and along ridge-tops.

**El Nino Winter Storms of 1986, 1998, and 2005:** These storms caused multiple landslides, particularly debris flows, throughout the Santa Cruz Mountains. During the 1998 winter, many homes were affected by landsliding and several roadways were damaged including Highway 9, Branciforte Road, and Amesti Road. Winter rains also induced landsliding within the quarries throughout the County.

### **D. Probability of Future Events**

Landsliding will continue to affect the County, especially during El Nino weather patterns. Most of the critical structures within the County of Santa Cruz are located away from landslides, but many homes and roadways are located in and around landslides. El Nino weather patterns will continue approximately every seven years, and the San Andreas Fault, as well as other faults, will generate earthquakes, which will contribute to the formation of landslides.

### 11.3.3 Assessing Landslide Vulnerability: Overview

**3.3 Assessing Vulnerability: Overview – Requirement §201.6(c)(2)(ii):** The risk assessment **shall** include a description of the jurisdiction’s vulnerability to the hazards described in paragraph (c)(2)(i) of this section. This description shall include an overall summary of each hazard and its impact on the community.

Past experience has shown that many areas of the County are susceptible to the effects of landslides. Most of the damage caused by landslides will be to privately owned structures although a fair number of County maintained roads are also at risk.

#### A. Overall Summary of Vulnerability to Landslide

The County of Santa Cruz terrain, weather, and seismicity increase the likelihood of landsliding. Homes built before 1989 are particularly vulnerable to landslides as some of these were constructed without the benefit of engineering or engineering geologic investigations. Most of the roadways were constructed many years ago with little consideration to slope stability, and will likely be affected by landsliding in the future. Because utilities follow these roads, damage to roads will often disrupt sewers, water systems, gas and electricity, and cable and telephone utilities.

Areas that have experienced landsliding include:

1. The steep hillslopes throughout the County of Santa Cruz, especially near the Zayante and San Andreas fault zones, and within the San Lorenzo Valley and Eureka Canyon.
2. The river channels along major streams, and along the edges of the broader alluvial Pajaro River and Corralitos Creek.
3. Along coastal bluffs, especially above Beach and Las Olas drives, and above Sunset Beach in the Seacliff Beach area.

### 11.3.4 Assessing Vulnerability: Identifying Structures

**3.4 Assessing Vulnerability: Identifying Structures – Requirement §201.6(c)(2)(ii)(A):** The plan **should** describe vulnerability in terms of the types and numbers of existing and future buildings, infrastructure, and critical facilities located in the identified hazard area.

Landslides threaten relatively few public buildings, but over 16,000 residences are potentially at risk, with over 21,000 structures on these parcels. This represents over 3 billion dollars worth of property. See Table 11-1 for the type of structures and their value.

## Types and Numbers of Existing Buildings, Facilities and Infrastructure

TABLE 11-1. LANDSLIDE POTENTIAL LOSS INVENTORY

Landslide Potential Loss Inventory Santa Cruz County Unincorporated Areas						
Land Use  Type	Number of Parcels		Number of Structures		Loss in Value \$	
	Total	Hazard	Total	Hazard	Total	Hazard
Agricultural	1,601	1,186	2,938	2,345	\$226,819,374	\$181,914,734
Commercial	1,398	376	2,359	967	\$475,412,013	\$182,330,036
Government	1,676	1,114	1,317	1,010	\$249,122	\$0
Industrial	215	63	473	237	\$104,713,461	\$28,748,471
Institutional	174	66	397	205	\$185,873,022	\$98,923,351
Miscellaneous	12,478	8,781	2,381	1,411	\$16,039,420	\$11,202,964
Residential	43,024	16,123	52,604	21,221	\$7,721,059,332	\$3,320,396,597
Utilities	515	281	195	99	\$1,357,002	\$1,310,524
<b>Total</b>	<b>61,081</b>	<b>27,990</b>	<b>62,664</b>	<b>27,495</b>	<b>\$8,731,522,746</b>	<b>\$3,824,826,677</b>
		<b>Total Unincorporated</b>	<b>Hazard Area</b>			
Population	133,891		17,202			

Improvement value based on Assessment Roll 10/13/2009

Total number of parcels, structures and values within the unincorporated areas of the County

### 11.3.5 Assessing Vulnerability: Estimating Potential Losses

#### 3.5 Assessing Vulnerability: Estimating Potential Losses – Requirement

**§201.6(c)(2)(ii)(B):** The plan **should** describe vulnerability in terms of an estimate of the potential dollar losses to vulnerable structures identified in paragraph (c)(2)(i)(A) of this section and a description of the methodology used to prepare the estimate.

Once again, we see that residential structures are the ones most impacted by landslide danger. Over 16,000 residential parcels have been identified as under threat from landslide. There are over 21,200 structures on these parcels, which represent a value of over \$3 billion dollars.

#### B. Methodology Used to Prepare Estimate

County of Santa Cruz Tax Assessor's valuations were used to prepare this report. Potential dollar losses also include replacement of roads, paths and property acquisition.

### 11.3.6 Assessing Vulnerability: Analyzing Development Trends

#### 3.6 Assessing Vulnerability: Analyzing Development Trends – Requirement

**§201.6(c)(2)(ii)(C):** The plan **should** describe vulnerability in terms of providing a general description of land uses and development trends within the community so that mitigation options can be considered in future land use decisions.

#### A. Description of Land-Uses and Development Trends

The County of Santa Cruz has a number of compact urban communities as well as extensive areas of agricultural land and forested hillsides. A number of rural villages and towns are located throughout the County. As dictated by the 1978 Growth Management Ordinance, most new development has occurred within or adjacent to the urban services line (i.e., the boundary point for such infrastructure as water and sewage service). As with most communities, increased housing costs have resulted in the need to provide higher density housing. In Santa Cruz County, all development of this type occurs where urban services are available. Other development is mostly infill or reuse development, and development of existing rural residential properties.

Growth management policies prevent development from occurring where hazards are present and, in most cases, require substantial setbacks from these hazards.

No changes in these development regulation or patterns occurred that would affect the County's overall vulnerability since the previous plan was adopted in 2010. While the County does not track the number of residential and commercial structures that have been built in landslide hazard zones since the last LHMP was adopted in 2010, it is a subset of the overall number of new structures built in the unincorporated portion of the County. As noted above, most new development of residential structures and virtually all new development of commercial structures occurs within the urban services line and outside of landslide hazards zones.

The County has adopted growth management policies and ordinances that limit growth. According to annual Growth Management Reports, there have been no more than 490 new residential structures built in the County since 2010 broken down by year as follows:

Residential Building Permit Issued				
Year	Market Rate	Affordable	Second Units	Total
2011	35	89	17	141
2012	54	64	20	138
2013	43	4	28	75
2014	60	2	20	82
2015 (Through November 1, 2015)	26	1	27	54
<b>Total</b>	<b>218</b>	<b>160</b>	<b>112</b>	<b>490</b>



As stated above, growth management policies prevent new development from occurring where hazards are present. Development on existing lots of record is required to avoid hazards and incorporate appropriate setbacks and other requirements to mitigate potential impacts from landslide hazards. The Environmental Planning Section of the Planning Department, staffed by Resource Planners, specialize in reviewing each application for new residential and commercial structures to ensure that new development does not occur in hazard zones and that development on existing lots of record avoid, minimize and mitigate potential impacts from identified potential landslide hazards.

### 11.4.0 Mitigation Strategy

**4.0 Mitigation Strategy – Requirement §201.6(c)(3):** The plan **shall** include a mitigation strategy that provides the jurisdiction’s blueprint for reducing the potential losses identified in the risk assessment, based on existing authorities, policies, programs and resources, and its ability to expand on and improve these existing tools.

The County’s over-all strategy to mitigate landslide hazards is to: 1) require the involvement of qualified experts in identifying specific landslide hazards, 2) maintain records of the types and locations of these hazards, 3) require that new development avoid landslide areas whenever possible, and 4) ensure that building plans incorporate all reasonable mitigation measures for structures that must be sited in or near hazard areas.

An assessment of this mitigation strategy as part of this 5-year plan update indicates the strategy is effective for reducing potential losses identified in the risk assessment. The Planning Department continues to review development applications for emergency use and critical structures, and all other structures, for compliance with the California building code and the Geologic Hazards Ordinance regarding landslide hazards. The landslide risk has not changed since the previous plan was adopted. No adjustments are needed to address a change in circumstances. There have been no landslide related disasters during the five-year update period.

### 11.4.1 Mitigation Goals

**4.1 Local Hazard Mitigation Goals – Requirement §201.6(c)(3)(i):** The hazard mitigation strategy **shall** include a description of mitigation goals to reduce or avoid long-term vulnerabilities to the identified hazards.

#### Landslide Goals

**Landslide 1** Avoid and reduce the potential for life loss, injury, property and economic damage from landslide hazards



## 11.4.2 Identification and Analysis of Mitigation Actions

**4.2 Identification and Analysis of Mitigation Actions – Requirement §201.6(c)(3)(ii):** The mitigation strategy **shall** include a section that identifies and analyzes a comprehensive range of specific mitigation actions and projects being considered to reduce the effects of each hazard, with particular emphasis on new and existing buildings and infrastructure.

### Landslide Mitigation Actions

- § Continue to require that the County Geologist review development in areas of suspected landsliding and require engineering geology reports when landsliding is identified or suspected. (C-18)
- § Continue to require that an engineering geologist and/or geotechnical engineer investigate the site of any proposed construction near landsliding and require mitigation of landslide hazards before issuing any building or grading permits. (C-19)
- § Continue to require that an engineering geologist and/or a geotechnical engineer investigate any landslide damage to homes or roadways before repair of the landslide and reuse of the homes or roadways. (C-20)

### 2015 Progress Report

The integration of the plan into existing planning mechanisms and the implementation of mitigation actions demonstrate progress in risk reduction. An explanation of how the mitigation plan for landslide hazards has been implemented over the last 5 years is included in Appendix L and described below for each Mitigation Action related to landslide hazard reduction.

- Staff continues to review all development for slope instability including landsliding. (C-18)
- Sites near landsliding are investigated by engineering geologist and/or geotechnical engineers, and mitigations are required (where necessary) to prevent damage to development. (C-19)
- Engineering geologic and geotechnical engineering investigations and reports are required for all homes and habitable structures damaged by landsliding. (C-20)

By using these planning mechanism to avoid, minimize, and mitigate landslide hazards, the County has demonstrated progress in reducing the risk from landslide hazards. Further explanation of how the previous mitigation plan has been implemented over the last 5 years is included in Appendix L. The worksheets in Appendix L also describe how the current mitigation strategy, including the goals and hazard mitigation actions, will be implemented over the next 5 years. The projects described in Mitigation Actions C-18, C-19, and C-20 are still relevant and will continue to be implemented over the next five years.

## CHAPTER 12 — EXPANSIVE SOILS

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### 12.3.0 Expansive Soils Risk Assessment

#### 12.3.1 Identifying Expansive Soils Hazards

**3.1 Identifying Hazards – Requirement §201.6(c)(2)(I):** The risk assessment **shall** include a description of the type of all natural hazards that can affect the jurisdiction.

Expansive soils are generally clays or sedimentary rocks derived from clays, which experience volume changes as a result of moisture variation.

The hazard that expansive soils create can be significant. Many of the expansive soils do not create large areas of destruction; however, they can disrupt supply lines (i.e. roads, power lines, railways, and bridges) and damage structures. The effects on structures can be dramatic if expansive soils supporting structures are allowed to become too wet or too dry. Lightly loaded one-story or two-story buildings, warehouses, residences, and pavements are especially vulnerable to damage because these structures are less able to suppress the differential heave of the swelling foundation soil than heavy, multistory structures. Patios, driveways and walkways may also crack and heave as the underlying expansive soils become wet and swell.

Expansive soils do not change size quickly; observing damage in real-time can sometimes be difficult. Although the damage might not occur in a matter of minutes, it still has the potential to severely damage structures and roads over a matter of time if not sufficiently mitigated.

Many areas of Santa Cruz County are underlain by expansive soils. However, expansive soil doesn't cause problems unless poorly designed structures are built on it. A house built on expansive soil will probably move if the foundation was not designed to take this soil type into account. Movement occurs because the soils expand so forcefully, the foundation actually moves. Different parts of the house can move at different rates and distances, thus cracking the foundation. Significant cracks often appear at the corners of windows and doors, in walls, garage slabs, walkways, and driveways. Doors and windows may become jammed. The integrity, design, value and use of a home could be affected. During extreme drought conditions, even homes that are not normally affected by expansive soil problems may experience slight cracking.

#### 12.3.2 Profiling Expansive Soils Hazard Events

**3.2 Profiling Hazards – Requirement §201.6(c)(2)(i):** The risk assessment **shall** include a description of the location and extent of all natural hazards that can affect the jurisdiction. The plan shall include information on previous occurrences of hazard events and on the probability of future hazard events.

##### A. Location

The general areas of expansive soils within the County of Santa Cruz are known. The National Resource Conservation Service's (NRCS) Soil Survey of Santa Cruz County mapped various soils types throughout the County. In addition, soils reports performed over the years throughout the County for building permits have corroborated the locations of expansive soils. The primary soil types mapped by NRCS as expansive are Watsonville Loam, Clear Lake Clay, Diablo Clay, Fagan Loam, Los Osos Loam, Mocho Silt Loam, Pinto Loam, Felton Sandy Loam, Cropley Silty Clay, Danville Loam and Lompico Varient Loam. The general locations of expansive soils are in the coastal terraces in Live Oak, Seacliff and Rio Del Mar and in South County near Watsonville. However, smaller pockets of expansive soils may exist throughout the County.

**FIGURE 29.** EXPANSIVE SOILS WITHIN COUNTY OF SANTA CRUZ



**B. Extent: Magnitude or Severity**

Each year in the United States, expansive soils cause billions of dollars in damage to buildings, roads, pipelines, and other structures. This is more damage than that caused by floods, hurricanes, tornadoes, and earthquakes combined (FEMA 1997).

It is estimated that the County of Santa Cruz has thousands of homes built on expansive soils. Typically, the structures that experience problems with expansive soils are older homes, but newer homes (built within the last 15 years) may also experience problems due to expansive

soils. The types of problems associated with expansive soils are generally not catastrophic, but the effects result in cracked foundations, cracked walls, cracked concrete slabs, cracks around windows and doors, as well as jammed windows and doors. Cracks to foundations may lead to additional problems if other catastrophic events were to occur (such as earthquakes).

**C. Previous Occurrences**

Each year the Building Department reviews many permit applications to fix problems associated with expansive soils. The number of occurrences is difficult to measure, since property owners may consider the effects of expansive soils to be minor and therefore choose not to do anything about it.

**D. Probability of Future Events**

Structures in the County of Santa Cruz will continue to experience problems with expansive soils on a yearly basis as moisture conditions in soils fluctuate.

Building Codes (2013 California Building Code (CBC) Section 1802 provide local jurisdictions with tools to request soils reports for building permits in areas where expansive soils are suspected and have detailed procedures to determine when soils are considered expansive. In addition, Section 1805.8 of the 2013 CBC provides requirements for design for expansive soils. Therefore, over time we expect to see fewer problems with structures due to expansive soils.

**12.3.3 Assessing Expansive Soils Vulnerability: Overview**

**3.3 Assessing Vulnerability: Overview – Requirement §201.6(c)(2)(ii):** The risk assessment shall include a description of the jurisdiction’s vulnerability to the hazards described in paragraph (c)(2)(i) of this section. This description shall include an overall summary of each hazard and its impact on the community.

**A. Overall Summary of Vulnerability to Expansive Soils**

It is estimated that the County of Santa Cruz has over 13,000 homes built on expansive soils. Typically, the structures that experience problems with expansive soils are older homes, but newer homes (built within the last 15 years) may also experience problems due to expansive soils. The types of problems associated with expansive soils are generally not catastrophic, but the effects result in cracked foundations, cracked walls, cracked concrete slabs, cracks around windows and doors, as well as jammed windows and doors. Cracks to foundations may lead to additional problems if other catastrophic events were to occur (such as earthquakes).

**12.3.4 Assessing Expansive Soils Vulnerability: Identifying Structures**

**3.4 Assessing Vulnerability: Identifying Structures – Requirement §201.6(c)(2)(ii)(A):** The plan should describe vulnerability in terms of the types and numbers of existing and future buildings, infrastructure, and critical facilities located in the identified hazard area.

## A. Types and Numbers of Existing Buildings, Facilities and Infrastructure

Due to its unique geologic makeup, expansive soils are located mainly in the coastal areas and in agricultural areas in the southern portion of the County. Approximately 16,100 parcels are estimated to be located on expansive soils with 18,462 structures built on these parcels. These areas represent some of the most expensive real estate in the county and the estimated loss in value comes to \$2,786,254,269. The majority of the loss in value is in residential areas. See table 12-1 below for specific information on the types of properties located on expansive soils.

### 12.3.5 Assessing Vulnerability: Estimating Potential Losses


#### 3.5 Assessing Vulnerability: Estimating Potential Losses – Requirement

**§201.6(c)(2)(ii)(B):** The plan **should** describe vulnerability in terms of an estimate of the potential dollar losses to vulnerable structures identified in paragraph (c)(2)(i)(A) of this section and a description of the methodology used to prepare the estimate.

### Potential Dollar Losses to Vulnerable Structures

While over 13,200 residential parcels have been identified as threatened by expansive soils, there are only 612 structures on these parcels. However, since the majority of these structures are close to the ocean, they represent a loss in value of over \$2.25 billion dollars.

**TABLE 12-1. EXPANSIVE SOILS POTENTIAL LOSS INVENTORY**

<b>Expansive Soils Potential Loss Inventory Santa Cruz County Unincorporated Areas</b> 						
Land Use Type	Number of Parcels		Number of Structures		Loss in Value \$	
	Total	Hazard	Total	Hazard	Total	Hazard
Agricultural	1,801	502	2,938	68	\$228,819,374	\$76,994,323
Commercial	1,398	553	2,359	579	\$475,412,013	\$248,592,243
Government	1,876	328	1,317	811	\$249,122	\$0
Industrial	215	112	473	330	\$104,713,461	\$60,850,594
Institutional	174	78	397	128	\$185,873,022	\$121,592,972
Miscellaneous	12,478	1,228	2,381	147	\$16,039,420	\$2,312,825
Residential	43,024	13,206	52,604	612	\$7,721,059,332	\$2,275,589,359
Utilities	515	93	195	15,734	\$1,357,002	\$321,953
				53		
<b>Total</b>	<b>61,081</b>	<b>16,100</b>	<b>62,664</b>	<b>18,462</b>	<b>\$8,731,522,748</b>	<b>\$2,786,254,269</b>
	<b>Total Unincorporated</b>		<b>Hazard Area</b>			
<b>Population</b>	<b>133,891</b>		<b>40,655</b>			

**B. Methodology Used to Prepare Estimate**

**Parcel Valuation**

Valuations of parcels within a hazard area are based on improvement values only as collected by appraisers with the County of Santa Cruz Assessor’s Office. They don’t reflect sale value or replacement value. If a parcel intersected a hazard, the entire improvement value of that parcel was used.

**Population**

Census population blocks were reduced to center points. If a hazard intersected a center point, that population was counted.

**12.3.6 Assessing Vulnerability: Analyzing Development Trends**

**3.6 Assessing Vulnerability: Analyzing Development Trends – Requirement §201.6(c)(2)(ii)(C):** The plan **should** describe vulnerability in terms of providing a general description of land uses and development trends within the community so that mitigation options can be considered in future land use decisions.

**A. Description of Land-Uses and Development Trends**

The County of Santa Cruz has a number of compact urban communities as well as extensive areas of agricultural land and forested hillsides. A number of rural villages and towns are located throughout the County. As dictated by the 1978 Growth Management Ordinance, most new development has occurred within or adjacent to the urban services line (i.e., the boundary point for such infrastructure as water and sewage service). As with most communities, increased housing costs have resulted in the need to provide higher density housing. In Santa Cruz County, all development of this type occurs where urban services are available. Other development is mostly infill or reuse development, and development of existing rural residential properties.

Since expansive soils exist both within and outside of the urban services line, mitigation of expansive soils must be looked at on a countywide basis with a focus on the areas of known expansive soils.

No changes in these development regulation or patterns occurred that would affect the County’s overall vulnerability since the previous plan was adopted in 2010. While the County does not track the number of residential and commercial structures that have been built in areas of expansive soil since the last LHMP was adopted in 2010, it is a subset of the overall number of new structures built in the unincorporated portion of the County.

The County has adopted growth management policies and ordinances that limit growth. According to annual Growth Management Reports, there have been no more than 490 new residential structures built in the County since 2010 broken down by year as follows:

Residential Building Permit Issued				
Year	Market Rate	Affordable	Second Units	Total
2011	35	89	17	141
2012	54	64	20	138
2013	43	4	28	75
2014	60	2	20	82
2015 (Through November 1, 2015)	26	1	27	54
Total	218	160	112	490

As stated above, growth management policies prevent new development from occurring where hazards are present. Development on existing lots of record is required to avoid hazards and incorporate appropriate foundation designs and other requirements to mitigate potential impacts from expansive soils. The Environmental Planning Section of the Planning Department, staffed by Resource Planners, specialize in reviewing each application for new residential and commercial structures to ensure that new development does not occur in hazard zones and that development on existing lots of record avoid, minimize and mitigate potential impacts from identified expansive soil hazards.

#### 12.4.0 Mitigation Strategy

**4.0 Mitigation Strategy: Requirement §201.6(c)(3):** The plan shall include a mitigation strategy that provides the jurisdiction’s blueprint for reducing the potential losses identified in the risk assessment, based on existing authorities, policies, programs and resources, and its ability to expand on and improve these existing tools.

Mitigation strategy includes:

§ Continuation of review of building permit applications to require identification and mitigation of expansive soils as required per the 2007 California Building Code.

§ Pursue an effective public information program and continuing collaborative efforts with the cities, agencies and community organizations to facilitate collaborative efforts in providing expansive soil mapping, information, and education.

An assessment of this mitigation strategy as part of this 5-year plan update indicates the strategy is effective and remains relevant for reducing potential losses identified in the risk assessment. The expansive soil risk has not changed since the previous plan was adopted. No adjustments are needed to address a change in circumstances. There have been no expansive soil related disasters during the five-year update period.

## 12.4.1 Mitigation Goals

**4.1 Local Hazard Mitigation Goals – Requirement §201.6(c)(3)(i):** The hazard mitigation strategy **shall** include a description of mitigation goals to reduce or avoid long-term vulnerabilities to the identified hazards.

The County of Santa Cruz has developed several expansive soils mitigation goals to decrease the problems associated with expansive soils.

