Request for Proposals
AB109 Data Collection and Evaluation Services

1. Introduction

1.1. Purpose
The Santa Cruz County Probation Department (SCCPD) is issuing this Request for Proposals (RFP) for the procurement of data collection and evaluation services related to the implementation and collective impact of the County’s AB109 Public Safety Realignment services. Services will encompass evaluation design, data collection and analysis, and interim and final reporting. The scope of the evaluation will include both implementation and outcomes of strategies for corrections, community supervision, and treatment and intervention services. The intention is to establish an on-going evaluation framework that will continue to provide valuable information to justice system stakeholders and the Board of Supervisors.

The County of Santa Cruz has multiple goals for this a data collection and evaluation plan, including (but not limited to) the following:

- Provide a snapshot of the AB109 target population and prioritize the needs and concerns serving the community as a whole (public safety) and the target population;
- Track progress of AB109 implementation, initiatives, programs, and services, and assess these in comparison to best practices as defined by research;
- Evaluate the collective impact of AB109 on local recidivism, based on definitions provided by the Board of State and Community Corrections (BSCC) and the Santa Cruz County Community Corrections Partnership (CCP);
- Evaluate the contribution of individual elements of AB109 implementation toward the collective impact of a reduction in recidivism;
- Enhance the capacity of all entities involved with the AB109 population to implement evidence based practices (EBP), with a focus on quality assurance and continuous quality improvements as it relates to the implementation, use, and sustainment of EBP;
- Provide accurate information for public education and media attention; and
- Provide information needed to implement a results-based funding model aligned with CCP strategic plans and outcomes, and develop mitigation strategies for unmet needs.

Private, not-for-profit organizations, for-profit organizations, public agencies, individuals and not-for-profit institutions of higher education with experience in developing and implementing complex data collection and evaluation systems related to justice systems, systems of care, or public service delivery are invited to apply to this RFP. If you or your organization is interested and capable of providing the requested services by contract with the County of Santa Cruz, please submit your responses following the RFP response instructions.

RFP applicants must demonstrate their ability to evaluate and analyze the fidelity, effectiveness and outcomes of reentry and related AB109 services. Applicants must demonstrate their ability to develop data collection and program evaluation systems and policies to support AB109
service implementation and an analysis of the data collected. The awarded contractor will be expected to utilize their findings to provide recommendations of additional strategies and expected outcomes that may have a positive impact on the County’s AB109 system.

1.2 Funding

A total of up to $150,000 is available to fund AB109 program data collection and evaluation services under this RFP. Funds are available for an 18-month period, from April 1, 2016 through September 30, 2017. The County will retain the discretion to renew any contract from one fiscal year to the next, contingent on availability of funding and demonstrated successful performance by funded entities during the initial contract period.

This solicitation is not in any way to be construed as an agreement, obligation, or contract between the County and any party submitting a response, nor will the county pay for any costs associated with the preparation of any response.

1.3 Review and Selection

The SCCPD will convene a panel to review RFP responses submitted in accordance with the guidelines provided below. Based on the comments and recommendations of this panel, the SCCPD will request approval from the Board of Supervisors to develop a contract for data collection and program evaluation services. All contracts must be approved by the Board prior to the beginning of service delivery.

<table>
<thead>
<tr>
<th>RFP Projected Timeline</th>
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<tbody>
<tr>
<td>December 15, 2015</td>
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<tr>
<td>December 16, 2015</td>
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<td>January 20, 2016</td>
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<td>January 27, 2016</td>
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<td>February 3, 2016</td>
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<td>February 4, 2016</td>
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<td>March, 2016</td>
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<td>April 1, 2016</td>
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2. Background and Expectations

2.1 Background

In an effort to address overcrowding in California’s prisons and assist in alleviating the state’s financial crisis, the Public Safety Realignment Act (AB109) was signed into law on April 4, 2011. AB109 transfers responsibility for supervising specified lower level inmates and parolees from the California Department of Corrections and Rehabilitation to counties. Implementation of the Public Safety Realignment Act took effect October 1, 2011.

On October 4, 2011, the Santa Cruz County Board of Supervisors approved the Santa Cruz County Public Safety Realignment and Post Release Community Supervision 2011
Implementation Plan\(^1\). This plan describes the State and local background, the values and principles guiding local implementation of AB109, as well as the organizational model, membership, and planning process for the Community Corrections Partnership (CCP). The plan calls for ongoing implementation and planning that focuses on three main areas:

- Establish an array of effective alternatives to incarceration to address the impacts that the realigned population will have on the county jail in order to avert crowding and poor conditions of confinement without jeopardizing public safety outcomes;
- Implement evidence-based probation supervision to properly assesses risk factors associated with recidivism and provide effective probation interviewing, case planning, and community supervision to ensure public safety and reduce recidivism; and
- Develop community partnerships for intervention services that adhere to the principles of evidence-based practices for maximum recidivism reduction.

2.2 Local AB109 Model

The Santa Cruz County AB109 model for treatment and intervention services is a collective impact strategy aimed at contributing to a reduction in incidence and severity of recidivism among the AB109 population (Kania & Kramer, 2011). A focused, coordinated network of community-based service providers are trained and resourced to provide specific reentry services and supports linked by evidence based research to the reduction of criminal recidivism. The following are local AB109 reentry related services (see Attachment A for a description of AB109 services):

- Programs Addressing Criminal Thinking, Behavior and Identity
- Substance Use Disorder Treatment and Recovery Maintenance
- Workforce and Job Placement Services
- Educational Programming
- Mental Health Care
- Family Involvement
- Housing Support
- Reentry Planning and Community Support
- Community Education and Engagement

The SCCPD provides centralized case management for the AB109 population, working in partnership with the Santa Cruz County Sheriff’s Office (SCCSO) for individuals under the supervision of the SCCSO’s Corrections Department. This includes initial and periodic risk and needs assessment, individualized case planning, service referral and follow-up, along with monitoring of all court terms and conditions. Probation Officers work directly with local organizations to provide services based on individual need and responsivity factors that present a barrier to successful reentry. Additional information about AB109 and Santa Cruz County’s

\(^1\)http://sccounty01.co.santa-cruz.ca.us/prb/RealignmentPlan.pdf
implementation can be found online at the Community Corrections Partnership AB109 Toolkit (http://www.ccpab109toolkit.com/).

The SCCPD works with AB109 service providers to maintain data collection, contract management, and reporting of implementation and outcomes. See the County’s AB109 Implementation Logic Model attached to this RFP. The contractor chosen to provide evaluation services under this RFP is expected to collaborate with County staff and community service providers to identify additional data elements, develop protocols for data collection, sharing, use, and design formative and summative evaluation plans to assess AB109 implementation. RFP applicants should demonstrate past experience with or willingness to collaborate with other partners that may be engaged by the County.

2.3 Overview of AB109 Population in Santa Cruz County

The AB109 population includes offenders released from state prison on or after October 1, 2011 who are placed on Post Release Community Supervision (PRCS) conducted by the Probation Department and offenders convicted of a non-violent, non-serious, non-sex offense pursuant to Penal code 1170(h) who are incarcerated in County jail and/or assigned to Mandatory Supervision by Probation. The AB109 target population includes many individuals still at a pre-contemplative stage with regard to treatment and change. Cultural competence and responsive service delivery are essential elements to the effectiveness of reentry services. The SCCPD is committed to ensuring that culturally, linguistically, cognitively and developmentally appropriate and proficient services are available in order to facilitate full engagement, positive change, and reentry success. The County in partnership with Community Based Organizations (CBO) have extensive experience in delivering culturally competent reentry services to a diverse population.

From October 1, 2011 to September 30, 2015, a total of 667 individuals have been designated as either 1170 (n=300) or PRCS (n=367). Of this group, approximately 13.6% are female and 86.4% male; 36.3% are Hispanic/Latino, 52.5% White, 8.1% African American, and 3.1% other/unknown ethnicity. A total of 60.3% were assessed as high risk, 25.7% moderate risk, and 14.0% low risk. Among 1170 offenders, 52.5% received custody only sentences, and 47.5% were sentenced to a combination of custody and community supervision.

An assessment of local data conducted by the Center for Advancing Correctional Excellence at George Mason University that the current configuration of AB109 services has a significant gap in the area of programs targeting criminal thinking, behaviors and identity. At the same time, there may be more services dedicated to addressing life skills development than needed to reduce recidivism among the target population. The following chart shows the percentage of AB109 population with a primary need in each service area, compared to the number receiving services in those areas. Because each individual has only one primary need, the total is 100% or less.