### Expansive Soils 1 – Education and Awareness

Train building plan check staff on expansive soils. Provide public information and education/awareness to all residents of the County concerning expansive soil areas and mitigation efforts.

### Expansive Soils 2 – Preventative and Implementation

Develop and implement activities to protect properties and infrastructure.

### Expansive Soils 3 – Funding And Partnerships

Seek partnerships in funding and resources for future mitigation efforts.

## 12.4.2 Identification and Analysis of Mitigation Actions

**4.2 Identification and Analysis of Mitigation Actions – Requirement §201.6(c)(3)(ii):** The mitigation strategy **shall** include a section that identifies and analyzes a comprehensive range of specific mitigation actions and projects being considered to reduce the effects of each hazard, with particular emphasis on new and existing buildings and infrastructure.

### Expansive Soils Mitigation Actions

The County participates in a number of ongoing mitigation actions to avoid or reduce the effects of expansive soils. These measures are listed under the Part 4 Mitigation Strategy. Actions include:

- § Continue to require soils reports as part of the building permit process (B-13)
- § Develop design criteria for expansive soils properties (C-21)

### 2015 Progress Report

The integration of the plan into existing planning mechanisms and the implementation of mitigation actions demonstrate progress in risk reduction. An explanation of how the mitigation plan for expansive soil hazards has been implemented over the last 5 years is included in Appendix L and described below for each Mitigation Action related to expansive soil hazard reduction.



- Planning staff continues to require soils reports as part of the building permit process. (B-13)
- The permit review process uses design criteria based on the State building code and local amendments. (C-21)

By using these planning mechanism to avoid, minimize, and mitigate the hazards from expansive soils, the County has demonstrated progress in reducing the risk from landslide hazards. Further explanation of how the previous mitigation plan has been implemented over the last 5 years is included in Appendix L. The worksheets in Appendix L also describe how the current mitigation strategy, including the goals and hazard mitigation actions, will be implemented over the next 5 years. The projects described in Mitigation Actions B-13 and C-21 are still relevant and will continue to be implemented over the next five years.

## CHAPTER 13 — CLIMATE CHANGE

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### 12.3.0 Climate Change Risk Assessment

#### 12.3.1 Identifying Climate Change Hazards

**3.1 Identifying Hazards – Requirement §201.6(c)(2)(I):** The risk assessment **shall** include a description of the type of all natural hazards that can affect the jurisdiction.

Because climate change will continue to occur regardless of efforts to reduce GHG emissions, it is necessary to prepare for a range of possible effects. The range of possible hazards as a result of climate change includes:

- Sea Level Rise
- Flooding
- Extreme Storm Events
- Coastal Storm Damage, Bluff Erosion, Beach Loss and Landslides
- Changes in Temperatures
- Increase in Wildland Fires
- Impacts to Water Supply
- Impacts to Public Health

It is important to note that many of the hazards we may experience will not be new situations created by previously unknown processes, but rather a worsening of hazards that the community has experienced in the past. For example, severe winter storms are experienced periodically in Santa Cruz County. The damage from flooding and coastal waves associated with severe winter storms may worsen as the climate changes due to higher sea levels exacerbating wave damage, coastal erosion, and coastal flooding.

#### 12.3.2 Profiling Climate Change Hazard Events

**3.2 Profiling Hazards – Requirement §201.6(c)(2)(i):** The risk assessment **shall** include a description of the location and extent of all natural hazards that can affect the jurisdiction. The plan shall include information on previous occurrences of hazard events and on the probability of future hazard events.

#### Location and Extent

Climate change hazards are described in detail the County of Santa Cruz Climate Action Strategy, February 2013, and also briefly summarized below.

##### Sea Level Rise

Impacts from rising sea level will accelerate coastal erosion, increase the extent of coastal inundation, increase localized elevated groundwater levels, and magnify the impacts of extreme storm and wave events including El Niño events. A 2012 study prepared by the National Academy of Sciences projects that sea level will rise 1.6–11.8 inches (4–30 cm) by 2030 relative to 2000, 4.7–24.0 inches (12–61 cm) by 2050, and 16.5–65.7 inches (42–167 cm) by 2100 (National Research Council, 2012).

City of Sana Cruz Neary Lagoon Wastewater Treatment Plant: Groundwater level at the Neary

Lagoon Wastewater Treatment Facility is very high. The anticipated rise in groundwater due to sea level rise may adversely impact the facility by impacting storage tanks and associated infrastructure (City of Santa Cruz, 2011). A large underground pump gallery is also susceptible to groundwater impacts through infiltration of groundwater through electrical conduits and cracking walls (City of Santa Cruz 2011).

**Santa Cruz County Sanitation District Sewer Infrastructure:** Numerous pump stations and associated sanitary sewer infrastructure operated by the Santa Cruz County Sanitation District are situated in locations vulnerable to winter storm damage. It is expected that several of these facilities may be increasingly impacted as sea level rises and storms increase.

**Coastal Transportation Infrastructure:**

Roads at the top edge of coastal bluffs are vulnerable to damage because the rate of retreat of unprotected coastal bluffs is expected to increase in response to increased exposure to storm waves and intense rain events. Roads at low elevations at the back beach and the subsurface infrastructure within the roads are also particularly vulnerable to coastal erosion.

**Oceanfront Residential and Commercial Properties:** The projected rise in sea level would put most Santa Cruz County oceanfront properties at greater risk from either inundation and/or coastal flooding, or from increased bluff erosion. Unincorporated Santa Cruz County has approximately 29 miles of coastline. Approximately 3 miles of the most intensively developed coastline with primarily residential uses is located in the mid-county community of Live Oak. An additional 3 miles of vulnerable beaches with extensive coastal residential and commercial development occurs from Seacliff to Rio Del Mar.

## **Flooding**

Flooding and coastal storms present similar risks and are usually related types of hazards in the County of Santa Cruz. Coastal storms can cause increases in tidal elevations (called storm surge), wind speed, coastal erosion, and debris flows, as well as flooding.

As a result of climate change, seasonal precipitation patterns, including timing, intensity, and form of precipitation, are projected to shift. A recent study conducted by the U.S. Geological Survey projects that there will be a shift in peak precipitation from January to February, with less precipitation occurring in the fall (November-December) and spring (March-April) by 2100. The U.S. Geological Survey (USGS) also concluded that while the amount of annual precipitation is not expected to substantially change as a result of climate change, precipitation will be concentrated in mid-winter. As a result, flooding is a growing threat that deserves careful attention as one of the more hazardous impacts of climate change.

As intense rainfall events and flooding increase, extreme runoff periods will also become more common. However, infiltration is not expected to overwhelm sewers and centralized sewage treatment infrastructure, because extensive improvements to raise treatment capacity at the Neary Lagoon Wastewater Treatment Plant have been completed (City of Santa Cruz, 2011).

## **Extreme Storm Events**

Some models predict that extreme storm events will become more common and that heightened sea level will persist longer as sea level rises, increasing the potential for damage.

### **Coastal Storm Damage, Bluff Erosion, Beach Loss and Landslides**

An increase in future coastal storm frequency and/or intensity will increase cliff retreat rates as well as cause potential damage to oceanfront property or public infrastructure. Practically speaking, the entire coast of California has been retreating or eroding for the past 18,000 years. There is an important distinction, however, between the erosion or retreat of coastal cliffs or bluffs, which is an irreversible process, and the seasonal or longer term erosion of the beaches, which can be recoverable. Thus, even as the coastline continues to retreat landward, beaches will be present as long as the supply of sand to the shoreline is maintained.

An anticipated increase in precipitation during midwinter months (December and January) may lead to increased impact to roadways and residences from flooding and landslides

### **Changing Temperatures**

Increased greenhouse gases in the atmosphere raises temperatures and alters seasonal temperature patterns. Effects can include changes in average temperature, the timing of seasons, and the degree of cooling that occurs in the evening. In addition to new seasonal temperature patterns, extreme events such as heat waves are projected to occur more frequently and/or last for longer periods of time.

### **Increase in Wildland Fires**

Climate change is expected to result in a low to moderate risk of increases in fire frequency, size, and severity beyond the historic range of natural wildfire variability due to increasing length of the fire season, drier fuels, and decreasing forest health. These changes are being driven by alterations in temperature and precipitation regimes to a warmer and drier condition.

### **Impacts to Water Supply**

The effects of climate change on water supplies will have impacts on agriculture, recreation and tourism, and the economy overall as well as on natural ecosystems. Water supply in Santa Cruz County is provided by a number of independent water agencies. Almost all of the jurisdictions are experiencing some kind of water supply shortfall from overdraft of the groundwater basin, inadequate supply during a drought, or inadequate facilities to meet current demands.

### **Impacts to Public Health**

In Santa Cruz County the predicted health effects of climate change include increased incidence of emerging diseases and vector-borne disease if ecological changes lead to migration of insect and animal disease vectors, and physical and mental health impacts associated with severe weather events, such as flooding, when they cause population dislocation and infrastructure loss. Though extreme heat may be moderated in our coastal location, inland areas of the County can experience much higher temperatures. An increase in temperature can exacerbate existing respiratory disease, cardiovascular disease and stroke. Wildfires are also expected to increase in frequency and severity as drought takes hold, which may cause respiratory distress, exacerbation of existing disease, physical and mental dislocation, as well as some number of direct fatalities.

## **Previous Occurrences**

Climate change is currently affecting California and Santa Cruz County, where sea level has risen by as much as seven inches along the coast over the last century, increasing pressure on the state's and county's infrastructure, water supplies, and natural resources. The state and county have seen increased average temperatures, more extreme hot days, fewer cold nights, shifts in the water cycle with less winter precipitation falling as snow, and snowmelt running off sooner in the year (California Natural Resources Agency, 2009). These are only some of the changes that have occurred.

## **Probability of Future Events**

There is consensus among the world's leading climate change scientists that human-generated emissions of heat-trapping greenhouse gases (GHGs) are the primary cause of the warming trend. Projections indicate that atmospheric concentrations of GHGs will continue to increase throughout this century. Data describing atmospheric GHG concentrations over the past 800,000 years demonstrates that concentrations of carbon dioxide (CO<sub>2</sub>), the primary anthropogenic GHG, have increased substantially since pre-industrial times, from approximately 280 parts per million (ppm) prior to the industrial revolution in the mid 1800's to approximately 353 ppm in 1990 and approximately 379 ppm in 2005 (California Natural Resources Agency, 2009).

In 2000, the Intergovernmental Panel on Climate Change (IPCC, 2000) described potential global emission scenarios for the coming century. The scenarios vary from a best-case, characterized by low population growth, clean technologies, and low GHG emissions; to a worst-case, wherein high population and fossil-fuel dependence result in extreme levels of GHG emissions. While some degree of climate change is inevitable, most climate scientists agree that in order to avoid dangerous climate change, atmospheric GHG concentrations must be stabilized at 350-400 ppm (California Natural Resources Agency 2009).

Our natural, economic, and cultural systems are closely tied to the climate. Significant changes in the climate will impact the way people live: the food we grow, our health and safety, the availability of water, our economy, wildlife and vegetation, and many other aspects of our lives. Preparation of a Climate Action Strategy (CAS) is an opportunity for the community to review the local activities that contribute to GHG emissions, to consider changes we can make to decrease our local contribution to climate change, and to plan the community response to the local impacts that will occur as climate change progresses.

### 12.3.3 Assessing Climate Change Vulnerability: Overview

**3.3 Assessing Vulnerability: Overview – Requirement §201.6(c)(2)(ii):** The risk assessment shall include a description of the jurisdiction’s vulnerability to the hazards described in paragraph (c)(2)(i) of this section. This description shall include an overall summary of each hazard and its impact on the community.

#### A. Overall Summary of Vulnerability to Climate Change

Adaptation to climate change is fundamentally a risk management strategy, or an insurance policy against an uncertain future. Risk is a combination of the likelihood of any of the previously described climate related events occurring in the future, and the magnitude of the potential consequences. Some processes or events, several years of drought, for example, have occurred often in the past and have a very high probability of occurring in the future, probably more frequently. The consequences of a prolonged drought can be very significant. The product of the probability and consequences of drought and the associated water shortages, therefore, produce a very high risk rating, over both the short and long-term.

Processes such as floods and droughts reflect climate variations or fluctuations. The County has adequate records for these types of events, simply because the County has experienced these types of events many times throughout its history. As a result, there is a high degree of certainty that both floods and droughts will occur in the future. The uncertainty lies in how much more frequent and how much more severe these events will be in the future as a result of changing climate.

There are other events related to climate change, those related to sea level rise for example (inundation of low lying coastal areas, a rise in the water table beneath Rio Del Mar Esplanade/Flats), where the future unknowns are higher, simply because of the lack of certainty about future greenhouse gas emissions and how they will influence climate and sea level rise. Despite the uncertainties, it is possible to make some judgment as to the relative level of risk that each of these poses to the County based on some range of future projections. Based on the trends of the past century and the various climate models that have been developed, the risks from each of these climate-related events will almost certainly increase in the future.

Over the next 40 years (between 2010 and 2050), it is expected that the highest risks to the County of Santa Cruz will come from:

- Potential water shortages due to the combination of increasing temperatures, changes in precipitation patterns increasing climatic water deficit, increased salt water intrusion, decreased groundwater recharge, and higher demand. This has a very high probability of occurrence and also significant (high) consequences.
- Rising water table beneath the Rio Del Mar Esplanade is already an issue. As sea level continues to rise, the present problems will be exacerbated. The consequence of a continuing water table rise on commercial and residential structures and infrastructure, including the wastewater pump station is high, and the likelihood of this taking place in the immediate future is high.
- Potential increase in future coastal storm frequency and/or intensity will increase cliff retreat rates as well as cause potential damage to oceanfront property or public infrastructure. The coastlines of northern California, Oregon and Washington have experienced increasingly intense winter storms and greater wave heights over the last

25 years, both of which may be leading to more severe winter erosion (Allan and Komar, 2000). The consequence of coastal bluff erosion is high due to the extent of high-value public and private improvements (infrastructure, structures, etc.).

- Flooding in Santa Cruz County has occurred in each of the primary drainages and will continue to occur in the future given certain sets of meteorological conditions. Previous occurrences are well documented for all primary drainages with the exception of Aptos Creek, which is not gauged. In addition, low-lying areas such as Rio Del Mar Esplanade/Flats will experience more frequent flooding and inundation from sea level rise and increased wave heights. As a result, the consequence would be high in terms of structural and economic loss, with the probability of such an event occurring also being high.
- Groundwater extraction rates from the Pajaro River Valley groundwater basin have exceeded sustainable pumping rates for decades, causing groundwater levels to drop significantly, resulting in saltwater intrusion and rendering some coastal groundwater wells unsuitable for use. With the rise in sea level in the coming decades, saltwater intrusion will be exacerbated. The probability of saltwater intrusion is high due to the current groundwater overdraft situation in the Pajaro Valley, and the consequence of this occurring is high due to the economic effects of following large expanses of farmland to reduce groundwater pumping. However, efforts are being developed to reduce groundwater pumping and to stop saltwater intrusion. The success of these efforts will be challenged by the additional effects of climate change.
- Many of the wells located within the boundaries of the Soquel Creek Water District are also threatened with saltwater intrusion. A reduction in groundwater pumping will be necessary to meet the protective and target water levels necessary to avoid saltwater intrusion into the wells.
- Heat waves in Santa Cruz County are likely to become more frequent in the future due to climate change; however, due to the marine climate, temperature increases would be moderate. As a result, the consequence would be low while the probability of such an event occurring is high.
- Climate change is expected to result in additional risk of increased fire frequency, size, and severity beyond the historic range of natural wildfire variability due to increasing length of the fire season, drier fuels, and decreasing forest health. These changes are being driven by alterations in temperature and precipitation regimes (generally, warmer and drier). As a result, the consequence would be high while the probability of such an event occurring is low.
- Over the intermediate- to long-term, 2050-2100, in addition to water shortages and a rise in the water table, it is expected that other climate change related events would increase to high and very high levels of risk within the County:
- Potential water shortages, as described for the period 2010-2050, shift from a high probability of occurrence to a very high probability of occurrence as climate change progresses.
- Even though many of the areas of highest vulnerability have already been armored with riprap or seawalls, coastal cliff erosion continues to take place. The value of property and infrastructure in this area is very high, and in the long-term, with a rising sea level and increased winter wave attack, this risk is expected to increase to a very high level.

- Rise in the water table beneath the Rio Del Mar Esplanade as described for the period 2010-2050 shifts from a high probability of occurrence to a very high probability of occurrence as sea level rise progresses.
- Shoreline inundation would affect a number of developed areas along the County shoreline, particularly at the maximum projected sea level values for 2050-2100. The potential for flooding of the Rio Del Mar Esplanade and Beach Drive, for example, has a very high probability of occurring with a high consequence if it were to happen. If winter precipitation increases in the longer-term future, although it is not clear from the models that have been run to date that this will occur, the probability will increase, raising the risk of flooding.
- Flooding, as described for the period 2010-2050, shifts from a high probability of occurrence to a very high probability of occurrence as climate change progresses
- Salt water intrusion of groundwater as described for the period 2010-2050 would continue as sea level rise progresses. The probability of saltwater intrusion increases to very high, and the consequence is very high due to the economic effects of following large expanses of farmland to reduce groundwater pumping. Efforts are underway to reduce groundwater pumping to stop saltwater intrusion; however, the success of these efforts will be challenged by the additional effects of climate change.
- Heat waves as described for the period 2010-2050 shift from a high probability of occurrence to a very high probability of occurrence as climate change progresses.
- Climate change is expected to continue to contribute to increased wildfires as described for the period 2010-2050 with the probability of occurrence shifting from low to moderate as climate change progresses.

### 12.3.4 Assessing Climate Change Vulnerability: Identifying Structures

**3.4 Assessing Vulnerability: Identifying Structures – Requirement §201.6(c)(2)(ii)(A):** The plan **should** describe vulnerability in terms of the types and numbers of existing and future buildings, infrastructure, and critical facilities located in the identified hazard area.

#### A. Types and Numbers of Existing Buildings, Facilities and Infrastructure

Due to the nature and extent of the potential hazards due to climate change it is not possible to accurately assess the types and numbers of existing and future buildings, infrastructure, and critical facilities located in the the County that may be vulnerable to multiple climate change related hazards.

### 12.3.5 Assessing Vulnerability: Estimating Potential Losses

**3.5 Assessing Vulnerability: Estimating Potential Losses – Requirement §201.6(c)(2)(ii)(B):** The plan **should** describe vulnerability in terms of an estimate of the potential dollar losses to vulnerable structures identified in paragraph (c)(2)(i)(A) of this section and a description of the methodology used to prepare the estimate.

#### Potential Dollar Losses to Vulnerable Structures

Potential dollar losses were not estimated.



### 12.3.6 Assessing Vulnerability: Analyzing Development Trends

**3.6 Assessing Vulnerability: Analyzing Development Trends – Requirement §201.6(c)(2)(ii)(C):** The plan **should** describe vulnerability in terms of providing a general description of land uses and development trends within the community so that mitigation options can be considered in future land use decisions.

#### A. Description of Land-Uses and Development Trends

The County of Santa Cruz has a number of compact urban communities as well as extensive areas of agricultural land and forested hillsides. A number of rural villages and towns are located throughout the County. As dictated by the 1978 Growth Management Ordinance, most new development has occurred within or adjacent to the urban services line (i.e., the boundary point for such infrastructure as water and sewage service). As with most communities, increased housing costs have resulted in the need to provide higher density housing. In Santa Cruz County, all development of this type occurs where urban services are available. Other development is mostly infill or reuse development, and development of existing rural residential properties.

According to annual Growth Management Reports, there have been no more than 490 new residential units built in the County since 2010 broken down by year as follows:

Residential Building Permit Issued				
Year	Market Rate	Affordable	Second Units	Total
2011	35	89	17	141
2012	54	64	20	138
2013	43	4	28	75
2014	60	2	20	82
2015 (Through November 1, 2015)	26	1	27	54
<b>Total</b>	<b>218</b>	<b>160</b>	<b>112</b>	<b>490</b>

## 12.4.0 Mitigation Strategy

**4.0 Mitigation Strategy: Requirement §201.6(c)(3):** The plan **shall** include a mitigation strategy that provides the jurisdiction's blueprint for reducing the potential losses identified in the risk assessment, based on existing authorities, policies, programs and resources, and its ability to expand on and improve these existing tools.

Adaptation efforts in Santa Cruz County by government and other organizations already exist in the form of emergency preparedness plans, public health programs, water supply contingency plans, flood regulations, sustainable agriculture efforts, and land protection programs. Additional research and planning should build on these existing efforts and amend them to address climate change directly.

### 12.4.1 Mitigation Goals

**4.1 Local Hazard Mitigation Goals – Requirement §201.6(c)(3)(i):** The hazard mitigation strategy **shall** include a description of mitigation goals to reduce or avoid long-term vulnerabilities to the identified hazards.

The following goals have been proposed in an effort to guide development of more specific adaptation strategies that would reduce our vulnerability to climate change.

- Encourage and support actions that reduce risks and vulnerabilities now, while recognizing the importance of identifying, making decisions about, and preparing for impacts and risks that may develop in the future.
- Support the reduction of risks from other environmental hazards, noting the strong interrelationships and benefits between reducing risk from climate change, non-climate change-related disasters, and most other environmental hazards.
- Build resilience into all programs, policies and infrastructure.
- Encourage climate change resilience planning and actions in private companies, institutions, and systems essential to a functioning County of Santa Cruz.
- Encourage community involvement and public-private partnerships to respond to potential climate impacts, particularly for those most vulnerable.
- Ensure that the County of Santa Cruz remains a safe, healthy and attractive place with a high quality of life for its residents, businesses and visitors.

### 12.4.2 Identification and Analysis of Mitigation Actions

**4.2 Identification and Analysis of Mitigation Actions – Requirement §201.6(c)(3)(ii):** The mitigation strategy **shall** include a section that identifies and analyzes a comprehensive range of specific mitigation actions and projects being considered to reduce the effects of each hazard, with particular emphasis on new and existing buildings and infrastructure.

Mitigation actions for each of the vulnerabilities and impacts that have been recognized and evaluated are located throughout the LHMP. Sections on Wildfire, Floods and Coastal Storms, Drought, Tsunami, and Landslide all contain mitigation actions that address some of the hazards identified in this section on Climate Change. Additional recommended actions are

summarize below.