AB109 Population Primary Service Needs By Type: Gap Analysis, 2013-2015
(George Mason University Risk Needs Responsivity Simulation Tool)

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AB109 individuals have needs in multiple areas directly related to criminal recidivism. The following chart shows the overall percentage of the AB109 population that have any level of need in each of the listed service areas. Because each individual can have need in more than one area, the total is greater than 100%.


(George Mason University Risk Needs Responsivity Simulation Tool)

2.4 Services and Outcomes

In responding to this opportunity to develop a comprehensive data collection and program evaluation strategy, applicants should demonstrate capacity and experience related to services in this RFP. The evaluation plan should measure the extent to which AB109 implementation and services have improved long-term criminal justice outcomes for the AB109 population, increased public safety, reduced recidivism, and enhanced coordination amongst public and community service providers. Evaluation questions should include, but not be limited to, the following:

1. What are the local demographic characteristics of the AB109 population?
2. What are the criminal history and risk characteristics of the AB109 population?
3. What evidenced-based interventions and other services are participants receiving, and what level of service intensity and dosage do they receive?
   a. What percentage of referrals started services and completed services?
   b. What was the time lapse between service referral and intake/start of services?
4. Which AB109 participants succeed in community supervision?
   a. Do demographic and background characteristics impact success?
   b. Are specific treatment programs or interventions and/or combination of interventions related to success?
   c. Compare outcomes for different programming pathways (for example received services versus no services, 1170(h) straight versus split).
   d. How does the use of drug testing and GPS impact participants’ success?
   e. After controlling for background and demographic factors, how do treatment programs and interventions affect success?
5. Which AB109 participants recidivate, and at what level compared to their prior offenses?
   a. Are there specific demographic and background characteristics that impact recidivism?
   b. Are specific treatment programs or interventions and/or combinations of interventions related to recidivism?
   c. How does the use of drug testing and GPS impact participants’ rates of recidivism?
6. How do probation outcomes and recidivism rates for AB109 participants change over time, specifically before and after AB109 implementation?
7. What state and national data can local findings be compared to, and what is learned about local implementation from this comparison?

2.5 Project Deliverables
1. A detailed evaluation plan, including key evaluation questions, data collection protocols, methods of analysis, timelines and workplan responsibilities for completing evaluation tasks.
2. Complete data set including all data collected, entered, and analyzed as part of the study, a complete data dictionary of variables, and syntax used for analyses.
3. Two six-month interim reports and one final written report including a project summary, methodology, findings, challenges, recommendations and sustainment. Findings, recommendations and sustaintainment information must address the objectives described herein and in the agreed-upon service plan. The report shall be provided electronically to the SCCPD.

2.6 Contract Evaluation
The SCCPD will actively monitor services provided through this contract.
At a minimum contractors are expected to:

1. Perform all services without material deviation from an agreed-upon service plan. The County recognizes that the development of a comprehensive data and evaluation plan may involve several phases of work; applicant should provide a project phase plan and timeline in their response.

2. Complete progress reports.

3. Maintain adequate records of service provision to document compliance with Service Plan.

4. Cooperate with the collection of other fiscal, administrative, and/or service data as requested by county.

3. RFP Instructions and Requirements

1. Response due by January 20, 2016, by 4:00 pm to:
   Santa Cruz County Probation Department
   Attention: Andrew Davis, Senior Departmental Administrative Analyst
   303 Water Street, Suite 9, Santa Cruz, California, 95060

2. Proposals must be received prior to the time specified above. Late proposals will not be considered under any circumstances.

3. Responses must be in the form of one (1) package containing a complete response and all required supporting information, forms, and documents.

4. Responses should be single spaced, 1-inch margins, 12 point font. Faxed submissions are not acceptable.

5. Total response should not exceed 15 pages excluding cover sheet, table of contents, and required forms and attachments.

6. All information in the response package must be presented following the Response Outline (Section 4.2).

7. All costs incurred in the preparation of a response will be the responsibility of the applicant and will not be reimbursed by the County.

8. With respect to this RFP, the County reserves the right to reject any, some or all responses. The County reserves the right to negotiate separately in any manner to serve the best interests of the County. All responses become property of the County, without obligation to the applicant.

9. Responses will be judged on overall quality of content and responsiveness to the purpose and specifications of this RFP. A review panel will evaluate all responses received and will make recommendations to the Board of Supervisors. Award of a contract by the Board of Supervisors will constitute acceptance of a response.

10. Successful applicants will be expected to promptly enter contract negotiation with the SCCPD. This may result in mutually agreed upon changes in plans or activities identified
in the response. As a result of this negotiation, actual contract(s) may include other agreements and clarifications of activities, consistent with the intent of this RFP.

11. Services will begin upon the signing of a contract according to a mutually agreed upon start-up schedule. The county is not liable for any costs incurred by the contractor prior to the effective date of any contract.

12. Selected contractor(s) will be responsible for all services offered in their response, whether or not contractor(s) perform them directly or through subcontractors in multiple agency collaboration.

13. Contracts from this RFP will be an 18 month period (April 1, 2016 through September 30, 2017).

For technical questions regarding this solicitation, contact Andrew Davis at the Santa Cruz County Probation Department by phone at (831) 454-3393 or by email at Andrew.Davis@santacruzcounty.us; or Sara Jamison by phone (831) 454-3398, or email Sara.Jamison@santacruzcounty.us.

4. Criteria and Response Outline

4.1 Response Outline

The following items must be submitted in one package prior to the submission deadline:

4.1.1 Applicant Cover Statement (Form#1)

Please provide the name of your organization with contact person, mailing address, phone number, web address, and e-mail address. Include original signature, in blue ink, of the applicant’s Authorized Representative.

4.1.2. Applicant Statement of Qualifications (Form #2)

Please provide the requested information in form #2. For questions 5-8, if applicant has answered yes, please provide an explanation or description. Include original signature, in blue ink, of the applicant’s Authorized Representative.

Note: When more than one agency will collaborate in providing service(s), each agency involved must complete this form.

4.1.3 Contracts and Grants (Form #3)

Please list current and related contracts. Include original signature, in blue ink, of the applicant’s Authorized Representative.

4.1.4 Overview (Maximum 3 pages) (15 points)

A. Applicant’s organizational mission and history, years in operation, and number of years providing professional evaluation services.

B. Applicant’s primary areas of expertise and current core services.
C. Proposed staffing for this project; qualifications, credentials and project roles. (CVs may be included as an attachment that will not count against page limit.)

4.1.5 Service Description (Maximum 5 pages) (40 points)

A. Describe your approach to accomplishing the data and evaluation program goals described herein. What methods, activities, and phases do you anticipate? Describe the proposed research plan purpose, goals and objectives, and methodology to be used. Describe the plan for collecting and analyzing data.

B. Describe project timeline and milestones and how these milestones correlate to potential phases in the overall scope of work.

C. Describe the key challenges likely to emerge and identify potential solutions to address such challenges.

D. Describe the role, level of expected involvement, and structure/opportunities for inclusion of relevant stakeholders. Include who needs to be involved, what involvement is required, and what mechanisms you would employ to foster involvement.

4.1.6 Technical Expertise (Maximum 3 Pages) (15 points)

A. Describe you expertise as it relates to:
   - Criminal justice systems and/or other systems of care
   - AB109 Public Safety Realignment
   - Evidence-based practice in the criminal justice setting
   - Culturally competent evaluation methodology and analysis
   - Multi-system coordination and systems integration

B. Discuss your expertise in group facilitation, project management of multi-stakeholder initiatives that engage community members, public agencies, and community-based organizations.

C. Discuss your expertise with various state and federal laws mandating varying levels of confidentiality as it relates to inter-agency data sharing. Discuss issues related to anonymized data, inter-agency MOUs regarding information access, sharing, use, and privacy.

4.1.7 Experience with Similar Programs/Projects (Maximum 2 Pages) (20 points)

A. Describe any similar past projects including the scope of the project, relevance, stakeholders, and a brief summary of the approach and services provided. If relevant, describe any collaborative partners engaged to complete the project. In addition, indicate any challenges encountered and how they were addressed.
B. Describe any similar past projects that involved informal or formal collaboration with additional research partners or initiatives. For example, multiple investigators around the state of California are studying and evaluating AB109 and some of these initiatives may operate in Santa Cruz County simultaneous to the project identified in this scope of work. Describe past experience collaborating with research partners and highlight any lessons applicable to this scope of work.