- Develop a forum for ongoing engagement with coastal private property owners and the California Coastal Commission to discuss frameworks for land use policies that respond to expected future losses
- Consider relocating coastal development away from areas that will be inundated to eliminate the risk of damage and the need for coastal protection
- Consider limiting new engineered protection structures to infill in locations where the back beach is currently fixed
- Consider a program to identify those areas where managed retreat should replace engineered protection structures, based on public benefit
- Work with the engineering community to define a standard increment of additional height that should be added to the FEMA 100 year wave run up, storm surge, and flood levels when analyzing hazards in specific locations
- In consultation with the California Coastal Commission, consider revising regulations that address rebuilding structures that are repeatedly damaged by SLR and coastal storms
- Amend the Safety Element of the General Plan and revise implementing regulations to increase the efficacy of the damage prevention and flood protection aspects of the National Flood Insurance Program
- Work with the County Office of Emergency Services to refine FEMA flood hazard mapping to account for climate change, as maps are the basis for evacuation notification in the event of anticipated flooding and/or a tsunami
- Consider evaluating unprotected developed coastal bluff areas subject to future erosion, and develop plans and timeline for either armor placement, or retreat and relocation of existing public structures and/or infrastructure
- Consider designing and siting all future County projects and infrastructure to account for sea level rise projections, considering projected life span of project
- Consider securing federal grant funding for the following drainage improvements within the Rio Del Mar Esplanade necessary to protect against a 10-year storm
- Continue to improve wastewater collection system to reduce infiltration by groundwater or surface water
- Consider coordinating with the City of Santa Cruz on programs to minimize vulnerabilities at the Neary Lagoon plant

A progress report is not provided on these mitigation actions because they are newly added to this LHMP update. The next 5-year update will include a progress report, including an analysis of any changes in development patterns, priorities, and an analysis of implementation of mitigation actions, .

## CHAPTER 13 — MULTI-HAZARD SUMMARY

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Any of the hazards that threaten Santa Cruz County could happen in combination with another hazard. In fact, there is a high likelihood that a major earthquake on the San Andreas or other faults would unleash secondary hazards that could be as disastrous to Santa Cruz as the earthquake itself. A reference point for the Bay Area is the devastating fire in 1906 that burned down San Francisco, causing significantly more destruction than the earthquake that sparked it.

Earthquake shaking can start fires in numerous ways, such as tipping over appliances with pilot lights or damaging electrical equipment leading to sparks. Ruptured gas lines, both underground and where they connect to houses, or spilled flammable chemicals can cause post-earthquake fires to spread quickly. Efforts to fight fires after an earthquake are often severely hampered by non-functional water systems, damaged electrical systems that are needed to provide energy to pump water, or roads blocked by debris or landslides. These problems coincide with fire personnel being required for search and rescue activities and other disaster response activities.

Santa Cruz County may also experience landslides during the next earthquake, particularly if the earthquake occurs during rainy winter months. Small aftershocks could continue to cause slides for weeks after a quake, blocking roads and damaging homes. In addition, the next earthquake may cause significant damage to the county's water supply (some of which is located in a mountainous slide prone area) and storm drain systems.

Although the risk is very low, an earthquake has the potential to cause dam failure. Breaks in the dams, levees and stream culverts could lead to catastrophic flooding in areas that have not seen floodwaters previously.

Drought increases the risk of wildfires, and wildfires increase the risk of landslide and flood. When all supporting vegetation is burned away, hills become destabilized and prone to erosion. The charred surface of the earth becomes hard and absorbs less water during rainfall, leading to increased runoff resulting in more rapid coastal erosion.

Many mitigation activities reduce risk from more than one hazard. However, there are some mitigation activities that reduce risk from one possible threat while increasing it from another. One example is placing utility lines underground. Underground utilities are less damaged by a major fire than those aboveground. In an earthquake, under-ground utilities in areas prone to landslides or liquefaction are susceptible to damage and are more costly and time-consuming to repair than aboveground utilities. Another example of a mitigation activity with positive and negative impacts is vegetation removal for wildfire risk reduction. Trees and other established plants play a key role in securing hillsides and reducing landslide risk. They also reduce erosion and slow rain runoff time, which reduces flood peaks. It is important to remember all of the implications of any risk reduction steps when planning mitigation activities.

**PART 4 – MITIGATION STRATEGY**

Mitigation Strategy

- § Goals
- § Objectives
- § Actions

## CHAPTER 14 — MITIGATION STRATEGY

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### 14.4.0 MITIGATION STRATEGY

**4.0 Mitigation Strategy: Requirement §201.6(c)(3):** The plan **shall** include a mitigation strategy that provides the jurisdiction’s blueprint for reducing the potential losses identified in the risk assessment, based on existing authorities, policies, programs and resources, and its ability to expand on and improve these existing tools.

The County of Santa Cruz endeavors to be a disaster-resistant community that can survive and recover from a disaster while preserving the diversity and quality of its natural and built environments. The community strives to offer excellent cultural and community services as well as maintain and improve infrastructure, community safety and emergency preparedness. This Local Hazard Mitigation Plan (LHMP) is a part of this effort.

The County of Santa Cruz has developed a range of policies and programs to act as a “blueprint” for the Hazard Mitigation Strategy. Strategies include “everyday operations” that also contribute to reducing the impact of future hazards as well as specific hazard mitigation projects. While County efforts are focused on evaluation and improvement of County owned structures, particularly those identified as critical facilities, the plan also encourages the establishment of standards to encourage private property owners to upgrade the hazard resistance of their own properties. Finally, the County is actively engaged with other local and regional organizations to collaboratively work towards mitigation actions that meet the County of Santa Cruz’s objective of being a disaster resistant community while striving to preserve the quality of its natural and built environments.

This plan focuses on mitigation goals and actions, meaning activities that occur prior to a hazard event that reduce or avoid damage when disasters strike. Damage prevention includes structural improvements to existing buildings, land use decisions that will minimize damage and ongoing programs such as vegetation reduction in wildland/urban interface areas. This plan does not include emergency response activities. The County of Santa Cruz has an Emergency Management Plan (EMP) to help coordinate information and resources for disasters or threat of disasters. As a part of the EMP annual training, critical information updates and drills are conducted to protect people and property. However, the EMP does inform this Hazard Mitigation Plan and can be accessed at <http://sccounty01.co.santa-cruz.ca.us/oes/plans.htm>.

### Goals

**Goals** are general guidelines that explain what is to be achieved. They are broad-based, policy-type statements, which are long-term, and represent global visions. Goals help define the benefits that the plan is trying to achieve. The success of the LHMP, once implemented, should be measured by the degree to which its goals have been met.

The County of Santa Cruz LHMP team held several meetings to review the identified risks and developed goals, objectives and actions based on the risk assessment. Goals which provided the greatest benefit in hazard reduction were identified as primary goals.

Additional goals, specifically related to each identified potential hazard are presented under each hazard heading.

The Local Hazard Mitigation Plan has four primary mitigation goals:

1. Avoid or reduce the potential for life loss, injury and economic damage to Santa Cruz residents from hazard events;
2. Increase the ability of the county government to serve the community during and after hazard events;
3. Protect the unique character, scenic beauty and values in the natural and built environment from being compromised by hazard events;
4. Encourage mitigation activities to increase the disaster resilience of institutions, private companies and systems essential to a functioning County of Santa Cruz.

## **Objectives**

The LHMP team selected the objectives listed below to meet multiple goals. The objectives serve as a stand-alone measurement of a mitigation action rather than as a subset of a goal. Achievement of the objectives is a measure of the effectiveness of a mitigation strategy. The objectives are also used to help establish priorities.

**Objectives** are defined as short-term aims which, when combined, form a course of action to meet a goal. Unlike goals, objectives are specific and measurable.

The County of Santa Cruz Local Hazard Mitigation Team identified this list of objectives:

1. Consider the impacts of hazards on future land use decisions in the County of Santa Cruz by coordinating with other planning mechanisms including the General Plan and land use code developments.
2. Protect and sustain reliable local emergency operations and communication facilities during and after a disaster.
3. Develop new or enhance existing early warning response systems.
4. Seek to enhance emergency response capabilities through improvements to infrastructure and County programs.
5. Seek mitigation projects that provide the highest degree of hazard protection at the least cost.
6. Seek to update information on hazards, vulnerabilities, and mitigation measures by coordinating planning efforts and creating partnerships with appropriate local, state and federal agencies.
7. Seek to implement codes, standards, and policies that will protect life, property and quality of life including environmental, historic and cultural resources from the impacts of hazards within the County of Santa Cruz.
8. Educate the community on preparedness for and mitigation of potential impacts of hazards to the County of Santa Cruz.
9. Encourage retrofit, purchase, or relocation of structures in high hazard areas, including those known to be repetitively damaged.
- 10.
- 11.

### **14.4.2 Identification and Analysis of Mitigation Actions**

#### **Identification of Actions**

The Local Hazard Mitigation Goals were enumerated above. In support of those goals, the County of Santa Cruz has identified a number of hazard mitigation actions. This set of actions was developed through an inclusive community process. The LHMP team, with input from the County of Santa Cruz General Plan Update, the Emergency Management Plan, the Capital Improvement Program, the 2005 Urban Water Management Plan and the Santa Cruz Integrated Water Plan as well as other agencies and community members, has selected the following actions as the most beneficial for the County of Santa Cruz. These actions represent the highest priority mitigation actions identified for each hazard or for a multi-hazard event.

These mitigation actions have proven effective in reducing or eliminating hazard risk. Each of these actions directly meets an objective or goal listed in the County of Santa Cruz Hazard Mitigation Strategy. These actions are not meant to be exhaustive but rather to inspire thought and provide each department of the County of Santa Cruz with a role in hazard mitigation and a baseline of actions backed by a planning process, consistent with the goals and objectives and within the capabilities of the County. The County departments were not bound to the list of alternatives presented. They were given the opportunity to edit the list. Actions not included in the action plan were eliminated based on the following:

- Action is currently outside the scope of the defined priority rankings
- County's jurisdiction is not vulnerable to the hazard
- Action has already been implemented
- Estimated cost exceeded estimated benefit

## **Prioritization of Actions**

This Plan promotes 49 action items. The list below summarizes all of the actions, identifies the hazard(s) each one addresses, and indicates the assigned priority level of the action. The actions were prioritized in the same way that they were identified. The team leaders proposed an initial prioritization system, dividing the actions into categories of **Very High Priority**, **High Priority**, and **Important**. County staff, committee and community members were given an opportunity to review these categorizations.

Many factors were considered when assigning priorities. First, only those actions with strong community support were given Very High or High priority ratings. Second, those hazards presenting the highest risk to Santa Cruz County were given priority. The loss estimates presented in section five of this Plan show that earthquakes, floods and tsunamis have the most potential to cause great economic and human losses. Water is essential to the survival of the County so drought and threats to the water system were also ranked as High or very High Priority. Finally, availability of funding (identified in the Capital Improvement Program or other source) was a determining factor in priority determination.

Section 201.c.3.iii of Title 44 of the *Code of Federal Regulations* requires that an action plan describe how actions identified were prioritized. The planning team has developed a prioritization methodology for the action plan that meets the needs of the County while at the same time meeting the requirements of Section 201.6 of Title 44 of the *Code of Federal Regulations*. The mitigation strategies identified were prioritized according to the criteria defined below.

### **Very High Priority**

- § A project that meets multiple plan objectives
- § Benefits exceed cost



- § Has strong community support
- § Addresses those hazards presenting the highest risk
- § Funds are identified or potentially available
- § Project can be completed in one to five years once project is funded.

### High Priority

- § Project meets at least one plan objective
- § Benefits exceed costs
- § Funding has not been secured
- § Project can be completed in one to five years once project is funded

### Important

- § Project mitigates the risk of a hazard
- § Benefits exceed costs
- § Funding has not been identified and/or timeline for completion is considered long-term (five to ten years)

A formal cost benefit analysis has not been done. However, in reviewing the mitigation actions proposed, the costs and benefits of each action were considered under the following rating :

### Cost Ratings

- High: Existing funding levels are not adequate to cover the costs of the proposed project and would require an increase in revenue through an alternative source (e.g. bonds, grants, and fee increases) to implement.
- Medium: The project could be implemented with existing funding but would require a re-apportionment of the budget or a budget amendment, or the cost of the project would have to be spread over multiple years.
- Low: The project could be funded under the existing budget. The project is part of or can be part of an existing, ongoing program.

### Benefit Ratings

- High: Project will have an immediate impact on the reduction of risk exposure to life and property.
- Medium: Project will have a long-term impact on the reduction of risk exposure to life and property or project will provide an immediate reduction in the risk exposure to property.
- Low: Long-term benefits of the project are difficult to quantify in the short-term.

In recent years, and in response to the 1989 Loma Prieta earthquake, the County of Santa Cruz has made significant progress through efforts to reduce risk in public buildings, fire stations, major municipal facilities and public schools. This plan will continue these efforts and expand them throughout the community. These efforts will protect future generations from the devastation of natural hazards experienced by the residents of Santa Cruz County in the past.

The County will pursue the implementation of these actions to meet the goals set out above. The Very High and High priority actions will be conducted actively over the next three to five years as funding becomes available.

As part of the plan update the priorities set forth below are still the priorities of the plan. This is discussed in more detail in the '2015 Progress Report' section at the end of each hazard chapter. Climate change Mitigation Actions have been added in this update and prioritized as Important Actions.

### Very High Priority

A= Very High Priority Action

#	Action	Hazard	Responsible Department	Timeline
A-1	Coordinate preparedness efforts with other agencies and cities within the county	All Hazards	OES	Ongoing
A-2	Continue to enforce flood plain management regulations on all permit applications	Flood	Planning Dept.	Ongoing
A-3	Continue to participate in the Community Rating system to improve floodplain management	Flood	Planning Dept.	Ongoing
A-4	Evaluate the effectiveness of current policies and ordinances designed to limit storm water runoff and recommend revisions to improve the effectiveness of these policies.	Flood	Planning Dept.	Ongoing
A-5	Evaluate the effectiveness of current drainage plan requirements	Flood	Planning Dept. Dept. of Public Works	Ongoing
A-6	Implement the "Stormwater Facilities Master Plan" for Flood control Districts 5 & 6	Flood	Dept. of Public Works	Ongoing
A-7	Implement water conservation efforts to maximize the use of existing water resources	Drought	Environmental Health	Ongoing

A-8	Support the development of additional water supplies	Drought	Environmental Health	Ongoing
A-9	Promote more effective use of groundwater storage through increased groundwater recharge and conjunctive use among agencies	Drought	Environmental Health	Ongoing
A-10	Continue to coordinate communication system upgrades with other agencies and cities, including evacuation operations, for homes and businesses within specific areas	Multi-hazards	OES	Ongoing
A-11	Update dam inundation maps	Dam failure	Planning	2015-2020
A-12	Continue to maintain cooperative fire protection and prevention agreements with other agencies	Wildfires	CalFire	Ongoing
A-13	Improve road signs and address marking to increase visibility and reduce response times	Wildfires	CalFire	Ongoing
A-14	Enhance support for interoperability communications system with local, state and federal emergency services	All hazards	OES	Ongoing

## High Priority

B = High Priority Action

#	Action	Hazard	Responsible Department	Timeline
B-1	Upgrade roadways, sewer, water and other infrastructure to withstand seismic shaking	Earthquake	Dept. of Public Works	Ongoing

B-2	Review and revise California Environmental quality Act (CEQA) Initial Study checklist to ensure storm water runoff is fully mitigated	Flood	Planning	Ongoing
B-3	Reduce fire risk in urban/wildland interface (WUI) by advocating use of improved building materials and appropriate code enforcement, including defensible space and fuel reduction programs	Wildfire	CalFire	Ongoing
B-4	Maintain adequate Fire Suppression and Prevention staffing levels to meet the needs of the county residents and development trends	Wildfire	CalFire	Ongoing
B-5	Update and revise the Geologic Hazards Ordinance	Flood	Planning Dept.	2015-2020
B-6	Pursue elevation of structures to raise them above the 100-year flood level	Flood	Planning Dept.	Ongoing
B-7	Continue to enforce requirements for on-site retention of storm water runoff from impervious surfaces for all new development in the Groundwater Recharge Zone and the Water Supply Watershed Zone	Flood	Dept. of Public Works	Ongoing
B-8	Management of early warning system	Tsunami	OES.	Ongoing
B-9	Update tsunami maps	Tsunami	Planning	2015-2020
B-10	Protect and preserve the coastline through permit review and continue to review coastal development for conformance with the County's Geologic Hazards ordinance	Coastal Erosion	Planning Dept.	Ongoing

B-11	Encourage the replacement of existing seawalls with shoreline protection structures which meet current engineering practice	Coastal Erosion	Planning Dept.	Ongoing
B-12	Develop an event protocol with the State Division of Safety of Dams	Dam Failure	Planning Dept.	2015-2020
B-13	Continue to require soils reports as part of the building permit process	Expansive Soils	Planning Dept.	Ongoing

## Important

C= Important Action

#	Action	Hazard	Responsible Department	Timeline
C-1	Promote seismic safety upgrade of all emergency use and critical structures	Earthquake	Planning Dept.	Ongoing
C-2	Require all new and replacement critical structures be designed to standards of the California building Code and County Geologic Hazard Code	Earthquake	Planning Dept.	Ongoing
C-3	Train appropriate plan check staff on seismic requirements for structures	Earthquake	Planning Dept.	Ongoing
C-4	Encourage zoning in geologically constrained areas that reflect the nature and extent of the seismic hazard	Earthquake	Planning Dept.	Ongoing
C-5	Implement additional fire prevention programs through inspections and education	Wildfire	CalFire	Ongoing

C-6	Promotion of built-in fire extinguishing systems and fire alarm systems	Wildfire	CalFire	Ongoing
C-7	Promote land use planning which will reduce incidence of human caused wildfires especially in very high hazard areas	Wildfire	CalFire	Ongoing
C-8	Advocate for creation of secondary road access improvement	Wildfire	CalFire	Ongoing
C-9	Continue to inspect and maintain drainage system infrastructure	Flood	Public Works	Ongoing
C-10	Develop public education materials on flood protection and mitigation by working collaboratively with community groups, non-governmental organizations and the local media	Flood	Planning	2015-2020
C-11	Regulate development in flood zones to optimize preservation of open space through the application of the Geologic Hazards Ordinance and Open Space Preservation policies	Flood	Planning	Ongoing
C-12	Limit development and monitor conditions of development and grading permits near natural channels and wetlands to prevent sedimentation.	Flood	Planning and Dept. of Public Works	Ongoing
C-13	Promote drought planning by the 130 small water systems under county jurisdiction	Drought	Environmental Health	Ongoing
C-14	Encourage investigation of tsunami threat to the county and update development regulations based upon the best available information	Tsunami	Planning	Ongoing

C-15	Protect and preserve the coastline and infrastructure through restoration efforts	Coastal Erosion	Planning Dept. and Dept. of Public Works	Ongoing
C-16	Update dam inundation maps	Dam Failure	Planning Dept.	2015-2020
C-17	Review dam evaluation files to determine the extent of potential dam failures	Dam Failure	Planning Dept.	2015-2020
C-18	Continue to require that the County Geologist review development in areas of suspected landsliding and require engineering geology reports when landsliding is identified or suspected	Landslide	Planning Dept.	Ongoing
C-19	Continue to require that an engineering geologist and/or geotechnical engineer investigate the site of any proposed construction near landsliding and require mitigation of landslide hazards before issuing any building or grading permit	Landslide	Planning	Ongoing
C-20	Continue to require that an engineering geologist and a geotechnical engineer investigate any landslide damage to homes or roadways before repair of the landslide and reuse of the homes or roadways	Landslide	Planning	Ongoing
C-21	Continue to enforce design criteria for areas of known expansive soils	Expansive Soils	Planning	Ongoing
C-22	Plan for climate change	Multi-hazards	Public Health, Planning, OES	Ongoing

C-23	Develop a forum for ongoing engagement with coastal private property owners and the California Coastal Commission to discuss frameworks for land use policies that respond to expected future losses	Sea Level Rise	Planning	Ongoing
C-24	Consider relocating coastal development away from areas that will be inundated to eliminate the risk of damage and the need for coastal protection	Sea Level Rise	Planning	Ongoing
C-25	Consider limiting new engineered protection structures to infill in locations where the back beach is currently fixed	Sea Level Rise	Planning	Ongoing
C-26	Consider a program to identify those areas where managed retreat should replace engineered protection structures, based on public benefit	Sea Level Rise	Planning	Ongoing
C-27	Work with the engineering community to define a standard increment of additional height that should be added to the FEMA 100 year wave run up, storm surge, and flood levels when analyzing hazards in specific locations	Sea Level Rise	Planning	Ongoing
C-28	In consultation with the California Coastal Commission, consider revising regulations that address rebuilding structures that are repeatedly damaged by SLR and coastal storms	Sea Level Rise	Planning	Ongoing
C-29	Amend the Safety Element of the General Plan and revise implementing regulations to increase the efficacy of the damage prevention and flood protection aspects of the National Flood Insurance Program	Sea Level Rise	Planning	Ongoing



C-30	Work with the County Office of Emergency Services to refine FEMA flood hazard mapping to account for climate change, as maps are the basis for evacuation notification in the event of anticipated flooding and/or a tsunami	Sea Level Rise	Planning	Ongoing
C-31	Consider evaluating unprotected developed coastal bluff areas subject to future erosion, and develop plans and timeline for either armor placement, or retreat and relocation of existing public structures and/or infrastructure	Sea Level Rise	Planning	Ongoing
C-32	Consider designing and siting all future County projects and infrastructure to account for sea level rise projections, considering projected life span of project	Sea Level Rise	Public Works	Ongoing
C-33	Consider securing federal grant funding for the following drainage improvements within the Rio Del Mar Esplanade necessary to protect against a 10-year storm	Sea Level Rise	Public Works	Ongoing
C-34	Continue to improve wastewater collection system to reduce infiltration by groundwater or surface water	Sea Level Rise	Public Works	Ongoing
C-35	Consider coordinating with the City of Santa Cruz on programs to minimize vulnerabilities at the Neary Lagoon plant	Sea Level Rise	Public Works	Ongoing

## Very High Priority Actions

<b>A-1</b>	<b>Emergency Preparedness Coordination</b>
Proposed Activity	Continue to participate in the Emergency Management Council by planning, implementing and evaluating pre-event activities including ongoing training for county staff
Hazard	All
Environmental Concerns	None
Lead Dept.	Office of Emergency Services
Timeline	Ongoing
Resources Required	Staff time
Funding Source	General
Funding Priority	Very High

<b>A-2</b>	<b>Flood Policy Change</b>
Proposed Activity	Continue to enforce flood plain management regulations on all permit applications
Hazard	Flood
Environmental Concerns	None Lead
Dept.	Planning
Timeline	Ongoing
Resources Required	Staff time
Funding Source	General
Fund Priority	Very High