4.1.8 Estimated Cost (Maximum 2 Pages) (10 points)

Please include a draft budget covering the time period from April 1, 2016 through September 30, 2017. Include estimated costs for staffing and operations, as well as matching resources. This draft budget may be revised based on input from the review panel and discussion with the SCCPD and the CCP Executive Committee.

A. Outline your cost structure. Include the compensation rates and hours/FTEs of the proposed project personnel.

B. Estimate the cost for the program and or projects undertaken, if a phased approach is utilized. Tie costs to anticipated phases or milestones.

C. If you anticipate using subcontractors or partners, explain the proposed scope and costs anticipated for their services.

4.1.9 Additional Standard Terms and Conditions

In the event that an organization is selected for funding, additional documentation will be required in order to develop a contract for services. These additional items are provided for your information. They are not to be completed and submitted as a part of the RFP. This will include the following:

- A standard County Independent Contractor Agreement (ICA) with terms and conditions that will be incorporated into the contract for services.

- Evidence of insurance for all Worker’s Compensation, Automobile Liability, Comprehensive or Commercial General Liability and Professional Liability Insurances will be required as described on page 2 of the ICA.

- W-9 Form (If current W-9 not already on file with the County of Santa Cruz)

- Living Wage Forms

- Detailed Scope of Services

- Services Budget with detailed Rate Sheet/Cost Schedule
RATING SHEET

Project elements will be weighted as follows with a maximum score of 100:

Project Elements and Possible Score

Overview (0-15 points)
  Applicants relevant project history (up to 3 points)
  Applicant’s qualifications towards this project (up to 3 points)
  Agency and staff relevant experience and expertise (up to 3 points)

Service Description (0-40 points)
  Project design/methodology (up to 15 points)
  Project implantation and action steps and timelines (up to 15 points)
  Collaboration/coordination with stakeholders and entities (up to 10 points)

Technical Expertise (0-15 points)
  Subject matter expertise (up to 5 points)
  Expertise in project management (up to 5 points)
  Expertise in with data sharing and privacy (up to 5 points)

Experience with Similar Programs/Projects (0-20 points)
  Relevance of applicant’s current or past experience and demonstrated ability of applicant to deliver specific services

Estimated Cost (0-10 points)
  Project costs are reasonable for proposed project services. Cost explanations are clear and demonstrate roles of proposed staffing.
# REQUIRED ATTACHMENTS & APPLICANT CHECKLIST

Each applicant must submit a response in the following order with documents as described (unless otherwise noted). Duplicate enclosed forms as necessary.

- 1. Cover Statement (Form #1) attached as cover to each response
- 2. Applicant’s Statement of Qualifications (Form #2), completed and signed by an Authorized Representative such as the Executive Director. (Form #2 with original signatures must accompany the response).
- 3. Applicants Contracts and Grants (Form #3), completed and signed by an Authorized Representative such as the Executive Director. (Form #3 with original signatures must accompany the response).
- 4. Table of Contents
- 5. Response Narrative
- 6. Curriculum Vitae/Resumes of key personnel
FORM #1

APPLICANT COVER STATEMENT

DATA COLLECTION AND PROGRAM EVALUATION SERVICES FOR AB109 PROGRAM

Applicant: ________________________________________________________________

Business Address: __________________________________________________________

Year Founded: _______ Phone: ___________ Email: ___________________________

Contact Person and Title: _____________________________________________________

501(c)3 _____ yes other: _____________________________________________________

_____ no

Federal Employee Number: _____________________

List Collaborative Partners, if applicable:

____________________________________________________________________________

We submit the attached form and attachments in response to Santa Cruz County’s Request for Qualification #------- dated ------- and declare that:

- If the Board of Supervisors of Santa Cruz County accepts this response, we will enter into a standard contract with Santa Cruz County to provide all work specified herein as proposed in accordance with modifications required by Santa Cruz County. Funds obtained through this contract will not be used for other programs operated by the applicant/contractor unless stipulated within the response and accepted by the County.

Authorized Representative:

Name: ___________________________ Date: _________________________

Title: ______________________________

Signature: ___________________________
FORM #2

APPLICANT STATEMENT OF QUALIFICATIONS

1. List any licenses or certifications held by the applicant, with expiration dates.

______________________________________________________________

2. (a) Who administers your fiscal system?

Name: ________________________________________________________

Title: _____________________________________________________

Phone: ______________________

(b) What CPA firm maintains or reviews your financial records and annual audit, if applicable?

Name: ________________________________________________________

Address: ______________________________________________________

Phone: ______________________

3. Number of year’s applicant operated under the present business name. ______

List related prior business names, if any, and timeframe for each.

4. Number of years applicant has provided the services described in this response or related services: ______

5. Has applicant failed or refused to complete any contract? ___ Yes ___ No

If yes, briefly explain.

6. Is there any past, present, or pending litigation in connection with contracts for services involving the applicant or any principal officer of the agency? ___ Yes ___ No

If yes, briefly explain.

7. Does applicant have a controlling interest in any other firm(s)? ___ Yes ___ No

If yes, specify below.

8. Does applicant have commitments or potential commitments that may impact assets, lines of credit or otherwise affect agency’s ability to fulfill this RFP? ___ Yes ___ No

Applicant attests, under penalty of perjury, that all information provided herein is complete and accurate. Applicant agrees to provide to County other information the County may request as necessary for an accurate determination of applicant’s qualifications to perform proposed services.

_______________________________________________         __________________
Name and Title                                                                               Date

Note: When more than one agency will collaborate in providing service(s), each agency involved must complete this form.
## FORM #3

**CONTRACTS AND GRANTS**

1. List current contracts and subcontracts including government contracts and/or grants:

<table>
<thead>
<tr>
<th>Contact Name/Phone#</th>
<th>Services Provided</th>
<th>Contract of Contractor/Grantor under Contract</th>
<th>Dates</th>
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2. List key contracts/grants completed in the last five years, including government contracts/grants:

3. Applicant agrees to allow County to contact contractors for information relative to applicant's performance. *(Sign below)*

__________________________________________________________

__________________

Name and Title Date
Attachment A: AB109 Service Areas

1. Programs Addressing Criminal Thinking, Behavior and Identity

   Programs: Manualized CTBI curricula, including Thinking For a Change, Courage to Change, SAMHSA Anger Management, and Getting Motivated To Change

   Target Population: 300 AB109 participants per year

   Criminogenic Need/Responsivity Factor: Antisocial cognition, antisocial personality, coping skills, history of antisocial behavior, pro-criminal attitudes and behaviors

   Performance Measures: Curriculum completion, pre/post self-evaluation and instructor observation; demonstration of skill development; pattern of reduced impulsivity and criminal behavior

2. Substance Use Disorder Treatment and Recovery Maintenance

   Programs: Community-based outpatient, intensive outpatient, and residential substance use disorder treatment; perinatal SUD treatment services; detox; medically assisted treatment, including methadone and vivitrol; sober living environments; recovery maintenance services; clinical assessment and referral; in-custody intensive outpatient SUD treatment and Seeking Safety curriculum.

   Target Population: 350 treatment incidents per year

   Criminogenic Need/Responsivity Factor: Addiction and substance use disorders; substance abuse related to criminal behavior

   Performance Measures: Program engagement and completion; pre/post addiction severity (ASAM or ASI); continued improvement in recovery following treatment completion (RMS Program Recovery Measures Tool); increased duration of abstinence from substance use

3. Workforce and Job Placement Services

   Programs: Workforce development and preparation for job application (resume development, interview practice and coaching); career exploration and goal setting; job placement and coaching for job retention and promotion.

   Target Population: 150 AB109 participants per year

   Criminogenic Need/Responsivity Factor: Community employment

   Performance Measures: Employment and job retention; increased measures of self-sufficiency and replacement of governmental supports with legal income.

4. Educational Programming
Programs: Adult Basic Education; literacy tutoring; GED preparation; vocational education (including computer skills); post-secondary education

Target Population: 120

Criminogenic Need/Responsivity Factor: Educational attainment

Performance Measures: Pre/post scores on standardized educational assessments (e.g. CASAS); passage of GED tests and successful award of GED or other high school equivalency; demonstration of increased vocational skills; retention and completion of post-secondary degree

5. Mental Health Care

Programs: Clinical assessment; individual and group mental health counseling; mental health system navigation and service advocacy; clinical case management

Target Population: 60 AB109 participants per year

Criminogenic Need/Responsivity Factor: Aggression, coping skills. Responsivity issues include mental health and community functioning