<b>A-3</b>	<b>Community Rating System Application</b>
Proposed Activity	Continue to participate in the Community Rating System to improve floodplain management
Hazard	Flood
Environmental Concerns	Riverine and wetlands
Lead dept.	Planning
Timeline	Ongoing
Resources Required	Staff Time
Funding source	General
Fund Priority	Very High

<b>A-4</b>	<b>Stormwater Policy and Ordinance Evaluation</b>
Proposed Activity	The County shall evaluate the effectiveness of current policies and ordinances designed to limit storm water runoff and flooding and, if needed, recommend revisions to improve effectiveness of these policies and codes
Hazard	Flood
Environmental Concerns	Riverine areas and ocean
Lead Dept.	Planning and Public Works
Timeline	Ongoing
Resources Required	Staff time
Funding Source	General Fund
Priority	Very High

<b>A-5</b>	<b>Drainage Plan Evaluation</b>
Proposed Activity	The county shall evaluate the effectiveness of the current drainage plan requirements to ensure that storm water runoff from impervious surfaces does not contribute to flooding and, if needed, revise permit conditions of approval
Hazard	Flood
Environmental Concerns	Riverine areas and ocean
Lead Dept.	Planning
Timeline	Ongoing
Resources Required	Staff Time
Funding Source	General Fund
Priority	Very High

<b>A-6</b>	<b>Stormwater Control</b>
Proposed Activity	Implement the “Stormwater Facilities Master Plan” for Flood control Districts 5 & 6
Hazard	Flood
Environmental Concerns	None
Lead Dept.	Dept. of Public Works
Timeline	Ongoing
Resources Required	Staff Time
Funding Source	Staff Budget
Priority	Very High

<b>A-7</b>	<b>Water Conservation</b>
Proposed Activity	Implement water conservation programs to maximize the use of existing water resources
Hazard	Drought
Environmental Concerns	None
Lead Dept	Environmental Health
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Staff budget
Priority	Very High

<b>A-8</b>	<b>Develop Additional Water Supplies</b>
Proposed Activity	Support the development of additional water supplies and promote more effective use of groundwater storage through increased groundwater recharge and conjunctive use among agencies
Hazard	Drought
Environmental Concerns	Agricultural and community concerns
Lead Dept.	Environmental Health
Timeline	2015-2020
Resources Required	Staff time
Funding Source	Staff budget
Priority	Very high

<b>A-9</b>	<b>Drought Protection</b>
Proposed Activity	Promote more effective use of groundwater storage through increased groundwater recharge
Hazard	Drought
Environmental Concerns	None
Lead Dept.	Environmental Health
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Staff budget
Priority	Very High

<b>A-10</b>	<b>Early Notification\ Warning Systems</b>
Proposed Activity	Continue to coordinate communication system upgrades with other agencies and the cities of Santa Cruz, Watsonville and Capitola, including evacuation operations, for homes and businesses in specific hazard areas
Hazard	Multi-Hazards
Environmental Concerns	None
Lead Dept.	OES, CalFire
Timeline	2015-2020
Resources Required	Staff time
Funding Source	Federal and state grants
Priority	Very High

<b>A-11</b>	<b>Protection from Dam Failure</b>
Proposed Activity	Update dam inundation maps
Hazard	Dam Failure
Environmental Concerns	None Lead
Dept.	Planning
Timeline	2015-
2020Resources Required	Staff time
Funding Source	Staff budget
Priority	Very High

<b>A-12</b>	<b>Fire Protection and Prevention</b>
Proposed Activity	Maintain fire protection and prevention agreements with other agencies
Hazard	Wildfire
Environmental Concerns	None
Lead Dept.	CalFire, OES
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Staff budget
Priority	Very High

<b>A-13</b>	<b>Reduction of Emergency Response Times</b>
Proposed Activity	Improve road signage visibility and address markings
Hazard	Multi-Hazard
Environmental Concerns	None
Lead Dept.	CalFire, Dept. of Public Works
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Staff budget, general fund
Priority	Very High

<b>A-14</b>	<b>Communications Interoperability</b>
Proposed Activity	Enhance support for interoperability of communications system with local, state and federal emergency services
Hazard	All Hazards
Environmental Concerns	None
Lead Dept.	OES, CalFire
Timeline	Ongoing
Resources Required	Staff time, new equipment
Funding Source	State and Federal grants
Priority	Very High

**High Priority Actions**

<b>B-1</b>	<b>Infrastructure Upgrades</b>
Proposed Activity	Upgrade roadways, sewer, water and other infrastructure to withstand seismic shaking
Hazard	Earthquake
Environmental Concerns	Geologic and hydrologic
Lead Dept.	Dept. of Public Works
Timeline	Ongoing
Resources Required	External funding required, consultants, staff time
Funding Source	Federal and State grants, General Fund
Priority	High

<b>B-2</b>	<b>Review Stormwater Runoff Regulations</b>
Proposed Activity	Review and revise California Environmental Quality Act (CEQA) Initial Study checklist to ensure that storm water runoff is fully considered and mitigated to the extent possible
Hazard	Flood
Environmental Concerns	None
Lead Dept.	Planning
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Staff budget
Priority	High

<b>B-3</b>	<b>Wildfire Hazard Abatement</b>
Proposed Activity	Reduce fire risk in wildland/urban interface (WUI) by advocating use of improved building materials and appropriate code enforcement, including defensible space and fuel break and reduction programs
Hazard	Wildfire
Environmental Concerns	Stream sedimentation
Lead Dept.	CalFire
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Staff budget and additional grants
Priority	High

<b>B-4</b>	<b>Adequate Staffing</b>
Proposed Activity	Maintain adequate Fire Suppression and Prevention staffing levels to meet the needs of the county
Hazard	Wildfire
Environmental Concerns	None
Lead Dept.	CalFire
Timeline	Ongoing
Resources Required	Additional staff
Funding source	Unknown
Priority	High

<b>B-5</b>	<b>Geologic Hazards Ordinance Update</b>
Proposed Activity	Update and revise the Geologic Hazards Ordinance
Hazard	Multi- Hazard
Environmental Concerns	None
Lead Dept.	Planning
Timeline	2015-2020
Resources Required	Staff time, consultants
Funding Source	Federal and State Grants
Priority	High

<b>B-6</b>	<b>Elevation of Structures in Floodplain</b>
Proposed Activity	Continue to pursue elevation of structures above level of 100-year flood
Hazard	Flood
Environmental Concerns	Sedimentation
Lead Dept.	Planning, OES
Timeline	Ongoing
Resources Required	Staff time, technical studies, building materials
Funding Source	Federal and State grants, permit application fees
Priority	High

<b>B-7</b>	<b>Stormwater Management</b>
Proposed Activity	Continue to enforce requirements for on-site retention of storm water
Hazard	Flood
Environmental Concerns	Sedimentation
Lead Dept.	Planning
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Permit application fees
Priority	High



<b>B-8</b>	<b>Management of Early Warning System</b>
Proposed Activity	Maintain a reverse 911 system that notifies all homes and businesses within tsunami inundation areas and maintain a media protocol for evacuation notices
Hazard	Tsunami
Environmental Concerns	Water inundation of homes, businesses and resulting losses of life and property, sedimentation, contamination of water channels
Lead Dept.	OES, multiple agencies
Timeline	Ongoing
Resources Required	Staff time
Funding Sources	FEMA Grant funds
Priority	High

<b>B-9</b>	<b>Minimize Risk from Tsunami</b>
Proposed Activity	Update tsunami inundation maps
Hazard	Tsunami
Environmental Concerns	None Lead
Dept.	Planning
Timeline	2015-2020
Resources Required	Staff time
Funding Source	Staff budget
Priority	High

<b>B-10</b>	<b>Protect and Preserve Coastline</b>
Proposed Activity	Protect and preserve coastline through permit review process
Hazard	Coastal Erosion
Environmental Concerns	Coastal Commission review, community concerns
Lead Dept	Planning, Dept. of Public Works
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Staff budget
Priority	High

<b>B-11</b>	<b>Protect Coastline and Infrastructure</b>
Proposed Activity	Encourage replacement of existing seawalls with shoreline protection structures which meet current engineering standards
Hazard	Coastal Erosion
Environmental Concerns	Coastal Commission, community concerns
Lead Dept.	Planning, Public Works
Timeline	Ongoing
Resources Required	Staff time, consultants
Funding Source	Federal and State grants
Priority	High

<b>B-12</b>	<b>Minimize Risk from Dam Failure</b>
Proposed Activity	Develop an event protocol with the State Division of Safety of Dams
Hazard	Dam Failure
Environmental Concerns	Flooding
Lead Dept.	Planning
Timeline	2015-2020
Resources Required	Staff Time
Funding Source	Staff budget
Priority	High

<b>B-13</b>	<b>Minimize Risks from Expansive soils</b>
Proposed Activity	Continue to require soils reports as part of the building permit process
Hazard	Expansive soils
Environmental Concerns	None Lead
Dept.	Planning
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Staff budget
Priority	High

<b>Important Actions</b>	
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<b>C-1</b>	<b>Protection of Critical Structures</b>
Proposed Activity	Promote seismic safety upgrade of all emergency use and critical structures
Hazard	Earthquake
Environmental Concerns	None
Lead Dept.	Planning
Timeline	Ongoing
Resources Required	Staff time and outside consultants
Funding Source	Staff budget and unknown grants
Priority	Important

<b>C-2</b>	<b>Critical Structural Safety</b>
Proposed Activity	Require all new and replacement critical structures be designed to standards of the California building code and the county's Geologic Hazards Code
Hazard	Earthquake
Environmental Concerns	None
Dept.	Lead Planning
Timeline	Ongoing
Resources Required	Staff time
Funding source	Staff budget
Priority	Important

<b>C-3</b>	<b>Training for Planning Staff</b>
Proposed Activity	Train appropriate plan check staff on seismic requirements for structures
Hazard	Earthquake
Environmental Concerns	None
Lead Dept.	Planning
Timeline	Ongoing
Resources Required	Staff time, training consultant
Funding Source	Staff budget, unknown grants
Priority	Important

<b>C-4</b>	<b>Seismic Zoning</b>
Proposed Activity	Encourage zoning in geologically constrained areas that reflect the nature and extent of the seismic hazard
Hazard	Earthquake
Environmental Concerns	None
Lead Dept.	Planning
Timeline	Ongoing
Resources Required	Staff time
Funding source	Staff budget
Priority	Important

<b>C-5</b>	<b>Fire Safety and Prevention Programs</b>
Proposed Activity	Implement additional fire prevention programs in schools, institutions, and commercial buildings through inspections and education
Hazard	Wildfire
Environmental Concerns	None
Lead Dept.	CalFire
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Staff budget, grants
Priority	Important

<b>C-6</b>	<b>Promote Alarm and Fire Retardant Systems</b>
Proposed Activity	Promote installation, inspection, and testing of built-in fire alarm and sprinkler systems
Hazard	Wildfire
Environmental Concerns	None
Lead Dept.	CalFire
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Permit Fees
Priority	Important

<b>C-7</b>	<b>WUI Land Use Planning</b>
Proposed Activity	Promote land use planning to reduce incidence of human-caused wildfires
Hazard	Wildfire
Environmental Concerns	None
Lead Dept.	CalFire
Timeline	Ongoing
Resources Required	Staff Time
Funding Source	Staff budget
Priority	Important

<b>C-8</b>	<b>Emergency Personnel Road Access</b>
Proposed Activity	Advocate for creation of secondary road access improvement
Hazard	Wildfire
Environmental Concerns	Code compliance
Lead Dept.	CalFire
Timeline	Ongoing
Resources Required	Staff time
Funding source	Staff budget
Priority	Important

<b>C-9</b>	<b>Drainage system Infrastructure Integrity</b>
Proposed Activity	Continue to inspect and maintain drainage system infrastructure
Hazard	Flood
Environmental Concerns	Sedimentation, property damage
Lead Dept.	Public Works
Timeline	Ongoing
Resources Required	Staff time, consultant, repair funds
Funding Source	Federal and State grants
Priority	Important

<b>C-10</b>	<b>Flood Mitigation Education</b>
Proposed Activity	Continue to develop public education materials by working collaboratively with community groups, non-governmental organizations and the local media
Hazard	Flood
Environmental Concerns	None
Lead Dept.	Planning
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Federal and State grants
Priority	Important

<b>C-11</b>	<b>Open Space in Flood Zones</b>
Proposed Activity	Regulate development in flood zones to optimize preservation of open space
Hazard	Flood
Environmental Concerns	Community concerns
Lead Dept.	Planning
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Staff budget
Priority	Important

<b>C-12</b>	<b>Flood Zone Development Regulation</b>
Proposed Activity	Limit development and monitor conditions of development and grading permits near natural channels and wetlands to prevent sedimentation
Hazard	Flood
Environmental concerns	Sedimentation
Lead Dept.	Planning, Public Works
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Permit fees
Priority	Important

<b>C-13</b>	<b>Promote Drought Planning</b>
Proposed Activity	Promote drought planning by the 130 small water systems under county jurisdiction
Hazard	Drought
Environmental Concerns	None
Lead Dept.	Environmental Health
Timeline	Ongoing
Resources Required	Staff time, consultant
Funding Source	Federal and state grants
Priority	Important

<b>C-14</b>	<b>New Regulations in Tsunami Inundation Areas</b>
Proposed Activity	Encourage investigation of the tsunami threat to the county and update development regulations based upon this investigation
Hazard	Tsunami
Environmental concerns	None
Lead Dept.	Planning
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Federal and state grants
Priority	Important

<b>C-15</b>	<b>Restoration of Coastline</b>
Proposed Activity	Protect and preserve the coastline and infrastructure through restoration efforts
Hazard	Coastal Erosion
Environmental Concerns	Coastal Review Commission, community concerns
Lead Dept.	Public Works
Timeline	Ongoing
Resources Required	Staff time, consultant, repair costs unknown
Funding Source	Federal and State grants
Priority	Important

<b>C-16</b>	<b>Update Inundation Maps</b>
Proposed Activity	Update dam inundation maps
Hazard	Dam Failure
Environmental Concerns	Flooding
Lead Dept.	Planning
Timeline	2015-2020
Resources Required	Staff time
Funding Source	Staff budget
Priority	Important

<b>C-17</b>	<b>Review Dam Hazards</b>
Proposed Activity	Review dam evaluation files to determine the extent of potential dam failures
Hazard	Dam Failure
Environmental concerns	Flooding
Lead Dept.	Planning
Timeline	2015-2020
Resources Required	Staff time
Funding source	Staff budget state grants
Priority	Important

<b>C-18</b>	<b>Minimize Landslide Risk</b>
Proposed Activity	Continue to require that the county geologist review development in areas of suspected landsliding and require engineering reports when landsliding is identified or suspected
Hazard	Landslide
Environmental Concerns	Loss of property and life
Lead Dept.	Planning
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Staff budget
Priority	Important

<b>C-19</b>	<b>Landslide Regulations</b>
Proposed Activity	Continue to require that an engineering geologist and/or geotechnical engineer investigate the site of any proposed construction near landsliding and require mitigation of landslide hazards before issuing any building or grading permits
Hazard	Landslide
Environmental Concerns	Loss of property and life
Lead Dept.	Planning
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Staff budget, permit fees
Priority	Important

<b>C-20</b>	<b>Landslide Inspections</b>
Proposed Activity	Continue to require that an engineering geologist and/or a geotechnical engineer investigate any landslide damage to homes or roadways before repair of the landslide and reuse of the homes or roadways
Hazard	Landslide
Environmental Concerns	Loss of property and life
Lead Dept.	Planning
Timeline	Ongoing
Resources Required	Staff time
Funding Source	Permit fees
Priority	Important

<b>C-21</b>	<b>Minimize Damage from Expansive Soils</b>
Proposed Activity	Continue to enforce design criteria for areas of known expansive soils
Hazard	Expansive soils
Environmental Concerns	None
Dept.	Lead Planning
Timeline	Ongoing
Resources Required	Staff time, consultant
Funding Source	General Fund, Federal and state grants
Priority	Important



<b>C-22</b>	<b>Climate Change</b>
Proposed Activity	Address climate change in Public Health Preparedness Plan Update, General Plan Update and other pertinent plans in order to implement policies and programs to reduce impact of climate change
Hazard	Multi-Hazards
Environmental concerns	Carbon footprint, communicable diseases
Lead Dept.	Public Health, Planning, OES
Timeline	Ongoing
Resources Requested	Staff time
Funding Source	Federal and state grants
Priority	Important

<b>C-23</b>	<b>Climate Change</b>
Proposed Activity	Develop a forum for ongoing engagement with coastal private property owners and the California Coastal Commission to discuss frameworks for land use policies that respond to expected future losses.
Hazard	Sea Level Rise
Environmental concerns	Coastal Erosion
Lead Dept.	Planning
Timeline	Ongoing
Resources Requested	Staff time
Funding Source	Federal and state grants
Priority	Important

<b>C-24</b>	<b>Climate Change</b>
Proposed Activity	Consider relocating coastal development away from areas that will be inundated to eliminate the risk of damage and the need for coastal protection.
Hazard	Sea level rise
Environmental concerns	Coastal Erosion
Lead Dept.	Planning
Timeline	Ongoing
Resources Requested	Staff time
Funding Source	Federal and state grants
Priority	Important

<b>C-25</b>	<b>Climate Change</b>
Proposed Activity	Consider limiting new engineered protection structures to infill in locations where the back beach is currently fixed.
Hazard	Sea Level Rise
Environmental concerns	Coastal Erosion
Lead Dept.	Planning
Timeline	Ongoing
Resources Requested	Staff time
Funding Source	Federal and state grants
Priority	Important

<b>C-26</b>	<b>Climate Change</b>
Proposed Activity	Consider a program to identify those areas where managed retreat should replace engineered protection structures, based on public benefit.
Hazard	Sea Level Rise
Environmental concerns	Coastal Erosion
Lead Dept.	Planning
Timeline	Ongoing
Resources Requested	Staff time
Funding Source	Federal and state grants
Priority	Important

<b>C-27</b>	<b>Climate Change</b>
Proposed Activity	Work with the engineering community to define a standard increment of additional height that should be added to the FEMA 100 year wave run up, storm surge, and flood levels when analyzing hazards in specific locations.
Hazard	Sea Level Rise
Environmental concerns	Flooding, Coastal Erosion
Lead Dept.	Planning
Timeline	Ongoing
Resources Requested	Staff time
Funding Source	Federal and state grants
Priority	Important

<b>C-28</b>	<b>Climate Change</b>
Proposed Activity	In consultation with the California Coastal Commission, consider revising regulations that address rebuilding structures that are repeatedly damaged by SLR and coastal storms.
Hazard	Sea Level Rise
Environmental concerns	Flooding, Coastal Erosion
Lead Dept.	Planning
Timeline	Ongoing
Resources Requested	Staff time
Funding Source	Federal and state grants
Priority	Important

<b>C-29</b>	<b>Climate Change</b>
Proposed Activity	Amend the Safety Element of the General Plan and revise implementing regulations to increase the efficacy of the damage prevention and flood protection aspects of the National Flood Insurance Program.
Hazard	Sea Level Rise
Environmental concerns	Flooding
Lead Dept.	Planning
Timeline	Ongoing
Resources Requested	Staff time
Funding Source	Federal and state grants
Priority	Important

<b>C-30</b>	<b>Climate Change</b>
Proposed Activity	Work with the County Office of Emergency Services to refine FEMA flood hazard mapping to account for climate change, as maps are the basis for evacuation notification in the event of anticipated flooding and/or a tsunami.
Hazard	Sea Level Rise
Environmental concerns	Flooding
Lead Dept.	Planning
Timeline	Ongoing
Resources Requested	Staff time
Funding Source	Federal and state grants
Priority	Important

<b>C-31</b>	<b>Climate Change</b>
Proposed Activity	Consider evaluating unprotected developed coastal bluff areas subject to future erosion, and develop plans and timeline for either armor placement, or retreat and relocation of existing public structures and/or infrastructure.
Hazard	Sea Level Rise
Environmental concerns	Coastal Erosion
Lead Dept.	Planning
Timeline	Ongoing
Resources Requested	Staff time
Funding Source	Federal and state grants
Priority	Important

<b>C-32</b>	<b>Climate Change</b>
Proposed Activity	Consider designing and siting all future County projects and infrastructure to account for sea level rise projections, considering projected life span of project.
Hazard	Sea Level Rise
Environmental concerns	Coastal Erosion, Flooding
Lead Dept.	Public Works
Timeline	Ongoing
Resources Requested	Staff time
Funding Source	Federal and state grants
Priority	Important

<b>C-33</b>	<b>Climate Change</b>
Proposed Activity	Consider securing federal grant funding for the following drainage improvements within the Rio Del Mar Esplanade necessary to protect against a 10-year storm
Hazard	Sea Level Rise
Environmental concerns	Flooding
Lead Dept.	Public Works
Timeline	Ongoing
Resources Requested	Staff time
Funding Source	Federal and state grants
Priority	Important

<b>C-34</b>	<b>Climate Change</b>
Proposed Activity	Continue to improve wastewater collection system to reduce infiltration by groundwater or surface water
Hazard	Sea Level Rise
Environmental concerns	Flooding
Lead Dept.	Public Works
Timeline	Ongoing
Resources Requested	Staff time
Funding Source	Federal and state grants
Priority	Important

<b>C-35</b>	<b>Climate Change</b>
Proposed Activity	Consider coordinating with the City of Santa Cruz on programs to minimize vulnerabilities at the Neary Lagoon plant.
Hazard	Sea Level Rise
Environmental concerns	Flooding
Lead Dept.	Public Works
Timeline	Ongoing
Resources Requested	Staff time
Funding Source	Federal and state grants
Priority	Important

## **PART 5 – PLAN MAINTENANCE PROCESS**

- § Monitoring, Evaluating and Updating the Plan
- § Incorporation into Existing Planning Mechanisms
- § Continued Public Involvement

## CHAPTER 15 —PLAN MAINTENANCE PROCESS

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### 15.5.1 Monitoring, Evaluating and Updating the Plan

**5.1 Identification and Analysis of Mitigation Actions – Requirement §201.6(c)(4)(i):** The plan maintenance process **shall** include a section describing the method and schedule of monitoring, evaluating, and updating the mitigation plan within a five-year cycle.

Title 44 of the *Code of Federal Regulations* (CFR) Section 201.6(c)(4)(i) requires a hazard mitigation plan to include a plan maintenance process that includes the following:

- § A section describing the method and schedule of monitoring, evaluating, and updating the mitigation plan within a five-year cycle.
- § A process by which local governments incorporate the requirements of the mitigation plan into other planning mechanisms, such as comprehensive or capital improvement plans, when appropriate.
- § A discussion on how the community will continue public participation in the plan maintenance process.

The plan maintenance section of this document details the formal process that will ensure that the County of Santa Cruz hazard mitigation plan (LHMP) remains an active and relevant document. The LHMP maintenance process includes a schedule for monitoring and evaluating the plan every five years. This chapter also describes how the County will integrate public participation throughout the plan maintenance and implementation process. Finally, this chapter explains how the County intends to incorporate the mitigation strategies outlined in this LHMP into existing planning mechanisms and programs, such as the County General Plan, Capital Improvement Program, as well as building code enforcement and implementation. The LHMP's format allows the County to review and update sections when new data becomes available. New data can be easily incorporated, resulting in a plan that will remain current and relevant to the County of Santa Cruz.

### Evaluation of the Plan

The ongoing task of the hazard mitigation planning team leadership will be the evaluation of the progress of the LHMP and incorporating the actions into other plans. This evaluation will include the following elements as staff and funding capabilities allow:

- § Summary of any hazard events that occurred during the prior year and their impact on the community.
- § Review of successful mitigation initiatives identified in the LHMP.
- § Evaluation of actions that were not completed.
- § Re-evaluation of the action plan to determine if the timeline for identified projects needs to be amended (such as changing a long-term project to a short-term project because of funding availability).
- § Recommendations for new projects.
- § Changes in or potential for new funding options (grant opportunities).
- § Integration of new data such as GIS data and mapping used to inform the Plan.
- § Impact of any other planning programs or initiatives within the County that involve hazard mitigation.

## Method and Schedule for Updating the Plan within 5 years

Section 201.6.(d)(3) of Title 44 of the *Code of Federal Regulations* requires that local hazard mitigation plans be reviewed, revised if appropriate, and resubmitted for approval in order to remain eligible for benefits awarded under the Disaster Mitigation Act (DMA). The County of Santa Cruz intends to update the LHMP on a five-year cycle from the date of initial plan adoption. This cycle may be accelerated to less than five years based on the following triggers:

- § A Presidential Disaster Declaration that impacts the County of Santa Cruz.
- § A hazard event that causes loss of life.

It will not be the intent of this update process to start from scratch and develop a new complete hazard mitigation plan for the County of Santa Cruz. Based on needs identified by the planning team, this update will, at a minimum, include the elements below:

- § The update process will be convened through a committee appointed by the Planning Director and will consist of at least one member of the General Plan Update committee or staff to insure consistency between plans.
- § The hazard risk assessment will be reviewed and updated using best available information and technologies.
- § The evaluation of critical structures and mapping will be updated and improved as funding becomes available.
- § The action plan will be reviewed and revised to account for any actions completed, dropped, or changed and to account for changes in the risk assessment or new county policies identified under other planning mechanisms, as appropriate (such as the General Plan).
- § The draft update will be sent to appropriate agencies for comment.
- § The public will be given an opportunity to comment prior to adoption.
- § The Santa Cruz County Board of Supervisors will adopt the updated plan.

The next plan update will begin one year prior to the due date for the next five-year update. The schedule will include presentations on the plan's progress at regularly scheduled meetings of the Disaster Management Council, the Commission on the Environment, and the Fire Prevention Officers Association, and the Board of Supervisors. These meetings occur on a quarterly basis and will allow for both public and stakeholder input during throughout the year long update process. These meeting will begin in the first quarter of the year. This process will be accompanied and enhanced by postings on the County's social media and interactive website.

The udate process will be led by the Sustainability and Special Projects Section of the Planning Department. The 2015/2016 update process was led by David Carlson, Resource Planner, Sustainability and Special Projects Section, in the Planning Department. It is expected that the next update will be led by the same staff.

## Implementation Through Existing Programs

The effectiveness of the County's non-regulatory LHMP depends on the implementation of the plan and incorporation of the outlined action items into existing County plans, policies, and programs. The LHMP includes a range of action items that, if implemented, would reduce loss



from hazard events in the County of Santa Cruz. Together, the action items in the LHMP provide the framework for activities that the County can choose to implement over the next five years. The planning team has prioritized the plan's goals and identified actions that will be implemented (resources permitting) through existing plans, policies, and programs.

The Planning Department has taken on the responsibility for overseeing the plan's implementation and maintenance through the County's existing programs. The Director of Planning or designated appointee will assume lead responsibility for facilitating LHMP implementation and maintenance meetings. Although the Planning Department will have primary department responsibility for review, coordination, and promotion, plan implementation and evaluation will be a shared responsibility among all departments identified as lead departments in the mitigation action plan. The Planning Department will continue to work closely with the Emergency Operations Manager to insure consistency in Plans.

### **15.5.2 Incorporation into Existing Planning Mechanisms**

**5.2 Identification and Analysis of Mitigation Actions – Requirement §201.6(c)(4)(ii):** The plan shall include a process by which local governments incorporate the requirements of the mitigation plan into other planning mechanisms such as the comprehensive or capital improvement plans when appropriate.

#### **A. Planning Mechanisms for Incorporating the Requirements of the Plan**

The information on hazard, risk, vulnerability, and mitigation contained in this plan is based on the best information and technology available at the time the LHMP was prepared. As previously stated, the County's general plan is considered to be an integral part of this plan. The County, through adoption of its 1994 General Plan (safety element) goals, has planned for the impact of natural hazards. The LHMP process provided the County with the opportunity to review and expand on policies contained within the general plan. The County views the General Plan and the LHMP as complementary planning documents that work together to achieve the ultimate goal of the reduction of risk exposure to the citizens of Santa Cruz. Many of the ongoing recommendations identified in the mitigation strategy are programs recommended by the General Plan, the Urban Water Management Plan, the Capital Improvement Program and other adopted plans.

The County will coordinate the recommendations of the LHMP with other planning processes and programs including the following:

- § County Emergency Management Plan
- § Capital Improvement Program
- § County of Santa Cruz Building Codes
- § Community design guidelines
- § Water conservation guidelines
- § Storm Water Management Program
- § Climate Action Strategy

Most action items do not need to be implemented through regulation. Instead, these items can be implemented through the creation of educational programs, continued interdepartmental and interagency coordination, or improved public participation.

### 15.5.3 Continued Public Involvement

**5.3 Continued Public Involvement – Requirement §201.6(c)(4)(iii):** The plan maintenance process shall include a discussion on how the community will continue public participation in the plan maintenance process.

The public will continue to be apprised of LHMP actions through the County website and by providing copies of the annual progress reports to the media. Copies of the LHMP will be distributed to the Santa Cruz Library System. Upon initiation of the LHMP up-date process, a new public involvement strategy will be initiated based on guidance from the committee. This strategy will be based on the needs and capabilities of the County at the time of the update. At a minimum, this strategy will include the use of local media outlets within the planning area and the County's website.

The next plan update will include a schedule of public outreach activities that will begin one year prior to the completion of the next five-year update. The schedule will include public outreach through existing planning mechanisms that will engage and encourage feedback. This will include presentations on the plan's progress at regularly scheduled meetings of the Disaster Management Council, the Commission on the Environment, and the Fire Prevention Officers Association, and the Board of Supervisors. These meetings occur on a quarterly basis and will allow for both public and stakeholder input during throughout the year long update process. These meeting will begin in the first quarter of the year. This process will be accompanied and enhanced by postings on the County's social media and interactive website.

The Plan is implemented on an ongoing basis through a number of planning mechanisms such as the Climate Action Strategy, Capital Improvement Program, water resources management, building codes, and other planning mechanisms. The Plan will be monitored and maintained on an ongoing basis through implementation of the various planning mechanisms. The Planning Department reports annually to the Board of Supervisors on implementation of the Climate Action Strategy, for example. As a publicly noticed agenda item before the Board of Supervisors, there is an opportunity for public input. Similarly, the CIP, water resources management reports, floodplain management reports are presented annually in the same manner to the Board of Supervisors. In addition, the Planning Department is engaged in an update of the General Plan Safety Element, which has included, and will continue to provide opportunities for public and stakeholder input. This process will continue in 2016 and includes updates to policies addressing, development in floodplains, beaches, and coastal bluffs, fire hazards, erosion hazards, and airport safety.

## END NOTES

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- 1 County of Santa Cruz General Plan, Chapter 6, pg. 4.
- 2 National Oceanic and Atmospheric Administration web site: <http://www.weather.gov/climate/local>
- 3 Santa Cruz County Regional Transportation Commission, 2014, Regional Transportation Plan.
- 4 Santa Cruz County Economic Vitality Strategy, Phase 1: Economic Trends Report, Sept. 2013
- 5 Ibid
- 6 County of Santa Cruz, 2014, Comprehensive Annual Financial Report.
- 7 Ibid
- 8 Seismicity of the United States, 1568-1989 (Revised) by Carl W. Stover and Jerry L. Coffman, U.S. Geological Survey, Professional Paper 1527, U.S. Government Printing Office, Washington: 1993
- 9 2007 Working Group on California Earthquake Probabilities, 2008, The Uniform California Earthquake Rupture Forecast, Version 2 (UCERF 2): U.S. Geological Survey Open-File Report 2007-1437 and California Geological Survey Special Report 203 [<http://pubs.usgs.gov/of/2007/1437/>].
- 10 Ibid
- 11 Estimation of Future Earthquake Losses in California
- 12 <http://www.consrv.ca.gov/CGS/rghm/loss/index.html>
- 13 <http://www.codepublishing.com/ca/santacruzcounty/>
- 14 Headwaters Economics 2008 study, <http://www.headwaterseconomics.org/wildfire/>
- 15 2010 Urban Water Management Plan, City of Santa Cruz, December 2011
- 16 Actual period of record for gauge on the San Lorenzo River began in 1936. Synthesized flow records generated for earlier modeling studies were used to extend the record back to 1921
- 17 One acre-foot equals 325,851 gallons; 3.07 acre-feet equals one million gallons
- 18 2005 Urban Water Management Plan, City of Santa Cruz, February 2006, Santa Cruz Integrated Water Plan Final Program EIR
- 19 Lorrie and Alan Flint, USGS, California Water Science Center, "Climate Change & Hydrology in Watersheds of Santa Cruz County", Power Point presentation, 2009
- 20 City of Santa Cruz Urban Water Management Plan, February 2006, page 2-7
- 21 Ibid
- 22 California Coastal Commission ReCAP Pilot Project Findings and Recommendations: Monterey Bay Region
- 23 Evaluation of Erosion Hazards – Heinz Center Coastal Erosion Mapping and Management – Journal of Coastal Research
- 24 Draft Review of California Coastal Erosion Planning and Response: A Strategy for Action, Gary D. Nichols, California Resources Agency, March 2003
- 25 California Coastal Commission ReCAP Pilot Project Findings and Recommendations: Monterey Bay Region
- 26 County of Santa Cruz General Plan, [http://www.sccoplanning.com/html/policy/general\\_plan.htm](http://www.sccoplanning.com/html/policy/general_plan.htm)
- 27 USGS Open File 97-745 C, Sheet 9 of 11
- 28 County of Santa Cruz General Plan, [http://www.sccoplanning.com/html/policy/general\\_plan.htm](http://www.sccoplanning.com/html/policy/general_plan.htm).

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## **APPENDIX A**

### **HAZARDS THAT ARE DETERMINED NOT A SIGNIFICANT RISK TO SANTA CRUZ**

#### ***AVALANCHE***

An avalanche is defined as a mass of loosened snow, ice, or earth suddenly and swiftly sliding down a mountain. In general practice this is assumed to be a snow avalanche unless another term such as ice, rock, mud, etc, is used. The Sierra Nevada Mountains which are over 200 hundred miles from Santa Cruz County are the nearest area with a risk of avalanche. This is not considered a significant hazard risk.

[www.avalanche-center.org/Bulletins/Calif/](http://www.avalanche-center.org/Bulletins/Calif/)

#### **HURRICANES, TYPHOONS AND COASTAL STORMS**

A hurricane is a severe tropical storm that forms in the North Atlantic Ocean, the Northeast Pacific Ocean east of the dateline, or the South Pacific Ocean east of 160°. Hurricanes need warm tropical oceans, moisture and light winds above them. If the right conditions last long enough, a hurricane can produce violent winds, incredible waves, torrential rains and floods. In other regions of the world, these types of storms have different names. This is called a typhoon when they occur in the Northwest Pacific. A tropical storm becomes a hurricane when winds reach 74 mph. When hurricanes move onto land, the heavy rain, strong winds and heavy waves can damage buildings, trees and cars. The heavy waves are called a storm surge. Storm surges are very dangerous as they threaten low-lying coastal lands with inundation.

Coastal storms in Santa Cruz consist of precipitation, occasional high winds and heavy waves. Because the County is not in an area subject to hurricanes, the risks from coastal storms are generally limited to flooding and coastal erosion, which are discussed separately.

Source:

National Oceanic & Atmospheric Administration U.S. Department of Commerce

<http://hurricanes.noaa.gov/>

NOAA Coastal Storm events [www4.ncdc.noaa.gov/cgi-win/wwcgi.dll?wwEvent~Storms](http://www4.ncdc.noaa.gov/cgi-win/wwcgi.dll?wwEvent~Storms)

[www.nsc.org/ehc/ew/disaster/hurricane.htm](http://www.nsc.org/ehc/ew/disaster/hurricane.htm)

#### ***LAND SUBSIDENCE***

Land subsidence is defined as a settling, compaction, or caving in of land caused by subsurface mining, ground-water withdrawal, or pumping of oil and gas. Land subsidence occurs in Santa Cruz only in conjunction with severe coastal storms and earthquake and is addressed under those topics.

#### **USGS Science Topics Land Subsidence**

NNOAA Satellite & Information Service National Geophysical Data Center

U.S. Geological Survey (USGS)

## ***WINTER STORMS and HAILSTORMS***

Severe winter storms and weather include extreme cold, heavy snowfall, ice storms, winter storms, and/or strong winds. In addition, winter storms may result in other hazards such as flooding, severe thunderstorms, tornadoes or extreme winds.

Snow has been reported in nearly every part of California, but it is very infrequent west of the Sierra Nevada except at high elevations of the Coast Range and the Cascades. The County of Santa Cruz is in a mild coastal area without risk of heavy snowfall or ice storms.

Source: U.S. Climate Normal's at National Climatic Data Center  
NOAA Satellite and Information Service  
[www.hurricane.ncdc.noaa.gov/cgi-bin](http://www.hurricane.ncdc.noaa.gov/cgi-bin)

## ***TORNADOS***

A tornado is a violently rotating column of air extending between, and in contact with a cloud and the surface of the earth. Tornadoes are often (but not always) visible as a funnel cloud. On a local-scale, tornadoes are the most intense of all atmospheric circulations with wind that can reach destructive speeds of more than 300 mph.

Since 1950, 292 tornadoes have occurred in 42 counties throughout California resulting in 103 injuries. However, since 1950, no deaths caused by tornadoes have been recorded in California. A search of NOAA Satellite and Information Service shows three minor tornadoes in Santa Cruz on record since 1965. There were no deaths and only minor property damage.

Source:  
NOAA Satellite and Information Service National Climatic Data Center  
[www.ncdc.noaa.gov/oa/climate/severeweather/tornadoes.html](http://www.ncdc.noaa.gov/oa/climate/severeweather/tornadoes.html)

## ***VOLCANOES***

Volcanoes are described as a vent in the Earth's crust through which molten or hot rock, steam, and ash reach the surface, including the cone built by the eruptions. According to the NOAA the only two volcanoes in California which have erupted in the last six hundred years are Mono Lake and Mt. Lassen.\* Santa Cruz is over 300 miles from either of these volcanic sites. While there is always a possibility that as a result of an eruption some ash might drift as far as Santa Cruz. At this distance and given the history of eruption, the risk appears to be negligible for Santa Cruz.

Volcano Title	Volcano Type	Eruption Code
Lassen Volcanic Center	Stratovolcano Historical	D2
Mono Craters	Lava Domes Radiocarbon	D6
Mono Lake Volcanic Field	Cinder Cones	D4

The Last Known Eruption Codes:

D1 = Last known eruption 1964 or later  
D2 = Last known eruption 1900-1963  
D3 = Last known eruption 1800-1899  
D4 = Last known eruption 1700-1799  
D5 = Last known eruption 1500-1699  
D6 = Last known eruption A.D. 1-1499

Source: [www.noaa.gov/volcanoes.html](http://www.noaa.gov/volcanoes.html)  
[www.ngdc.noaa.gov/seg/hazard/stratoguide/glossary.html](http://www.ngdc.noaa.gov/seg/hazard/stratoguide/glossary.html)



## APPENDIX B

### ACRONYMS AND ABBREVIATIONS

BFE	Base flood elevation
CAGS	California Geological Survey
CBC	California Building Code
CCR	California Code of Regulations
CDF	California Department of Forestry
CERT	Community Emergency Response Team
CEQA	California Environmental Quality Act
CFR	Code of Federal Regulations
CFS	Cubic feet per second
CIP	Capital improvement Program
CRS	Community Rating System
DHS	U.S. Department of Homeland Security
DMA	Disaster Mitigation Act (Public Law 106-390)
DOF	Depth of flooding
EIR	Environmental impact report
EOC	Emergency Operations Center
EPA	Environmental Protection Agency
FEMA	Federal Emergency Management Agency
FIRM	Flood Insurance Rate Maps
FIS	Flood Insurance Study
Ft	Feet
GIS	Geographical information system
HMGP	Hazard Mitigation Grant Program
IBC	International Building Code
Km	Kilometer
M	Magnitude
MCE	Maximum credible earthquake
MH	Multi-hazard
ML	Local magnitude
Mph	Miles per hour
NA	Not applicable
NCDC	National Climatic Data Center
NFIP	National Flood Insurance Program
NFIRS	National Fire Incident Reporting System
NOAA	National Oceanic and Atmospheric Administration
OES	California Governor's Office of Emergency Services
PDM	Pre-Disaster Mitigation Grant Program
RCRA	Resource Conservation and Recovery Act
SEMS	Standardized Emergency Management System
SFHA	Special flood hazard area
SHELDUS	Spatial Hazard Events and Losses Database for U.S.
UBC	Uniform Building Code

## APPENDIX C

### GLOSSARY OF TERMS

<b>100-Year Flood</b>	The term “100-year flood” can be misleading. The 100-year flood does not necessarily occur once every 100 years. Rather, it is the flood that has a 1 percent chance of being equaled or exceeded in any given year. Thus, the 100-year flood could occur more than once in a relatively short period of time. The Federal Emergency Management Agency (FEMA) defines it as the 1 percent annual chance flood, which is now the standard definition used by most federal and state agencies and by the National Flood Insurance Program (NFIP).
<b>Acre-Foot</b>	An acre-foot is the amount of water it takes to cover 1 acre to a depth of 1 foot. This measure is used to describe the quantity of storage in a water reservoir. An acre-foot is a unit of volume. One acre-foot equals 7,758 barrels; 325,829 gallons; or 43,560 cubic feet. An average household of four will use approximately 1 acre-foot of water per year.
<b>Action</b>	Program, project or specific act taken to promote goal, in this case the goal of hazard mitigation.
<b>Asset</b>	An asset is any man-made or natural feature that has value, including, but not limited to, people; buildings; infrastructure, such as bridges, roads, sewers, and water systems; lifelines, such as electricity and communication resources; and environmental, cultural, or recreational features such as parks, wetlands, and landmarks.
<b>Base Flood Elevation (BFE):</b>	The BFE is the water surface elevation of a 100-year flood event (a flood that has a 1 percent chance of occurring in any given year as defined by the NFIP). The base flood is a statistical concept used to ensure that all properties subject to NFIP are protected to the same degree against flooding.
<b>Basin:</b>	A basin is the area within which all surface water – whether from rainfall, snowmelt, springs, or other sources – flows to a single water body or watercourse. The boundary of a river basin is defined by natural topography, such as hills, mountains, and ridges. Basins are also referred to as “watersheds” and “drainage basins.”
<b>Benefit:</b>	A benefit is a net project outcome and is usually defined in monetary terms. Benefits may include direct and indirect effects. For the purposes of benefit-cost analysis of proposed mitigation measures, benefits are limited to specific, measurable, risk reduction factors, including reduction in expected property losses (buildings, contents, and functions) and protection of human life.
<b>Benefit/Cost Analysis</b>	A benefit/cost analysis is a systematic, quantitative method of comparing projected benefits to projected costs of a project or policy. It is used as a measure of cost effectiveness.
<b>Building:</b>	A building is defined as a structure that is walled and roofed, principally above the ground, and permanently fixed to a site. The term includes manufactured homes on permanent foundations on which the wheels and axles carry no weight.

<b>Capability Assessment</b>	A capability assessment provides a description and analysis of a community's current capacity to address threats associated with hazards. The assessment includes two components: an inventory of an agency's mission, programs, and policies, and an analysis of its capacity to carry them out. A capability assessment is an integral part of the planning process in which a community's actions to reduce losses are identified, reviewed, and analyzed, and the framework for implementation is identified.
<b>Community Rating System (CRS)</b>	The CRS is a voluntary program under the NFIP that rewards participating communities (provides incentives) for exceeding the minimum requirements of the NFIP and completing activities that reduce flood hazard risk by providing flood insurance premium discounts.
<b>Critical Facility</b>	A critical facility is vital to the City's ability to provide essential services and protect life and property. Loss of a critical facility would result in a severe economic or catastrophic impact; Police stations, fire stations, vehicle and equipment storage facilities, and emergency operations centers needed for disaster response before, during, and after hazard events; Public and private utilities and infrastructure vital to maintaining or restoring normal services to areas damaged by hazard events; Hospitals, nursing homes, and housing likely to contain occupants who may not be sufficiently mobile to avoid death or injury during a hazard event
<b>Dam</b>	A dam is any artificial barrier or controlling mechanism that can or does impound 10 acre-feet or more of water.
<b>Dam Failure</b>	Dam failure refers to a partial or complete breach in a dam (or levee) that impacts its integrity. Dam failures occur for a number of reasons, such as flash flooding, inadequate spillway size, mechanical failure of valves or other equipment, earthquakes, and intentional destruction.
<b>Debris</b>	Debris refers to the scattered remains of assets broken or destroyed during the occurrence of a hazard. Debris caused by wind or water hazards can cause additional damage to other assets.
<b>Depth of Flooding (DOF)</b>	The DOF is the difference between regulatory flood elevation (RFE) and the elevation of the lowest grade adjacent to a structure.
<b>Disaster Mitigation Act of 2000 (DMA)</b>	The DMA is Public Law 106-390 and is the latest federal legislation enacted to encourage and promote proactive, pre-disaster planning as a condition of receiving financial assistance under the Robert T. Stafford Act. The DMA emphasizes planning for disasters before they occur. Under the DMA, a pre-disaster hazard mitigation program and new requirements for the national post-disaster hazard mitigation grant program (HMGP) were established.
<b>Drought</b>	Drought is a period of time without substantial rainfall from one year to the next. Drought can also be defined as the cumulative impacts of several dry years or a deficiency of precipitation over an extended period of time, which in turn results in water shortages. A hydrological drought is caused by deficiencies in surface and subsurface water supplies. A socioeconomic drought impacts the health, well being, and quality of life or starts to have an adverse impact on a region. Drought is a normal, recurrent feature of climate and occurs almost everywhere.