Performance Measures: Validated pre/post assessment of mental health and social functioning; medication compliance; self-reported increases in mental and social functioning

6. Family Involvement

Programs: Evidence-based, manualized programs addressing family management, communication, and relationships; father involvement programs; family management coaching

Target Population: 60 AB109 participants and family members

Criminogenic Need/Responsivity Factor: Family and marital relationships, coping skills, antisocial associates. Responsivity factors include ongoing social support and resources for community functioning

Performance Measures: Pre/post assessment of knowledge, skills and behaviors for appropriate family management and communication; increased accessing of peer social resources

7. Housing Support

Programs: Emergency short term housing beds; transitional/long term subsidized housing; planning and case management for housing stability

Target Population: 50
Criminogenic Need/Responsivity Factor: Responsivity factors include residential stability, physical safety, service access, and employability

Performance Measures: Physical safety and ability to participate in other AB109 services; development of on-going support for stable housing

8. Reentry Planning and Community Support

Programs: Individualized reentry planning, both pre-release from jail (1170) and immediately following community return from prison (PRCS); benefits assistance and enrollment; reentry mentoring; driver’s license reinstatement; records clearance and expungement; indigent assistance for compliance with court-ordered programs; flex fund management to address barriers to reentry

Target Population: 375 AB109 participants per year

Criminogenic Need/Responsivity Factor: Antisocial associates, leisure and recreation, coping skills. Responsivity factors include community functioning and service access.

Performance Measures: Completion of reentry plan objectives; increase access to on-going community and family support (including expanded Medi-Cal benefit); reduced barriers to successful reentry (e.g. driver’s license, transportation)

9. Community Education and Engagement

Programs: Leadership development and peer support for formerly incarcerated individuals; community education regarding AB109, criminal justice system reform, and employment of formerly incarcerated individuals

Target Population: 20 individuals for leadership development component

Criminogenic Need/Responsivity Factor: Responsivity factors include service engagement, gender-specific needs, and community functioning

Performance Measures: Increased participation by formerly incarcerated individuals and their families in community groups assessing and implementing criminal justice policy; increased awareness of and commitment to justice system reform among policy-makers and community opinion leaders; increased number of local businesses willing to hire formerly incarcerated individuals
Santa Cruz County AB109 Implementation Logic Model

**Strategies**
- System Coordination:
  - CCP and Workgroups
  - Integration with other initiatives
  - CJ Data Integration
- Court Processing:
  - EBP charging and sentencing
  - Case flow analysis
  - Improved data management system
- Corrections Management:
  - Secure custody of 1170/PRCS
  - Alternatives to Custody
  - IWF Services
- Community Supervision:
  - Supervision of 1170/PRCS terms and conditions
  - Assessment and case management
  - Motivational strategies and EPICS contacts
- Treatment and Intervention Services:
  - Reentry planning and support; substance abuse and mental health services; housing; education; employment; CBT curricula; benefits assistance; restorative justice and community engagement

**Short Term Outcomes**
- Meeting attendance
- Contracts and MOU
- Ongoing planning and program improvement
- Electronic data sharing
- Average disposition times
- EBP sentencing
- Straight/split ratio
- Gender/ethnicity equity
- Numbers housed
- CAP utilization
- In-custody service utilization
- In-custody incidents
- Numbers supervised
- Utilization and tracking of EPICS
- Response grid utilization
- Flash incarceration
- Law enforcement coordination
- Assessment and reentry plan completion
- Referrals for service
- Intake and service rosters
- Service dosage
- Service-specific outcomes

**Intermediate Outcomes**
- Policy support for EBP
- Service coordination
- Data-driven decision making and resource allocation
- Effective data collection, analysis and reporting
- Swift, equitable justice
- Appropriate utilization of an array of sentencing options
- Completion of sentence and community supervision
- Management of cases for effective, coordinated service delivery
- Swift and appropriate response to positive or negative behavior
- Best practice for successful reentry
- Engagement and completion of treatment interventions
- Measurable reduction in criminogenic factors
- Adequate resolution of responsivity factors
- Social stability

**Long-Term Outcomes**
- Common model, language, goals, and practices
- Community accountability
- Cost-effective utilization of limited resources
- Sustained support for effective strategies
- Reduced recidivism, crime, and victimization