<b>Duration</b>	Duration is defined as the length of time that a hazard occurs. For example, the duration of a tornado can be minutes, but release of a chemical warfare agent such as mustard gas can persist for hours or weeks if unremediated.
<b>Earthquake</b>	An earthquake is defined as a sudden slip on a fault, volcanic or magmatic activity, and sudden stress changes in the earth that result in ground shaking and radiated seismic energy. Earthquakes can last from a few seconds to over 5 minutes, and have been known to occur as a series of tremors over a period of several days. The actual movement of the ground in an earthquake is seldom the direct cause of injury or death. Casualties may result from falling objects and debris as shocks shake, damage, or demolish buildings and other structures.
<b>Exposure</b>	Exposure is defined as the number and dollar value of assets considered to be at risk during the occurrence of a specific hazard.
<b>Extent:</b>	The extent is the size of an area affected by a hazard.
<b>(FEMA)</b>	An independent agency (now part of the Department of Homeland Security) created in 1978 to provide a single point of accountability for all federal activities related to disaster mitigation and emergency preparedness, response, and recovery.
<b>Fire Behavior</b>	Fire behavior refers to the physical characteristics of a fire and is a function of the interaction between the fuel characteristics (such as type of vegetation and structures that could burn), topography, and weather. Variables that affect fire behavior include the rate of spread, intensity, fuel consumption, and fire type (such as underbrush versus crown fire).
<b>Flash Flood</b>	A flash flood occurs with little or no warning when water levels rise at an extremely fast rate.
<b>Flooding</b>	Flooding is a general and temporary condition of rising and overflowing water resulting in partial or complete inundation of normally dry land areas. Floods result from: (1) the overflow of inland or tidal waters, (2) the unusual and rapid accumulation of runoff of surface water from any source, and (3) mudflows or the sudden collapse of shoreline land.
<b>Flood Depth</b>	Flood depth is the height of the floodwater surface above the ground surface.
<b>Flood Elevation</b>	Flood elevation is the height of water surface above an established datum (for example, the National Geodetic Vertical Datum of 1929 [NGVD], North American Vertical Datum of 1988, or mean sea level).
<b>Flood Insurance Rate Map (FIRM)</b>	FIRM is the official map of a community for which FEMA has delineated the special flood hazard area (SFHA) and the risk premium zones applicable to the community.
<b>Flood Insurance Study</b>	A flood insurance study is published for a community by the Federal Insurance and Mitigation Administration in conjunction with the community's FIRM. The study contains background data such as base flood discharges and water surface elevations that were used to prepare the study.

<b>Floodplain</b>	A floodplain is any land area that becomes inundated with water during a flood or from any other source. Floodplain can be defined in different ways but is commonly defined as the area that is also called the 100-year floodplain.
<b>Floodway</b>	A floodway is an area within a floodplain reserved for the purpose of conveying flood discharge without increasing the BFE by more than 1 foot. Generally speaking, no development is allowed in floodways because any structures there would block the flow of floodwater.
<b>Frequency</b>	For the purposes of this plan, frequency refers to how often a hazard of specific magnitude, duration, and/or extent is expected to occur on average. Statistically, a hazard with a 100-year frequency is expected to occur about once every 100 years on average and has a 1 percent chance of occurring any given year. Frequency reliability varies depending on the type of hazard considered.
<b>General Plan</b>	California state law requires that every county and city prepare and adopt a comprehensive long-range plan to serve as a guide for community development. The plan must consist of an integrated and internally consistent set of goals, policies, and implementation measures. In addition, the plan must focus on issues of the greatest concern to the community and be written in a clear and concise manner. City actions, such as those relating to land-use allocation, annexations, zoning, subdivision and design review, redevelopment, and capital improvements, must be consistent with such a plan. The City of Santa Cruz's general plan serves these purposes. The General Plan Advisory Committee is now in the process of reviewing this plan and will submit its recommendations to the City Council in 2007.
<b>Goal</b>	A goal is a general guideline that explains what is to be achieved. Goals are usually broad-based, long-term, policy-type statements and represent global visions. Goals help define the benefits that a plan is trying to achieve. The success of the RHMP, once implemented, should be measured by the degree to which its goals have been met (that is, by the actual benefits in terms of actual hazard mitigation).
<b>GIS</b>	GIS is a computer software application that relates data regarding physical and other features on the earth to a database for mapping and analysis.
<b>Hazard</b>	A hazard is a source of potential danger or adverse condition that could harm people and/or cause property damage. Natural hazards include floods, tsunamis, and earthquakes. Man-made hazards include acts of terrorism and hazardous material spills.
<b>HMGP</b>	<b>Hazard Mitigation Grant Program</b> Authorized under Section 202 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, the HMGP is administered by FEMA and provides grants to states, tribes, and local governments to implement hazard mitigation actions after a major disaster declaration. The purpose of the program is to reduce the loss of life and property due to disasters and to enable mitigation activities to be implemented as a community recovers from a disaster.

<b>Hazard Mitigation Plan</b>	A hazard mitigation plan is a collaborative document that identifies hazards that could affect a community, assesses vulnerability to hazards, and represents consensus decisions reached on how to minimize or eliminate the effects of hazards.
<b>HAZUS-MH</b>	<b>Hazards U.S. Multi-Hazard (HAZUS-MH) Loss Estimation Program</b> is a GIS-based program used to support the development of risk assessments as required under the DMA. The HAZUSMH software program assesses risk in a quantitative manner to estimate damages and losses associated with natural hazards. HAZUS-MH is FEMA's nationally applicable, standardized methodology and software program and contains modules for estimating potential losses from earthquakes, floods, and wind hazards.
<b>Hydraulics</b>	Hydraulics is the branch of science or engineering that addresses fluids (especially water) in motion in rivers or canals, works and machinery for conducting or raising water, the use of water as a prime mover, and other fluid-related areas.
<b>Hydrology</b>	Hydrology is the analysis of waters of the earth. For example, a flood discharge estimate is developed by conducting a hydrologic study.
<b>Intensity</b>	Intensity refers to the measure of the effects of a hazard.
<b>Inventory</b>	The assets identified in a study region comprise an inventory. Inventories include assets that could be lost when a disaster occurs and community resources are at risk. Assets include people, buildings, transportation, and other community resources.
<b>Landslide</b>	A landslide refers to the sliding movement of masses of loosened rock and soil down a hillside or slope under the force of gravity. Fundamentally, slope failure occurs when the strength of soils forming the slope is exceeded by pressure acting upon the soils (caused by factors such as weight or saturation).
<b>Liquefaction</b>	Liquefaction is the failure of soils when soils lose shear strength and flow horizontally during earthquakes. Liquefaction is most likely to occur in fine-grained sands and silts with high water content. Liquefaction undermines the ground's ability to solidly support building structures. Foundations on liquefiable soils can lose their ability to support load and can experience settlement on the order of several inches or more. This situation is extremely hazardous and may result in extreme property damage and threats to life and safety. Differential settlement can cause significant damage to buildings, lifelines, and transportation structures with partial or total collapse.

<b>Magnitude</b>	Magnitude is the measure of the strength of an earthquake, typically measured by the Richter Scale. Magnitude is most commonly measured by local magnitude (ML) used by the Richter Scale or by Mercalli Intensity. In the Richter Scale, each whole number step in the magnitude scale corresponds to the release of about 31 times more energy than the amount associated with the preceding whole number value.
<b>Mitigation Actions</b>	Mitigation actions are specific actions to achieve goals and objectives that minimize the effects from a disaster and reduce the loss of life and property.
<b>NFIP</b>	<b>National Flood Insurance Program (NFIP):</b> In 1968, Congress created the NFIP in response to the rising cost of taxpayer-funded disaster relief for flood victims and the increasing amount of damage caused by floods. The Mitigation Division is the FEMA section that manages the NFIP and oversees the floodplain management and mapping components of the program. Nearly 20,000 communities across the United States and its territories participate in NFIP by adopting and enforcing floodplain management ordinances to reduce future flood damage. In exchange, NFIP makes federally backed flood insurance available to homeowners, renters, and business owners in these communities.
<b>Objective</b>	An objective is defined as a short-term aim that, when combined with other objectives, forms a strategy or course of action to meet a goal. Unlike goals, objectives are specific and measurable.
<b>Peak Ground Acceleration</b>	Peak ground acceleration is a measure of the highest amplitude of ground shaking that accompanies an earthquake based on a percentage of the force of gravity.
<b>Preparedness</b>	Preparedness refers to actions that strengthen the capability of government, citizens, and communities to respond to disasters.
<b>Presidential Disaster Declaration</b>	These declarations are typically made for events that cause more damage than state and local governments and resources can handle without federal government assistance. Generally, no specific dollar loss threshold has been established for such declarations. A Presidential Disaster Declaration puts into motion long-term federal recovery programs, some of which are matched by state programs, designed to help disaster victims, businesses, and public entities.
<b>Probability of Occurrence</b>	The probability of occurrence is a statistical measure or estimate of the likelihood that a hazard will occur. This probability is generally based on past hazard events in the area and a forecast of events that could occur in the future. A probability factor based on yearly values of occurrence is used to estimate probability of occurrence.
<b>Recovery</b>	Recovery refers to actions taken by an individual or community after a catastrophic event to restore order and community lifelines.

<b>Repetitive Loss Property</b>	<p>A repetitive loss property is any NFIP-insured property that, since 1978 and regardless of any change(s) of ownership during that period, has experienced any of the following:</p> <ul style="list-style-type: none"> <li>· Four or more paid flood losses exceeding \$1,000 each</li> <li>· Two paid flood losses exceeding \$1,000 each within any 10-year period since 1978</li> <li>· Three or more paid losses that equal or exceed the current value of the insured property</li> </ul>
<b>Risk</b>	<p>Risk is the estimated impact that a hazard would have on people, services, facilities, and structures in a community. Risk measures the likelihood of a hazard occurring and resulting in an adverse condition that causes injury or damage. Risk is often expressed in relative terms such as a high, moderate, or low likelihood of sustaining damage above a particular threshold due to occurrence of a specific type of hazard. Risk also can be expressed in terms of potential monetary losses associated with the intensity of the hazard.</p>
<b>Risk Assessment</b>	<p>Risk assessment is the process of measuring potential loss of life, personal injury, economic injury and property damage resulting from hazards. This process assesses the vulnerability of people, buildings, and infrastructure to hazards and focuses on</p> <ol style="list-style-type: none"> <li>1. hazard identification;</li> <li>2. impacts of hazards on physical, social, and economic assets;</li> <li>3. vulnerability identification; and</li> <li>4. estimates of the cost of damage or costs that could be avoided through mitigation.</li> </ol>



<b>Risk Ranking</b>	This ranking serves two purposes, first to describe the probability that a hazard will occur, and second to describe the impact a hazard will have on the people, property, and economy of Santa Cruz. Risk estimates for the City are based on the methodology that the City used to prepare the risk assessment for this plan. The following equation shows the risk ranking calculation: <b>Risk Ranking = Probability + Impact (people + property + economy)</b>
<b>Riverine</b>	Riverine refers to anything of or produced by a river. Riverine floodplains have readily identifiable channels. Floodway maps can only be prepared for riverine floodplains.
<b>Stafford Act</b>	The Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 100-107, was signed into law on November 23, 1988. This law amended the Disaster Relief Act of 1974, Public Law 93-288. The Stafford Act is the statutory authority for most federal disaster response activities, especially as they pertain to FEMA and its programs.
<b>Tornado</b>	A tornado is a violently rotating column of air extending between and in contact with a cloud and the surface of the earth. Tornadoes are often (but not always) visible as funnel clouds. Tornadoes are the most intense of all atmospheric circulations. Winds can reach speeds of more than 300 mph. A tornado's vortex is typically a few hundred meters in diameter, and damage paths can be up to 1 mile wide and 50 miles long.
<b>Vulnerability</b>	Vulnerability describes how exposed or susceptible an asset is to damage. Vulnerability depends on an asset's construction, contents, and the economic value of its functions. Like indirect damages, the vulnerability of one element of the community is often related to the vulnerability of another. For example, many businesses depend on uninterrupted electrical power. Flooding of an electric substation would affect not only the substation itself but businesses as well. Often, indirect effects can be much more widespread and damaging than direct effects.
<b>Watershed</b>	A watershed is an area that drains down gradient from areas of higher land to areas of lower land to the lowest point, a common drainage basin.
<b>Wildfire or Wildland Fire</b>	These terms refer to any uncontrolled fire occurring on undeveloped land that requires fire suppression. The potential for wildfire is influenced by three factors: the presence of fuel, topography, and air mass. Fuel can include living and dead vegetation on the ground, along the surface as brush and small trees, and in the air such as tree canopies. Topography includes both slope and elevation. Air mass includes temperature, relative humidity, wind speed and direction, cloud cover, precipitation amount, duration, and the stability of the atmosphere at the time of the fire. Wildfires can be ignited by lightning and, most frequently, by human activity including smoking, campfires, equipment use, and arson.
<b>Windstorm</b>	Windstorms are generally short-duration events involving straight-line winds or gusts exceeding 50 mph. These gusts can produce winds of sufficient strength to cause property damage.
<b>Zoning Ordinance</b>	The zoning ordinance designates allowable land use and intensities for the City. Zoning ordinances consist of two components: a zoning text and a zoning map.

## APPENDIX D

### CRITICAL COUNTY FACILITIES

A critical facility is vital to a community's ability to provide essential services and protect life and property. Loss of a critical facility would result in a severe economic or catastrophic impact. Under the Santa Cruz County Local Hazard Mitigation Plan definition, critical facilities include the following: Fire stations, vehicle and equipment storage facilities, and the emergency operation center.

Facility	Location	Information
Sheriff's Office	701 Ocean St., Santa Cruz	City of Santa Cruz
Aptos-LaSelva Fire Station	6934 Soquel Dr., Aptos	
Ben Lomond Fire Dept.	9430 Hwy 9, Ben Lomond	
Boulder Creek Fire Station	13230 Central Ave., Boulder Creek	
Branciforte Fire Protection District	2711 Branciforte Dr., Santa Cruz	Unincorporated Area
Central Fire Station	930 17 <sup>th</sup> Ave., Santa Cruz	Unincorporated Area
Felton Fire Station	131 Kirby St., Felton	
Zayante Fire Dept.	7700 East Zayante Rd., Felton	
UC Santa Cruz Fire Station	1156 High St., Santa Cruz	City of Santa Cruz
Big Creek Fire Station	240 Swanton Rd., Davenport	CalFire
Burrell Fire Station	25050 Highland Way, Los Gatos	CalFire
Corralitos Fire Station	120 Eureka Canyon Rd., Corralitos	Cal Fire
Felton Fire Station	6059 Hwy 9, Felton	CalFire
Jamison Creek Fire Station	16115 Jamison Creek Rd., Boulder Creek	Calfire
Pajaro Dunes fire Station	2661 Beach Rd., Watsonville	CalFire
Saratoga Summit Fire Station	12900 Skyline Blvd., Los Gatos	CalFire
Soquel Fire Station	4750 Old San Jose Rd., Soquel	CalFire
County Governmental Center	701 Ocean St., Santa Cruz	City of Santa Cruz
Ben Lomond Transfer Station	9835 Newell Creek Rd., Ben Lomond	Unincorporated Area
Buena Vista Landfill	1231 Buena Vista Dr., Watsonville	Unincorporated Area
38 <sup>th</sup> Ave Drainage Facility	38 <sup>th</sup> Ave., Santa Cruz	Unincorporated Area
Felton Yard	207 Hihn St., Felton, 95018	Unincorporated Area
Brommer Yard	2700 Brommer St., Santa Cruz, 95062	Unincorporated Area
D.A. Porath Sanitation Facility	2750 Lode St., Santa Cruz, 95062	Unincorporated Area
Roy Wilson Yard	198 Grimmer Rd., Watsonville	
Davenport Water Treatment Facility	3 <sup>rd</sup> Ave., Davenport, 95017	Unincorporated Area
Emergency Operations Center	495 Deer Park Dr., Santa Cruz	County OES located in City of Santa Cruz
Harbor Master HQ	135 5 <sup>th</sup> Ave., Santa Cruz	Unincorporated Area

## Appendix E

In addition to the critical facilities owned by the county, there are a number of other facilities that serve populations which may require special services and assistance during hazard events such as hospitals, skilled nursing facilities, senior and disabled housing, and schools. The following lists name these facilities.

### Senior Residence and Care Facilities

Agency	Address	City	State	Zip
Aegis Assisted Living	125 Health Terrace	Aptos	CA	95003
Capitola Care Center	1098 38th Ave.	Santa Cruz	CA	95062
Cresthaven Nursing Home	740 17th Ave.	Santa Cruz	CA	95062
De Un Amor	460 Eureka Canyon Rd.	Corralitos	CA	95076
Dominican Oaks	3400 Paul Sweet Rd.	Santa Cruz	CA	95062
Dominican Restorative Care Unit	610 Frederick Street	Santa Cruz	CA	95061
Driftwood Convalescent Hospital	675 24th Ave.	Santa Cruz	CA	95062
Oak Tree Villa	100 Lockwood Lane	Scotts Valley	CA	95066
Pacific Coast Manor	1935 Wharf Rd.	Capitola	CA	95010
Pleasant Care Rehab & Nursing	2990 Soquel Ave.	Santa Cruz	CA	95062
Santa Cruz Health Care Center	1115 Capitola Rd.	Santa Cruz	CA	95062
Sunshine Villa	80 Front St.	Santa Cruz	CA	95060
Valley Convalescent Hospital	919 Freedom Blvd.	Watsonville	CA	95076
Watsonville Nursing & Rehabilitation Center	525 Auto Center Drive	Watsonville	CA	95076
Sunbridge Care Center	535 Auto Center Dr.	Watsonville	CA	95076
Alexandria Victoria Assisted Living	226 Morrissey Blvd	Santa Cruz	CA	95062
Brommer Residential Care	2000 Brommer Street	Santa Cruz	CA	95062
Chanticleer Home	2266 Chanticleer Ave.	Santa Cruz	CA	95062
Chateau Retirement Home	1340 17th Ave.	Santa Cruz	CA	95062
Darwin House	707 Darwin Street	Santa Cruz	CA	95062
Flor's	1106 Darlene Drive	Santa Cruz	CA	95062
Golden Age Convalescent	523 Burlingame Ave.	Capitola	CA	95010
Hanover Guest House	813 Hanover Street	Santa Cruz	CA	95062
La Posada	609 Frederick Street	Santa Cruz	CA	95062
Maple House	410 Pennsylvania Ave.	Santa Cruz	CA	95062
Molina Guest Home*	109 Behler Rd.	Watsonville	CA	95066
Mystic Oaks*	163 Glenwood Drive	Scotts Valley	CA	95062
Oliveira's Guest Home	919 Summer Street	Santa Cruz	CA	95062
Opal Cliffs	4795 Opal cliffs Drive	Santa Cruz	CA	95062
Paloma House	321 Beach Street	Watsonville	CA	95076
Rillera's Guest Home*	40 Fletcher Ct.	Watsonville	CA	95076
Seaview Guest Home #1	7321 Mesa Drive	Aptos	CA	95003
Seaview Guest Home #2	7364 Mesa Drive	Aptos	CA	95003
Shady Rest Manor**	1836 16th Ave.	Santa Cruz	CA	95062
Soquel Leisure Villa*	4101 Fairway Drive	Soquel	CA	95073
The Mansion	1906 Glen Canyon Rd.	Santa Cruz	CA	95060
Transition House	3035 Prather Lane	Santa Cruz	CA	95065

Twilight Manor	2155 17th Ave.	Santa Cruz	CA	95062
Valley Haven Care Home	157 Herman Avenue	Watsonville	CA	95076
Valley Haven Care Home II	99 Airport Blvd.	Watsonville	CA	95076
Villa Cruz Guest Home	127 Laurent Street	Santa Cruz	CA	95062
Watsonville Manor Residential Care	311 Montecito Ave.	Watsonville	CA	95076
Wesley House #2, #3, & #4	121-123 La Selva Drive	La Selva Beach	CA	95076

## Hospitals

Dominican Santa Cruz Hospital 1555 Soquel Dr., Santa Cruz

Sutter Maternity and Surgery Center 2900 Chanticleer Ave., Santa Cruz

## APPENDIX F

**Public Schools – All schools listed are in the unincorporated area of the County**

<b>School</b>	<b>Address</b>
Bonny Doon Elementary	1492 Pine Flat Rd., Bonny Doon
Happy Valley Elementary	3125 Branciforte Dr., Santa Cruz
Del Mar Elementary	1959 Merrill St., Santa Cruz
Green Acres Elementary	966 Botswick Lane, Santa Cruz
Live Oak Elementary	1916 Capitola Rd., Santa Cruz
Ocean Alternative Education	984-6 Botswick Lane, Santa Cruz
Tierra Pacifica Charter School	986 Botswick Lane, Santa Cruz
Shoreline Middle School	855 7 <sup>th</sup> Ave., Santa Cruz
Cypress Charter High School	2039 Merrill St., Santa Cruz
Mountain Elementary	3042 Old San Jose Rd., Soquel
Pacific Elementary	50 Ocean St., Davenport
Freedom Elementary	25 Holly Dr., Freedom
Mar Vista elementary	6860 Soquel Dr., Aptos
Rio Del Mar Elementary	819 Pinehurst Dr., Aptos
Valencia Elementary	250 Aptos School Rd., Aptos
Aptos Junior H.S.	1001 Huntington Dr., Aptos
Aptos H.S.	100 Mariner Way, Aptos
Boulder Creek Elementary	400 Lomond St., Boulder Creek
San Lorenzo Valley Elementary	7155 Hwy 9, Felton
San Lorenzo Valley Middle School	7179 Hacienda Way, Felton
San Lorenzo Valley H.S.	7105 Hwy 9, Felton
Main St. Elementary	3400 N. Main St., Soquel
Santa Cruz Gardens Elementary	8005 Winkle Ave., Santa Cruz
Soquel Elementary	2700 Porter St., Soquel
Cabrillo Community College	6500 Soquel Dr., Aptos

## Appendix G

### Private Schools\*

School	Address
Good Shepard School	2727 Mattison Lane, Santa Cruz
Magic Apple School	2120 Trout Gulch Rd., Aptos
Merit Academy	2392 North Rodeo Gulch Rd., Soquel
Monterey Bay Academy	783 San Andreas Rd., La Selva Beach
Salesian Elementary and Jr. High	605 Enos Lane, Corralitos
Santa Cruz Montessori	6230 Soquel Dr., Aptos
St. Lawrence Academy	6184 Hwy 9, Felton
Tara Redwood	5810 Prescott Rd., Soquel
The Prince Academy	15435 Two Bar Rd., Boulder Creek
Twin Lakes Christian	2701 Cabrillo College Dr., Aptos
VHM Christian	427 Capitola Rd., Santa Cruz

- source: California Dept. of Education  
<http://www.cde.ca.gov/ds/si/ps/documents/privat08.xls>
- These schools are listed in the California Dept. of Education data base if they have 6 or more students on their attendance affidavit

## **APPENDIX H - Successful Programs and Projects**

### **Wildfire Mitigation**

- Implementation and use of a reverse 911 community notification and warning system
- Comprehensive mutual aid system for fire protection
- Routine and frequent training by local and state fire jurisdictions
- Annual Residential Defensible space education and enforcement programs
- Collaborative and cross jurisdiction Vegetation management programs including fuel reduction and shaded fuel break programs
- Preparation of a countywide Community Wildfire Protection Plan (CWPP)
- Implementation of new county building codes addressing WUI related issues including building materials, construction requirements, water system/supply and code enforcement
- Promotion of built-in fire extinguishing alarms and water systems
- Public Works Dept. roadside drainage ditch inspection and clearing, culvert inspection, cleaning and debris removal programs
- “Large Woody Material Management Program” for streams in Dept. of Public Works and Environmental Health Dept.
- Fire protection and prevention is a very high priority issue and the County has addressed this by maintaining our agreement with CAL Fire to run the County Fire program, and improving interoperability of communication systems.
- The 2013 fire and building code has been adopted by all County Fire agencies and the County’s General Plan has been reviewed for consistency with the updated codes.
- County Fire continues to provide public education about fire prevention in public schools businesses and homes through events and annual inspections.

### **Flood and Coastal Erosion Mitigation**

- Multi-year sediment study downstream from burned wildfire areas initiated by Flood Control and Water Conservation District
- Real-time flood control gauge installed by Flood control and Water Conservation District off Ormsby Rd. in the heart of the burn area.
- Avenues (32<sup>nd</sup> to 37<sup>th</sup>) Drainage Project (phases 1 & 2)
- Mission Dr. drainage improvements
- Winkle Ave/Prather Lane Park drainage
- Thurber Lane drainage project
- 14<sup>th</sup> Ave. to Bonita Lagoon drainage
- Venice Dr. drainage improvements
- Soquel Dr.-Bargetto Bridge replacement
- East cliff Dr. emergency crib wall repair
- Repetitive loss properties foundation raising program
- Updated how we define substantial damage/substantial improvement in flood plain management to reflect current structure valuation

- Participation in the Community Rating System to improve flood plain management and reduce insurance costs
- Updating the Safety Element of the General Plan, the Geologic Hazards Ordinance and the Flood Plain Ordinance

### **Earthquake Mitigation**

- “Brace for the Quake” program – seismic retrofits for 1524 housing units

### **Drought**

- The Board of Supervisors has adopted a number of water conservation measures, including water efficient landscaping, prohibition on inefficient use of water, an update of the requirement for the retrofit of water efficient toilets and showerheads upon property transfer, and measures to encourage drought planning among small water systems
- The County participates in regional collaborations and partnerships such as the Integrated Regional Water Management Plan and the Water Conservation Coalition of Santa Cruz County.

### **Climate Change**

- Completion of Climate Action Strategy in February 2013 addressing greenhouse gas emissions reduction and adaptation to climate change.
- Updating the Safety Element of the General Plan, the Geologic Hazards Ordinance and the Flood Plain Ordinance to require assessment of sea level rise in the hazard avoidance and mitigation analysis for projects.



## **Appendix I – Tsunami Response Plan Concept of Operations**

### **CONCEPT OF OPERATIONS**

#### **Special Case: Local Source Tsunami**

Should an event produce a potential tsunami that could impact the County within two hours, public warning and evacuation operations will take place immediately. Warning and evacuation will not be delayed by information gathering or threat assessment.

#### **Alert**

Upon receipt of a Tsunami Watch or Warning from the California State Warning Center, NETCOM will notify all agencies as per the Tsunami Alert Notification Procedure (Attachment C).

The OES staff will evaluate the threat and recommend many – if not all – of the following actions to the County Sheriff (Or Alternate):

- Conduct public warning in the Tsunami Inundation Area
- Notify all Operational Area public safety agencies and organizations
- Move all public safety resources out of the Tsunami Inundation Area
- Activate Operational Area EOC
- Hold over all public safety personnel at the end of current shift
- Stage additional safety resources outside of the Tsunami Inundation Area
- Begin Emergency Public Information efforts

#### **Warning**

In the event of a Tsunami Warning, population in the designated risk areas will be warned and advised to voluntarily evacuate to higher ground or safe refuge areas. The public will be instructed to move by the quickest method available to a point no less than 50 feet above sea level. The expected arrival time of the tsunami will also be provided if available. After warning the general public, alerting and moving institutional populations such as schools or convalescent care facilities has the highest priority.

#### **Evacuation**

The Santa Cruz County Sheriff's Office will lead and direct the tsunami evacuation effort. In each incident area, fire agencies, Public Works, and CERT through field divisions. Resources will report to the Incident Commander and assist in the public warning and evacuation efforts. Evacuations are voluntary.

Public safety agencies will evacuate the tsunami inundation area at least thirty minutes prior to the expected arrival of the first wave. The public will remain outside the tsunami inundation area until the All Clear is sounded.

#### **Traffic Control/Security**

Law Enforcement will establish traffic control along evacuation routes and perimeter security operations at selected points. See Attachment B, Tsunami Evacuation Routes and Control Measures. Evacuated residents and sight-seers will be prohibited from entering the Tsunami Inundation Area under the authority of California Penal Code 409.5.

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Two Tsunami Observation Posts will be established to monitor the arrival of the initial and any subsequent tsunami waves. Observers will maintain contact with NETCOM and report all activity. See Attachment B, Tsunami Evacuation Routes and Control Measures.

#### **Search and Rescue**

For the purpose of coordinating emergency rescue operations, the Santa Cruz coast areas may be

organized into six incidents:

- Watsonville
- Los Olas/Rio Del Mar
- Capitola
- New Brighton Beach
- Wharf/Boardwalk/Downtown Santa Cruz
- North Coast Beaches

Each incident will establish an Incident Command Post and will be resources with fire, law, EMS, public works, and communication assets. Each incident will establish Unified Command and an incident command team. Communications assets will be allocated to and coordinated within each incident prior to re-entering the inundation area.

Initial Incident Objectives:

- Conduct Search and Rescue
- Identify and Isolate Hazards
- Conduct Recovery Operations
- Conduct Security Operations

### **Damage Assessment**

The Damage Assessment Unit at the Operational Area EOC will coordinate all damage assessment teams. Information will be forwarded to the Operational Area EOC Planning Section for evaluation and consolidation.

### **Emergency Public Information**

The Public Information Officer (PIO) at the Operational Area EOC will coordinate all public information activities and will supervise field PIOs assigned to each incident. The PIO may recommend establishing a Joint Information Center (JIC) closer to the scene of the incident. The PIO may also recommend activating an Emergency Public Information Hotline.

### **Inundation Area Re-entry Policy**

Tsunamis may produce several waves with subsequent waves larger than the first. Once public safety personnel and equipment have evacuated the inundation area, they will not re-enter the area until the “All Clear” message has been transmitted by NETCOM.

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## **REFERENCES**

Local Planning Guidance on Tsunami Response, Second Edition; A Supplement to the Emergency Planning Guidance for Local Governments. California Governor’s Office of Emergency Services, May 1998.

Local Guidelines for Controlling Movement of People and Property During an Emergency. California Governor’s Office of Emergency Services, July 1999.

Legal Guidelines for Flood Evacuation. California Governor’s Office of Emergency Services, November 1997.

Tsunami Warning Systems and Procedures; Guidance for Local Officials. Oregon Emergency Management and the Department of Geology and Mineral Industries, 2001.

## Appendix J

### Tsunami Inundation Map Preparation Methodology

Title: Tsunami Inundation Map for Emergency Planning

Method of Preparation:

Initial tsunami modeling was performed by the University of Southern California (USC) Tsunami Research Center funded through the California Emergency Management Agency (CalEMA) by the National Tsunami Hazard Mitigation Program. The tsunami modeling process utilized the MOST (Method of Splitting Tsunamis) computational program (Version 0), which allows for wave evolution over a variable bathymetry and topography used for the inundation mapping (Titov and Gonzalez, 1997; Titov and Synolakis, 1998).

The bathymetric/topographic data that were used in the tsunami models consist of a series of nested grids. Near-shore grids with a 3 arc-second (75- to 90-meters) resolution or higher, were adjusted to “Mean High Water” sea-level conditions, representing a conservative sea level for the intended use of the tsunami modeling and mapping.

A suite of tsunami source events was selected for modeling, representing realistic local and distant earthquakes and hypothetical extreme undersea, near-shore landslides (Table 1). Local tsunami sources that were considered include offshore reverse-thrust faults, restraining bends on strike-slip fault zones and large submarine landslides capable of significant seafloor displacement and tsunami generation. Distant tsunami sources that were considered include great subduction zone events that are known to have occurred historically (1960 Chile and 1964 Alaska earthquakes) and others which can occur around the Pacific Ocean “Ring of Fire.”

In order to enhance the result from the 75- to 90-meter inundation grid data, a method was developed utilizing higher-resolution digital topographic data (3- to 10-meters resolution) that better defines the location of the maximum inundation line (U.S. Geological Survey, 1993; Intermap, 2003; NOAA, 2004). The location of the enhanced inundation line was determined by using digital imagery and terrain data on a GIS platform with consideration given to historic inundation information (Lander, et al., 1993). This information was verified, where possible, by field work coordinated with local county personnel.

The accuracy of the inundation line shown on these maps is subject to limitations in the accuracy and completeness of available terrain and tsunami source information, and the current understanding of tsunami generation and propagation phenomena as expressed in the models. Thus, although an attempt has been made to identify a credible upper bound to inundation at any location along the coastline, it remains possible that actual inundation could be greater in a major tsunami event.

This map does not represent inundation from a single scenario event. It was created by combining inundation results for an ensemble of source events affecting a given region (Table 1). For this reason, all of the inundation region in a particular area will not likely be inundated during a single tsunami event.

References:

Intermap Technologies, Inc., 2003, Intermap product handbook and quick start guide: Intermap NEXTmap document on 5-meter resolution data, 112 p.

Lander, J.F., Lockridge, P.A., and Kozuch, M.J., 1993, Tsunamis Affecting the West Coast of the United States 1806-1992: National Geophysical Data Center Key to Geophysical Record Documentation No. 29, NOAA, NESDIS, NGDC, 242 p.

National Atmospheric and Oceanic Administration (NOAA), 2004, Interferometric Synthetic Aperture Radar (IfSAR) Digital Elevation Models from GeoSAR platform (EarthData): 3-meter resolution data.

Titov, V.V., and Gonzalez, F.I., 1997, Implementation and Testing of the Method of Tsunami Splitting (MOST): NOAA Technical Memorandum ERL PMEL – 112, 11 p.

Titov, V.V., and Synolakis, C.E., 1998, Numerical modeling of tidal wave runup: Journal of Waterways, Port, Coastal and Ocean Engineering, ASCE, 124 (4), pp 157-171.

U.S. Geological Survey, 1993, Digital Elevation Models: National Mapping Program, Technical Instructions, Data Users Guide 5, 48 p.

Purpose of this map:

This tsunami inundation map was prepared to assist cities and counties in identifying their tsunami hazard. It is intended for local jurisdictional, coastal evacuation planning uses only. This map, and the information presented herein, is not a legal document and does not meet disclosure requirements for real estate transactions nor for any other regulatory purpose.

The inundation map has been compiled with best currently available scientific information. The inundation line represents the maximum considered tsunami runup from a number of extreme, yet realistic, tsunami sources. Tsunamis are rare events; due to a lack of known occurrences in the historical record, this map includes no information about the probability of any tsunami affecting any area within a specific period of time.

Please refer to the following websites for additional information on the construction and/or intended use of the tsunami inundation map:

State of California Emergency Management Agency, Earthquake and Tsunami Program:

<http://www.oes.ca.gov/WebPage/oeswebsite.nsf/Content/B1EC51BA215931768825741F005E8D80?OpenDocument>

University of Southern California – Tsunami Research Center:

<http://www.usc.edu/dept/tsunamis/2005/index.php>

State of California Geological Survey Tsunami Information:

[http://www.conservation.ca.gov/cgs/geologic\\_hazards/Tsunami/index.htm](http://www.conservation.ca.gov/cgs/geologic_hazards/Tsunami/index.htm)

National Oceanic and Atmospheric Agency Center for Tsunami Research (MOST model):

<http://nctr.pmel.noaa.gov/time/background/models.html>

Map base:

Topographic base maps prepared by U.S. Geological Survey as part of the 7.5-minute Quadrangle Map Series (originally 1:24,000 scale). Tsunami inundation line boundaries may reflect updated digital orthophotographic and topographic data that can differ significantly from contours shown on the base map.

Disclaimer:

The California Emergency Management Agency (CalEMA), the University of Southern California (USC), and the California Geological Survey (CGS) make no representation or warranties regarding the accuracy of this inundation map nor the data from which the map was derived. Neither the State of California nor USC shall be liable under any circumstances for any direct, indirect, special, incidental or consequential damages with respect to any claim by any user or any third party on account of or arising from the use of this map.

APPENDIX K

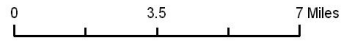
# URBAN AND RURAL SERVICE LINES

## County of Santa Cruz



**Legend**

- Fire Stations
- + Public and Private Schools
- Rural Services Boundary
- Urban Services Boundary
- City Limits



Prepared by the Santa Cruz County GIS November 2009, Project # 091-09

**Appendix L – Mitigation Action Progress Report**

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>A-1 Emergency Preparedness Coordination</b> Continue to participate in the Emergency Management Council by planning, implementing and evaluating pre-event activities including ongoing training for county staff	
Responsible Agency	Office of Emergency Services	
Contact Name	Rosemary Anderson	
Contact Phone/Email	(831) 454-2715	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

The Emergency Management Council continues to meet every two months. All of the local emergency services partners are invited to attend or are voting members.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No



## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>A-2 Flood Policy Change</b> Create a policy interpretation for calculating "Substantial Improvement" more effectively in the floodplain	
Responsible Agency	Planning Dept.	
Contact Name	Antonella Gentile	
Contact Phone/Email	(831) 454-3164 / antonella.gentile@santacruzcounty.us	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

The "Flood Zones –Substantial Improvements" interpretation became effective February 10, 2010. The interpretation effectively increased the substantial improvement dollar value per square foot from \$107 to \$212.17 for most single family dwellings and \$318.25 for single family dwellings in the coastal high hazard area.

The "Flood Zones –Substantial Improvements" interpretation was subsequently superseded by the new Substantial Improvement / Damage Review forms created in March 2013. These forms have simplified the permit requirements for applicants and reduced application review time for staff.

2. What obstacles, problems, or delays did the project encounter?

The "Flood Zones –Substantial Improvements" interpretation worked well for additions but proved to be more difficult to use for remodels. For that reason, the Substantial Improvement / Damage Review forms were created.

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>A-3 Community Rating System Application</b> Apply for and participate in the Community Rating System to improve floodplain management	
Responsible Agency	Planning Dept.	
Contact Name	Antonella Gentile	
Contact Phone/Email	(831) 454-3164 / antonella.gentile@santacruzcounty.us	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Planning Department staff completed the application for participation in the CRS Program during the 2011-2012 fiscal year. The County of Santa Cruz was accepted into the program as a Class 8 Community effective October 1, 2012. Staff has maintained this rating since the effective date.

2. What obstacles, problems, or delays did the project encounter?

Although the application preparation and review process took over 2 years to complete, there were no major obstacles, problems, or delays.

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

5. Other comments

The Class 8 rating qualifies homeowners for a 10% reduction in flood insurance premiums.

Maintenance of program participation should be included as an action item in the forthcoming Hazard Mitigation Plan.

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>A-4 Stormwater Policy and Ordinance Evaluation</b> The County shall evaluate the effectiveness of current policies and ordinances designed to limit storm water runoff and flooding and, if needed, recommend revisions to improve effectiveness of these policies and codes	
Responsible Agency	Planning and Public Works	
Contact Name	Rachel Fatoohi, Dept. of Public Works	
Contact Phone/Email	454-2160, <a href="mailto:Rachel.fatoohi@santacruzcounty.us">Rachel.fatoohi@santacruzcounty.us</a>	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

On 3/6/12, the Board of Supervisors adopted Ordinance No. 5117 adding Chapter 7.79 Runoff and Pollution Control to the Santa Cruz County Code. The adoption of the ordinance was supplemented by changes to the County Design Criteria on the same day

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>A-5 Drainage Plan Evaluation</b> The county shall evaluate the effectiveness of the current drainage plan requirements to ensure that storm water runoff from impervious surfaces does not contribute to flooding and, if needed, revise permit conditions of approval	
Responsible Agency	Public Works	
Contact Name	Rachel Fatoohi	
Contact Phone/Email	454-2160, <a href="mailto:Rachel.fatoohi@santacruzcounty.us">Rachel.fatoohi@santacruzcounty.us</a>	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

What was accomplished for this project during this reporting period?

As part of developing the 2012 Ordinance, requirements for both new and redeveloped sites have been generated to minimize impervious area impacts to flooding and water quality.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

4. Other comments,

Stormwater mitigation requirements apply to new and redevelopment type of projects. Work is needed to develop quantifiable criteria for minimizing impervious areas required by both the Design Criteria and the General Plan

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>A-6 Stormwater Control</b> Develop a "Stormwater Facilities Master Plan" for Flood control Districts 5 & 6	
Responsible Agency	Dept. of Public Works	
Contact Name	Rachel Fatoohi	
Contact Phone/Email	454-2160, Rachel.fatoohi@santacruzcounty.us	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

The Master Plan for Zones 5 and 6, the most urbanized areas of the county, was completed in August 2013. The Master Plan identified recommended improvements that Public Works and the Flood Control Districts will consider in prioritizing the drainage improvements within these zones.

2. What obstacles, problems, or delays did the project encounter?

Condition assessment was completed for a limited number of pipes because of lack of funding.

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

5. Other comments,

New sustainable sources of funding are needed to complete comprehensive condition assessment of the drainage facilities as well as to implement improvements to address the identified capacity and condition restrictions.

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>A-7 Water Conservation</b> Implement water conservation programs to maximize the use of existing water resources	
Responsible Agency	Environmental Health Services	
Contact Name	John Ricker	
Contact Phone/Email	454-2750 john.ricker@santacruzcounty.us	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Long term water conservation measures have been implemented by major water agencies, resulting in declining total demand. During the recent drought, agencies reduced water use from 2013 to 2015 by 25%. The County has implemented additional prohibitions on wasteful water use practices (Chapter 7.69) and has implemented the Water Efficient Landscape Ordinance (Chapter 13.13). Water efficiency measures for large users are required as a condition of obtaining a well permit (Chapter 7.70). Small water systems will be required to install meters and report water use (Chapter 7.71). County staff continue to provide education and outreach on water conservation and respond to complaints of excessive water use.

2. What obstacles, problems, or delays did the project encounter?

It is challenging to determine effectiveness of programs in rural areas where users do not meters on their water sources.

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>A-8 Develop Additional Water Supplies</b> Support the development of additional water supplies and promote more effective use of groundwater storage through increased groundwater recharge and conjunctive use among agencies	
Responsible Agency	Environmental Health	
Contact Name	John Ricker	
Contact Phone/Email	454-2750 john.ricker@santacruzcounty.us	
Project Status	Project is ongoing. Water agencies were pursuing a desalination project but this has been delayed or stopped indefinitely due to community concerns over impacts of the proposed project.	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

County staff completed Phase 1 and Phase 2 of a conjunctive use feasibility study. City of Santa Cruz, San Lorenzo Valley Water District, Scotts Valley Water District and Soquel Creek Water District are all actively evaluating conjunctive use options and negotiations are underway for transfer of surface water to reduce groundwater use. The water districts are also engaged in feasibility studies to look at recharge with treated wastewater. Three projects were implemented to recharge stormwater from existing paved areas and four more are underway.

2. What obstacles, problems, or delays did the project encounter?

Cost and public acceptance have delayed project implementation.

3. Is the project still relevant?

The project is still very relevant and will be further refined as more information is made available for public review.

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>A-9 Drought Protection</b> Promote more effective use of groundwater storage through increased groundwater recharge	
Responsible Agency	Environmental Health	
Contact Name	John Ricker	
Contact Phone/Email	454-2750 john.ricker@santacruzcounty.us	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

County staff completed Phase 1 and Phase 2 of a conjunctive use feasibility study. City of Santa Cruz, San Lorenzo Valley Water District, Scotts Valley Water District and Soquel Creek Water District are all actively evaluating conjunctive use options and negotiations are underway for transfer of surface water to reduce groundwater use. The water districts are also engaged in feasibility studies to look at recharge with treated wastewater. Three projects were implemented to recharge stormwater from existing paved areas and four more are underway. The Resource Conservation District also implemented a project to capture and recharge groundwater from an agricultural area of South County. Stormwater regulations have been amended to require maintaining infiltration rates at pre-development levels for new development and redevelopment.

2. What obstacles, problems, or delays did the project encounter?

Much recharge has already been lost by development in recharge areas. Financing to restore recharge has been made available for grants, but ongoing funding for recharge restoration is limited.

3. Is the project still relevant? Project is relevant as is.

Should the project be changed or revised? No



## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>A-10 Early Notification\ Warning Systems</b> Coordinate a communication system with other agencies and the cities of Santa Cruz, Watsonville and Capitola, including evacuation operations, for homes and businesses in specific hazard areas	
Responsible Agency	OES, CalFire	
Contact Name	Rosemary Anderson	
Contact Phone/Email	(831) 454-2715 rosemary.anderson@santacruzcounty.us	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Continue to meet quarterly with Long Range Radio Infrastructure Management Planning Group to strategically consider interoperability and coordination of communications systems development and change out.

Continue to seek grant funding opportunities for emerging technologies and enhancements.

The narrow banding communications project has been completed for all county emergency services partners.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>A-11 Protection from Dam Failure</b> Update dam inundation maps	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454-3173 david.carlson@santacruzcounty.us	
Project Status	Project pending	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Continue to reference existing General Plan dam inundation maps.

2. What obstacles, problems, or delays did the project encounter?

Project delayed due to limitations of budget and staff resources available to address all priority actions.

3. If uncompleted, is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>A-12 Fire Protection and Prevention</b> Establish and maintain fire protection and prevention agreements with other agencies	
Responsible Agency	CalFire, OES	
Contact Name	Rosemary Anderson, OES	
Contact Phone/Email	(831) 454-2715	
Project Status	Project completed	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Updated existing MOU with Cal Fire to run the County Fire Program.

2. What obstacles, problems, or delays did the project encounter? None

3. If uncompleted, is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>A-13 Reduction of Emergency Response Times</b> Improve road signage visibility and address markings	
Responsible Agency	CalFire, Dept. of Public Works	
Contact Name	Jack Sohriakoff	
Contact Phone/Email	831 454-2392 Jack.Sohriakoff@santacruzcounty.us	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Approximately \$57,600 worth of warning guide signs meeting current state specifications were purchased through a federal Highway Safety Improvement Project (HSIP) grant, and were installed by the County road crews.

County road crews continuously clear vegetation from around traffic control signs to maintain sign visibility.

In addition, Public Works installed tsunami warning signs along the coastal areas in cooperation with Emergency Services.

2. What obstacles, problems, or delays did the project encounter? None.

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>A-14 Communications Interoperability</b> Enhance support for interoperability of communications system with local, state and federal emergency services	
Responsible Agency	OES, CalFire	
Contact Name	Rosemary Anderson	
Contact Phone/Email	(831) 454-2715	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

All communications systems have been changed to comply with the FCC narrow banding requirement.

2. What obstacles, problems, or delays did the project encounter?

Availability of funding

3. Is the project still relevant? Yes

4 Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>B-1 Infrastructure Upgrades</b> Upgrade roadways, sewer, water and other infrastructure to withstand seismic shaking	
Responsible Agency	Dept. of Public Works	
Contact Name	Jack Sohriakoff	
Contact Phone/Email	831 454-2392 Jack.Sohriakoff@santacruzcounty.us	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Continue prioritizing vulnerable infrastructure such as roads, bridges, and drainages structures.

2. What obstacles, problems, or delays did the project encounter? Funding

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>B-2 Review Stormwater Runoff Regulations</b> Review and revise California Environmental Quality Act (CEQA) Initial Study checklist to ensure that storm water runoff is fully considered and mitigated to the extent possible	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	831-454-3173 david.carlson@santacruzcounty.us	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

The Initial Study Checklist used by County staff has been updated to ensure that storm water runoff is fully considered and mitigated to the extend possible.

2. What obstacles, problems, or delays did the project encounter? None

3. Is he project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>B-3 Wildfire Hazard Abatement</b> Reduce fire risk in wildland/urban interface (WUI) by advocating use of improved building materials and appropriate code enforcement, including defensible space and fuel break and reduction programs	
Responsible Agency	CalFire	
Contact Name	David Carlson	
Contact Phone/Email	831-454-3173 david.carlson@santacruzcounty.us	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Defensible space inspections are completed by inspectors from CAL FIRE, engine companies, and fire protection districts (Central and Aptos/La Selva). Educational materials are distributed to residents during inspections, through direct mailing, and at public events including a brief pamphlet focusing on defensible space and a document called Living With Fire in Santa Cruz County.

The Santa Cruz County Code requires new projects and construction to meet fire safety standards consistent with State law (PRC 4290). Chapter 7.92 of the County Code establishes requirements for fuel modification and emergency water supply, as well as minimum fire safe driveway and road standards. New structures built in Santa Cruz County must also comply with fire safety building regulations. These building codes require the use of ignition-resistant building materials in higher risk areas and establish design standards to improve the ability of a building to survive a wildfire.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No



## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>B-4 Adequate Staffing</b> Maintain adequate Fire Suppression and Prevention staffing levels to meet the needs of the county	
Responsible Agency	CalFire	
Contact Name	David Carlson	
Contact Phone/Email	831-454-3173 david.carlson@santacruzcounty.us	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

In spite of long term funding challenges resulting in systematic cuts over the last several years, including reduction of personnel staffing, the County Fire fund balance has been maintained by careful fiscal management for extreme necessity. Grants, CAL FIRE contract saving, and economic recovery have contributed to this preservation. The County Fire's structural budget deficit continues to be the administrative priority. Staff is committed to continue searching for additional methods and strategies for managing and supplementing the County Fire fund while a longer term address of the funding issue continues; on a parallel path, meeting the public's safety must remain the County Fire Department's highest priority and mission.

2. What obstacles, problems, or delays did the project encounter? See above

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>B-5 Geologic Hazards Ordinance Update</b> Seek funds to update and revise the Geologic Hazards Ordinance	
Responsible Agency	Planning Dept.	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454-3173, <a href="mailto:david.carlson@santacruzcounty.us">david.carlson@santacruzcounty.us</a>	
Project Status	Project on schedule, anticipated completion date: 2016	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Funding was obtained and a draft Geologic Hazards Ordinance was prepared and accepted by the Planning Commission during a public hearing.

2. What obstacles, problems, or delays did the project encounter?

The completed Geologic Hazards Ordinance update will be presented to the Board of Supervisors for approval during 2016 in coordination with other updates of portions of the General Plan and County Code.

3. If uncompleted, is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>B-6 Elevation of Structures in Floodplain</b> Continue to pursue elevation of structures above level of 100-year flood	
Responsible Agency	Planning, OES	
Contact Name	Antonella Gentile, Planning Dept.	
Contact Phone/Email	(831) 454-3164 / antonella.gentile@santacruzcounty.us	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Per the Geologic Hazards Ordinance, the Planning Department continues to evaluate incoming building and development permit applications for structures in flood hazard areas to determine if the work will meet or exceed the threshold for a substantial improvement. Structures for which substantial improvements are proposed are required to be elevated. For those projects that do not exceed substantial improvement, the applicant is advised of the benefits of elevation of the structure. During the reporting period, the Planning Department has issued 10 permits for elevated structures and received 8 elevation certificates for newly elevated structures.

2. What obstacles, problems, or delays did the project encounter?

Applicants continue to keep the size of renovation projects below the substantial improvement threshold in order to avoid the elevation requirement.

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>B-7 Stormwater Management</b> Continue to enforce requirements for on-site retention of storm water	
Responsible Agency	Planning and Public Works	
Contact Name	Rachel Fatoohi, Dept. of Public Works	
Contact Phone/Email	454-2160, <a href="mailto:Rachel.fatoohi@santacruzcounty.us">Rachel.fatoohi@santacruzcounty.us</a>	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Public Works Stormwater Management staff continues to require on site mitigations to control runoff volume and rates from new or redeveloped impervious areas.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>B-8 Management of Early Warning System</b> Define public information process including establishing a reverse 911 system that will notify all homes and businesses within tsunami inundation areas and develop media protocol for evacuation notices	
Responsible Agency	OES, multiple agencies	
Contact Name	Rosemary Anderson, OES	
Contact Phone/Email	(831) 454-2715	
Project Status	Project completed	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Continue to use Code Red EWS. Also added IPAWS. In addition, Public Works installed tsunami warning signs along the coastal areas in cooperation with Emergency Services.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>B-9 Minimize Risk from Tsunami</b> Update tsunami inundation maps	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454- 3173, david.carlson@santacruzcounty.us	
Project Status	Project pending	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

The County uses the latest tsunami inundation maps referenced in this plan.

2. What obstacles, problems, or delays did the project encounter?

The existing maps will be updated when new information becomes available.

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>B-10 Protect and Preserve Coastline</b> Protect and preserve coastline through permit review process	
Responsible Agency	Planning, Dept. of Public Works	
Contact Name	David Carlson, Planning Dept.	
Contact Phone/Email	(831) 454- 3173, david.carlson@santacruzcounty.us	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Planning staff is currently involved in protecting and preserving the coastline as we work toward amending the Safety Element of the General Plan and Local Coastal Plan (GP/LCP) to incorporate sea level rise into policies addressing coastal bluff and beach hazards and floodplain management. Planning staff continues to participate in multiple workshops and studies currently underway addressing sea level rise vulnerability and coastal processes in Monterey Bay.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>B-11 Protect Coastline and Infrastructure</b> Encourage replacement of existing seawalls with shoreline protection structures which meet current engineering standards	
Responsible Agency	Planning, Public Works	
Contact Name	David Carlson, Planning Dept.	
Contact Phone/Email	(831) 454- 3173, david.carlson@santacruzcounty.us	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

This action is being pursued in proposed GP/LCP policy amendments which are under development and will continue in 2015-2016 with hearings before the Board of Supervisors and the California Coastal Commission. This will also be informed by completion of sea level rise vulnerability assessments in the Monterey Bay, and coastal regional sediment management studies.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No



## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>B-12 Minimize Risk from Dam Failure</b> Develop an event protocol with the State Division of Safety of Dams	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454- 3173, david.carlson@santacruzcounty.us	
Project Status	Project is pending	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

This project has not been implemented during the reporting period.

2. What obstacles, problems, or delays did the project encounter?

With additional funding and staff resources, and prioritization from both County and State sources, this project may occur during the next reporting period.

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>B-13 Minimize Risks from Expansive soils</b> Continue to require soils reports as part of the building permit process	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454-3173, <a href="mailto:david.carlson@santacruzcounty.us">david.carlson@santacruzcounty.us</a>	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Planning staff continues to require soils reports as part of the building permit process.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-1 Protection of Critical Structures</b> Promote seismic safety upgrade of all emergency use and critical structures	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454-3173, <a href="mailto:david.carlson@santacruzcounty.us">david.carlson@santacruzcounty.us</a>	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

County staff applies the current seismic design standards to all projects with a view to upgrade emergency uses and critical structures. As critical structures renovated modern Building Code standards are applied to each renovation by both the design and review staff.

The County has also developed new policy with regards to Climate Change set strict standards for new critical structure, and identifying the types of hazards that could affect both existing and proposed safety infrastructure and critical structures.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised?

Improving existing emergency infrastructure and critical structures is continuous ongoing process.

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-2 Critical Structural Safety</b> Require all new and replacement critical structures be designed to standards of the California building code and the county's Geologic Hazards Code	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454-3173, <a href="mailto:david.carlson@santacruzcounty.us">david.carlson@santacruzcounty.us</a>	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

All Critical structures have been designed and review to assure compliance with the California Building Code and the County's Geologic Hazards Code.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-3 Training for Planning Staff</b> Train appropriate plan check staff on seismic requirements for structures	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454-3173, <a href="mailto:david.carlson@santacruzcounty.us">david.carlson@santacruzcounty.us</a>	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Plan review staff and the building inspectors undergo continuous education courses through CALBO that include training in current seismic construction standards.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-4 Seismic Zoning</b> Encourage zoning in geologically constrained areas that reflect the nature and extent of the seismic hazard	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454-3173, <a href="mailto:david.carlson@santacruzcounty.us">david.carlson@santacruzcounty.us</a>	
Project Status	Project is pending	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

The County's zoning has not been revised during the reporting period. The County's permit review process already requires addressing geologic constraints.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

5. Other comments

Updating zoning designations to reflect geologic constraints may be helpful, but is not a high priority action at this time because the permit review process already requires addressing geologic constraints.

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-5 Fire Safety and Prevention Programs</b> Implement additional fire prevention programs in schools, institutions, and commercial buildings through inspections and education	
Responsible Agency	CalFire	
Contact Name	David Carlson	
Contact Phone/Email	831-454-3173 david.carlson@santacruzcounty.us	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

County Fire puts on education programs for schools and businesses in the unincorporated areas of the County.

Additional school programs have been added as part of the County Fire Prevention and Education program. All schools in County Fire are inspected annually. Commercial Business inspections are ongoing.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-6 Promote Alarm and Fire Retardant Systems</b> Promote installation, inspection, and testing of built-in fire alarm and sprinkler systems	
Responsible Agency	CalFire	
Contact Name	David Carlson	
Contact Phone/Email	831-454-3173 david.carlson@santacruzcounty.us	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

The new 2013 fire and building code has been adopted by all County Fire agencies.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No



## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-7 WUI Land Use Planning</b> Promote land use planning to reduce incidence of human-caused wildfires	
Responsible Agency	CalFire	
Contact Name	David Carlson	
Contact Phone/Email	831-454-3173 david.carlson@santacruzcounty.us	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Review of the County's General Plan Fire Safe Element has brought alignment of the road and building standards.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-8 Emergency Personnel Road Access</b> Advocate for creation of secondary road access improvement	
Responsible Agency	CalFire	
Contact Name	David Carlson	
Contact Phone/Email	831-454-3173 david.carlson@santacruzcounty.us	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Review of the County's General Plan Safety Element has brought alignment of the road and building standards.

The new 2013 fire and building code has been adopted by all County Fire agencies.

2. What obstacles, problems, or delays did the project encounter?

The County continues to enforce current fire and building codes, and the secondary access road standards in the Safety Element.

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-9 Drainage system Infrastructure Integrity</b> Continue to inspect and maintain drainage system infrastructure	
Responsible Agency	Public Works	
Contact Name	Joel La Cagnin	
Contact Phone/Email	(831) 454-2799, <a href="mailto:dpw117@co.santa-cruz.ca.us">dpw117@co.santa-cruz.ca.us</a>	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

The Department of Public Works continued to inspect and maintain drainage system infrastructure. Approximately 3000 junction structures, 2262 minor culverts, and 160 bridges and major culverts are maintained. From FY09/10 through FY13/14, 36 culverts were replaced.

2. What obstacles, problems, or delays did the project encounter?

Public Works continues to struggle to maintain the County's existing drainage infrastructure due to the continued shortfall of State and Federal funds and declining gas tax revenues. The lack of available funding affects our staffing levels and our ability to perform necessary maintenance and repairs.

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

5. Other comments

Public Works maintenance of the County's drainage infrastructure is ongoing.

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-10 Flood Mitigation Education</b> Develop public education materials by working collaboratively with community groups, non-governmental organizations and the local media	
Responsible Agency	Planning	
Contact Name	Antonella Gentile	
Contact Phone/Email	(831) 454-3164 / antonella.gentile@santacruzcounty.us	
Project Status	Project is completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Distribution of a flood hazard brochure is required as a condition of participation within the CRS Program. The brochure is sent out annually to properties within flood hazard areas. The brochure is also provided annually to real estate offices, mortgage companies, and insurance providers within the County.

In addition, the Santa Cruz County Stream Care Guide was updated in August 2013.

2. What obstacles, problems, or delays did the project encounter?

The flood hazard brochure gets sent to over 4700 addresses every year. This requires significant staff resources to print, fold and tape the brochures.

3. Is the project still relevant? Yes

4. Should the project be changed or revised? See below

4. Other comments

In 2017, the County will be required to update the CRS program to conform to the guidelines in the revised CRS Coordinator's Manual. The brochure at that time will likely be replaced with a postcard that directs the property owner or resident to an online resource with the required information.

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-11 Open Space in Flood Zones</b> Regulate development in flood zones to optimize preservation of open space	
Responsible Agency	Planning	
Contact Name	Antonella Gentile	
Contact Phone/Email	831-454-3164 / antonella.gentile@santacruzcounty.us	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

The existing Geologic Hazards Ordinance and Riparian Corridor and Wetland Protection Ordinance have been effective at preserving open space for most development. The Planning Department continues to improve on understanding the relationship between the two and enforcing them cohesively. The new Flood Hazard Ordinance has been drafted and will further optimize preservation of open space through clarification of the existing ordinance.

2. What obstacles, problems, or delays did the project encounter?

Revisions to the Riparian Corridor and Wetland Protection Ordinance have been postponed due to limited staff resources.

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

5. Other comments

Adoption of the Flood Hazard Ordinance should occur in 2016.

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-12 Flood Zone Development Regulation</b> Limit development and monitor conditions of development and grading permits near natural channels and wetlands to prevent sedimentation	
Responsible Agency	Planning, Public Works	
Contact Name	Antonella Gentile, Planning Dept.	
Contact Phone/Email	831-454-3164 / antonella.gentile@santacruzcounty.us	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

The Riparian Protection ordinance prohibits development within riparian corridors and buffers. The Planning Department actively works with developers to reduce the need for Riparian Exceptions when they can be avoided. In addition, the new Runoff and Pollution Control ordinance authorizes Public Works staff to inspect stormwater mitigation practices for development projects once every five years (or more often if necessary).

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-13 Promote Drought Planning</b> Promote drought planning by the 130 small water systems under county jurisdiction	
Responsible Agency	Environmental Health	
Contact Name	John Ricker	
Contact Phone/Email	454-2750 john.ricker@santacruzcounty.us	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

We have been in touch with water systems during the recent drought to provide water saving suggestions and technical assistance as needed. The state has offered funding assistance for emergency assistance. Metering and reporting of total water use will be required of small water systems.

2. What obstacles, problems, or delays did the project encounter?

Systems have limited resources. Only a few have experienced any problems during the recent 4 year drought and most do not have an incentive to invest time and effort into developing a drought plan. Most have made water saving recommendations to their users in the absence of a formal plan.

3. Is the project still relevant? Yes

4. Should the project be changed or revised?

Ongoing support and encouragement is warranted, but a higher level of effort is probably not needed.

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-14 New Regulations in Tsunami Inundation Areas</b> Encourage investigation of the tsunami threat to the county and update development regulations based upon this investigation	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454-3173, david.carlson@santacruzcounty.us	
Project Status	Project is pending	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

The County uses the latest tsunami inundation maps referenced in this plan.

2. What obstacles, problems, or delays did the project encounter?

The existing maps will be updated when new information becomes available.

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No



## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-15 Restoration of Coastline</b> Protect and preserve the coastline and infrastructure through restoration efforts	
Responsible Agency	Dept. of Public Works	
Contact Name	Joel La Cagnin	
Contact Phone/Email	(831) 454-2799, <a href="mailto:dpw117@co.santa-cruz.ca.us">dpw117@co.santa-cruz.ca.us</a>	
Project Status	Project completed and ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Construction of the East Cliff Drive, 32<sup>nd</sup> Avenue to 36<sup>th</sup> Avenue and 41<sup>st</sup>, Bluff Protection Project completed on March 21, 2011. Continued maintenance of existing coastal protection structures as needed.

2. What obstacles, problems, or delays did the project encounter?

Public Works continues to struggle to maintain its existing coastal protection infrastructure due to the continued shortfall of State and Federal funds and declining gas tax revenues. The lack of available funding also affects the Departments ability to plan, design, and construct new coastline protection infrastructure.

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

5. Other comments

Protection, preservation, and restoration of the coastline is an ongoing project.

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-16 Update Inundation Maps</b> Update dam inundation maps	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454-3173	
Project Status	See Mitigation Action A-11	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?
2. What obstacles, problems, or delays did the project encounter?
3. If uncompleted, is the project still relevant?
4. Should the project be changed or revised?
5. Other comments

This Mitigation Action should be deleted because it is duplicated by Mitigation Action A-11

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-17 Review Dam Hazards</b> Review dam evaluation files to determine the extent of potential dam failures	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454-3173, david.carlson@santacruzcounty.us	
Project Status	Project is pending	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

This project was not implemented during the reporting period.

2. What obstacles, problems, or delays did the project encounter?

With additional funding and staff resources, and prioritization, this project may occur during the next reporting period.

3. If uncompleted, is the project still relevant? Should the project be changed or revised?

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-18 Minimize Landslide Risk</b> Continue to require that the county geologist review development in areas of suspected landsliding and require engineering reports when landsliding is identified or suspected	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454-3173, <a href="mailto:david.carlson@santacruzcounty.us">david.carlson@santacruzcounty.us</a>	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Staff does review all development for slope instability including landsliding.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-19 Landslide Regulations</b> Continue to require that an engineering geologist and/or geotechnical engineer investigate the site of any proposed construction near landsliding and require mitigation of landslide hazards before issuing any building or grading permits	
Responsible Agency	Planning Dept.	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454-3173, <a href="mailto:david.carlson@santacruzcounty.us">david.carlson@santacruzcounty.us</a>	
Project Status	Project is ongoing c	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Sites near landsliding are (were) investigated by engineering geologist and/or geotechnical engineers, and mitigations are required (where necessary) to prevent damage to development.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-20 Landslide Inspections</b> Continue to require that an engineering geologist and/or a geotechnical engineer investigate any landslide damage to homes or roadways before repair of the landslide and reuse of the homes or roadways	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454-3173, <a href="mailto:david.carlson@santacruzcounty.us">david.carlson@santacruzcounty.us</a>	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

Engineering geologic and geotechnical engineering investigations and reports have been required for all homes and habitable structures damaged by landsliding.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-21 Minimize Damage from Expansive Soils</b> Develop design criteria for areas of known expansive soils	
Responsible Agency	Planning	
Contact Name	David Carlson	
Contact Phone/Email	(831) 454-3173, <a href="mailto:david.carlson@santacruzcounty.us">david.carlson@santacruzcounty.us</a>	
Project Status	Project completed	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

The permit review process uses design criteria based on the State building code and local amendments.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No

## Mitigation Action Progress Report Form

Progress Report Period	From Date: 2010	To Date: 2015
Action/Project Title	<b>C-22 Climate Change</b> Address climate change in Public Health Preparedness Plan Update, General Plan Update and other pertinent plans in order to implement policies and programs to reduce impact of climate change	
Responsible Agency	Public Health, Planning	
Contact Name	David Carlson, Planning Dept.	
Contact Phone/Email	(831) 454-3173, <a href="mailto:david.carlson@santacruzcounty.us">david.carlson@santacruzcounty.us</a>	
Project Status	Project is ongoing	

### Summary of Project Progress for this Report Period

1. What was accomplished for this project during this reporting period?

The County has adopted a Climate Action Strategy that provides recommendations for addressing climate change in the updates of other pertinent plans. Staff is working on an update of the General Plan Safety Element to implement policies and programs to reduce impact of climate change. The Public Health Preparedness Plan is intended to prepare the County for the type of impacts to public health that could occur as a result of climate change.

2. What obstacles, problems, or delays did the project encounter? None

3. Is the project still relevant? Yes

4. Should the project be changed or revised? No